







Thesis approved for the Degree of Doctor of Philosophy in the  
University of Madras,

# Some Aspects of Literary Criticism in Sanskrit

OR

## The Theories of Rasa and Dhvani

BY

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## PREFACE.

The following pages are mainly the result of my work as a research student at the University of Madras. As an Honours student at the Presidency College, I learnt the fundamentals of literary criticism in Sanskrit and also its extent and scope from the regular lectures of Prof. S. Kuppaswami Sastri and a special course of University lectures delivered by him in the year 1919 on the "Methods and materials of literary criticism in Sanskrit". When I was awarded a research studentship in September 1921, I settled in consultation with my Professor as the subject of my investigations "The theories of Rasa and Dhvani", the two most important principles of literary criticism. Except for some articles in the well-known journals and introductions to some works there was not at the time any systematic attempt at fixing the chronology of the different writers, tracing the development of these theories through the various stages of growth, elucidating them and estimating their value in the appraisal of literature. Further the Government Oriental Manuscripts Library, Madras, had then recently acquired some highly valuable manuscripts on the subject like the *Abhinavabhāratī*, *Śṛṅgāraprakāśa*, and the *Vakrōkti-jīva*. My sincere desire has been to supply this want after a study of all the important material available in print and manuscript. I accordingly worked under the advice of Prof. S. Kuppaswami Sastri at this subject, and I have examined most of the printed works in literary criticism and literature and in addition four big manuscripts—viz., the *Abhinavabhāratī*, *Vakrōkti-jīva*, *Śṛṅgāraprakāśa* and *Bhāvaprakāśa*—with particular reference to the chronology of the writers on criticism, the development of the theories of Rasa and Dhvani, the attitude of poets towards them, their analysis, exposition and worth in the evaluation of literature. I have utilised the contributions on this subject made in the journals and introductions to some editions of works. When I had just completed my regular course of investigation and begun to give them a shape, there appeared in the field two works on the History of Sanskrit Poetics by

Dr. S. K. De and Prof. P. V. Kane,—see the latter's introduction to the *Sāhityadarpaṇa*—and I have taken this opportunity of reviewing some of the opinions expressed by them. I hope that in spite of these two publications my labour has not resulted in unnecessary duplication. The following pages contain, in addition to a chronology, an account of the development of the two theories of *Rasa* and *Dhvani*, after a study of three rare manuscripts, the *Abhinavabhāratī*, *Vakrōktijīvita* and the *Śṛṅgāraprakāśa*, and also after the examination of the writings of poets. I have tried as far as possible not to swell the number of pages unnecessarily by the repetition of arguments and facts already put forward. I would invite the attention of my reader to the following topics in this work.

1. The development of the meaning of 'Rasa' in the Vēdic period.
2. The early beginnings of its conception in Vālmiki.
3. Bharata's date; his successors and commentators mentioned in the *Abhinavabhāratī*.
4. The relative chronology of Bhāmaha and Daṇḍin.
5. The date of composition of the *Alaṅkāra* section of the *Agnipurāṇa* and the theory of *Rasa* expounded therein.
6. Ānandavardhana is the author of the *Dhvanikārikās*.
7. The origin, development and fundamentals of the conception of 'Dhvani'.
8. Abhinavagupta and his *Abhinavabhāratī*. The theories of *Rasa* considered and held therein.
9. The conception of *Vakrōkti* and its relation to the theories of *Rasa* and *Dhvani*.
10. Mahimabhaṭṭa's conception of *Kāvya*numiti and his attitude towards these theories.
11. Bhōja's *Śṛṅgāraprakāśa* and his peculiar theory of *Rasa*.
12. The development of the conceptions of *Rasa* and *Dhvani* in general.

I have indicated the authorities on which I rely for my conclusions mostly in the body of the text itself within brackets and I have cited most of the original texts as foot-notes. A general list of authorities cited is also furnished herewith. I am to be pardoned for quoting the original texts in Dēvan

## PREFACE

characters and not the scientific transliteration in English, but I hope that in this matter, as an Indian, I have not been more conservative than the philologist who uses Greek characters in citing Greek forms.

I take this opportunity of offering my heartfelt thanks to the Syndicate of the University of Madras for giving me an opportunity and incentive for the conduct of Sanskrit research by the award of a studentship, to my Professor S. Kuppuswami Sastriar for his kind advice and encouragement and to the authorities of the Government Oriental Manuscripts Library and the Principal, Presidency College, Madras for giving me special facilities for the use of their libraries.

*Dated 9th, Feb. '25.*



## POSTSCRIPT.

Although the main body of this work was submitted as thesis for the Degree of Doctor of Philosophy, yet at the desire of the Syndicate of the University and in accordance with the special instructions of Professor S. Kuppuswami Sastri, considerable revision has been made in the work, especially in the chapters on Ānandavardhana and Abhinavagupta. My thanks are due to the Syndicate for having permitted the publication of this work in its revised form.

It is a source of intense pleasure to me to express on this occasion my deep sense of gratitude to my revered teacher, Mahāmahopādhyāya Vidyāvācāspati S. Kuppuswami Sastriar, to whom I owe my initiation and training in higher Sanskrit Studies; to whose invaluable advice and help this work owes whatever merit it might possess, and but for whose interest and encouragement my enthusiasm towards the completion of this work and the progress of my researches could hardly have reached this small measure of success. For all that I owe to him, I feel I cannot do better than offering to him this my first attempt as a humble tribute and token of gratitude and affection of one of his most humble and devoted disciples.

आनृत्यन्तीव वाणी निजपदमतुलं प्राप्य येषां मुखाब्जे ।

तेषां वाचस्पतीनां मधुमधुरवचोनिर्झराम्बु प्रपाय्य ।

ग्रन्थग्रन्थीन्विमोच्य व्यथितमपि मनः कुर्वतां मे प्रसन्नं

स्वाचार्याणां रसोऽयं ध्वनिरपि पदयोर्हृत्ते शङ्करेण ॥

15th December, 1928.



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 ment Oriental Manuscripts Library, Madras.  
 Śṛṅgāraprakāśa of Bhoja. Do. do.  
 Vakroktijīva, Chapters III & IV do.

## ADDITIONS AND CORRECTIONS.

Page 2, line 30, for, adopted	<i>read</i> adapted
„ 47, „ 25, „ तनिमानामनु	„ तनिमानमानु
„ 50, „ 5, after general	<i>add</i> survey.
„ 53, „ 52, for सामान-	<i>read</i> समान-
„ 62, „ 6, after मुहूर्तात्	<i>add</i> Vāmana, IV-3-8.
„ „ „ 13, „ मयूखैः	„ „ „
„ „ „ 25, „ निश्चसितम्	„ „ „
„ 67, „ 24, „ उदाहृताः	„ Vākya-p. I-103.
„ „ „ 37, „ अवधार्यते	„ „ 1-84
„ 68, „ 5, for, व्यञ्जकार्थ	<i>read</i> व्यञ्जकार्थ
„ „ „ 16, after भिद्यते	<i>add</i> Vākya-p. I-78
„ 70, „ 29, „ प्रकाशते	„ Rāmāyaṇa III-16, Dhv., p 62.
„ 71, „ 26 „ कमलाङ्ग	„ Dhv., p. 62.
„ 72, „ 15 „ पार्वती	„ Kum. VI-84.
„ 73, „ 18 „ प्रियः	„ Dhv. p. 152-3.
„ 74, „ 20 „ विलोचनानि	„ Kum. III-67.
„ 75, „ 34 „ वेषस्थितिः	„ Dhv., p. 25.
„ 76, „ 12 „ उत्कूजितम्	„ „
„ „ „ 33 „ स्मृताः	„ Dhv., p. 26.
„ 77, „ 13 „ दरिअसीहेण	„ Dhv., p. 16.
„ 80, „ 21 „ वयम्	„ „ p. 86, Amaru. 81.
„ 81, „ 14 after कृती	<i>add</i> S. Act I, Dhv. p. 89.
„ 82, „ 34 for -स्याप्यन्तको-	<i>read</i> -स्यान्तको-
„ „ „ 34 after अहम्	<i>add</i> Venī. Act III.
„ 84, „ 7 „ कलाम्	„ Dhv., p. 176.
„ 87, „ 22 for चित्रवृत्त्य	<i>read</i> चित्तवृत्त्य
„ 93, „ last „ महत	„ महता
„ 104, „ 38 after सौहृदानि	<i>add</i> S. Act V.
„ 111, „ 3 for अन्तरायशून्य	<i>read</i> अन्तरायशून्य
„ 116, „ 18 after प्रलीयते	<i>add</i> Abh. bh., Chap. IV
„ 122, „ 23 for शब्दा	<i>read</i> शब्दो
„ 140, „ 12 „ क्षुधा	„ क्षुधा(?)

## LIST OF ABBREVIATIONS.

Abh. bh.	...	Abhinavabhāratī.
Agn. p.	...	Agnipurāṇa, Alaṅkāra Section.
A. R.	...	Anargharāghava of Murāri.
Bhāmaha	...	Kāvyaṅkāra of Bhāmaha.
Br̥hat. m.	...	Br̥hatkathāmañjarī of Kṣemendra.
Bhārata. m.	...	Bhāratamañjarī of Kṣemendra.
Br̥h-up.	..	Br̥hadāraṇyaka Upaniṣad.
Chāndogyop.	...	Chāndogya Upaniṣad.
Dhv.	...	Dhvanyāloka.
D. R.	...	Daśarūpaka.
Har.c.	...	Harśacaritā.
H. V.	...	Haraviṇaya of Ratnākara.
I. A.	...	Indian Antiquary.
J. R. A. S.	...	Journal of the Royal Asiatic Society, London
Kād.	...	Kādambarī.
Kaṭhop.	...	Kaṭha Upaniṣad.
K. D.	...	Kāvyaḍarśa.
K. L. S.	...	Kāvyaṅkārasāra of Udbhaṭa.
K. M.	...	Kāvyamīmāṃsā.
Ku.	...	Kumārasambhava.
M.	...	Mālavikāgnimitra.
Mah.	...	Mahāvīracarita.
Mal.	...	Mālatīmādhava.
Maitri. up.	...	Maitri Upaniṣad.
Mēgha.	...	Mēghasandēśa.
M. S.	...	Mīmāṃsāsūtra.
N. M.	...	Nyāyamañjarī of Jayanta Bhaṭṭa.
N. S.	...	Nāṭyaśāstra.
N. V.	...	Nyāya Vārtika of Uddyotakara.
Praśnop.	..	Praśna Upaniṣad.
Priyad.	...	Priyadarśikā of Śrī Harṣa.
Prati.	...	Pratīhārendurāja's Vṛtti on K. L. S.
R.	...	Raghuvamśa.
Rājatarāṅg.	...	Rājatarāṅgiṇī of Kalhaṇa.
Rat.	...	Ratnāvalī.

R̥gv.	...	R̥gveda.
Rudraṭa.	...	Kāvyaḷaṅkāra of Rudraṭa.
S.	...	Śākuntala.
S. B.	...	Setubandha.
Śiśu.	...	Śiśupālavadha of Māgha.
Skt.	...	Sanskrit.
Śṛṅg-p.	...	Śṛṅgāraprakāśa of King Bhoja.
S. T.	...	Śṛṅgāratilaka of Rudrabhaṭṭa.
Tat, T.	...	Nyāyavārtikatātparyātikā of Vācaspatimiśra.
Uttara-r.	...	Uttarārāmacarita.
V.	...	Vikramorvaśīya.
Vakrōkti-j.	...	Vakrōktijivita of Kuntaka.
Vākya-p.	...	Vākyapadīya of Bhartṛhari.
Vāmana.	...	Kāvyaḷaṅkārasūtra and Vṛtti of Vāmana.
V. D.	...	Vāsavadattā of Subandhu.
Vyakti.	...	Vyaktiviveka of Mahimabhaṭṭa.
Z D. M. G.	...	Zeitschrift der Deutschen Morgenlandischen Gesellschaft.

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## INTRODUCTION.

Literary criticism is the true perception of the beautiful in poetry, the proper understanding of the poetic mode of expression of ideas, the discovery of the laws of poetic truth and the enunciation of the principles that enable us to judge the degree of the success of the poet in his representation of the beautiful, which is a perennial source of delectation to all discerning and responsive minds. The term 'Alaṅkāra Śāstra', is ordinarily used to signify literary criticism, but it literally means only figures of speech. Even though it is taken in the earlier and wider sense of 'beauty in poetry' it does not convey the ideas—understanding or appreciation and judging—that are primarily denoted by the term "Literary criticism". Nor can the term Alaṅkāra refer to all the different methods of criticism that have been dealt with by writers on Sanskrit Poetics. For besides the 'Alaṅkāra' method there are seven others, some of which are even more important than that. Thus the use of the term 'Alaṅkāra' to refer to Sanskrit literary criticism cannot be justified except in this manner that the subject is named after one of its earliest exponents, Bhāmaha, who maintained that poetic embellishments form the distinctive feature of poetic language and that it is the chief source of æsthetic pleasure.

Literary criticism is the proper interpreter of literature. And as literature forms one of the most important branches of the culture of any nation, it has its distinct place as the path that leads to the understanding and enjoyment of a noble sphere of human activity.

There are eight different schools of critical thought in Sanskrit, but the most important of them are the exponents of the two theories of Rasa and Dhvani. The principles held by these different schools were formulated independently of each other, but later on, they came to have values assigned to them according to their relations with the two theories of Rasa and Dhvani.

Hence it is possible to treat of almost the whole subject of Sanskrit literary criticism from the standpoint of these two theories. And this forms the rationale of our choice of these two theories for treatment.







# BOOK I. HISTORY OF THE THEORY OF RASA UP TO ĀNANDAVARDHANA.

## CHAPTER I.

### VEDIC PERIOD.

OF the two theories—Rasa and Dhvani—which developed to be the most predominant factors among the sources of literary appeal, the former dates back in its origin to the ‘first poet’ Vālmīki, while the latter which arose in the wake of the Rasa theory, and which, incorporating within itself all its good features, served to check its excess, viz., the undue stress that it laid on the emotional element in poetry to the detriment of the imaginative and the intellectual elements therein, could at the earliest have been formulated only a century before its greatest exponent Ānandavardhanācārya, who lived about the middle of the 9th Century A. D. Though in later works on Literary Criticism like the Kāvya prakāśa and the Rasagaṅgādhara Rasa is, with some justification, treated as a sub-head under Dhvani, there is the propriety of historical antecedence for our consideration of the History of Rasa before Dhvani.

The History of the meaning of ‘Rasa’ during the Vedic period affords an explanation and prepares the ground for its use by writers on Literary Criticism from Bharata downward to signify the ‘aesthetic pleasure’ or the thrill invariably accompanied by joy that the audience experiences, while witnessing the skilful enactment of a play rendered highly appealing to it through excellent poetry, music and action. In accordance with the general practice of Indologists to start any critical enquiry from the earliest literary monument of Indian culture, the history of ‘Rasa’ is here considered from the Ṛg Veda itself.

In the Ṛg Veda ‘Rasa’ is generally used in the sense of the ‘juice’ of the soma plant (e. g. दधानः कलशे रसम् IX. 63.13, यस्य ते मद्यं रसम् IX. 65.15.). In the earlier Maṇḍalas it occasionally

denotes also water, <sup>1</sup> (III. 48.1.) milk, (*e. g.* रसा दधीत वृषभम् VIII. 72.13. apply heat to milk) and flavour (*e. g.* भरद्देनू रसव-च्छिन्त्रिये पयः R̥gveda V. 44.13. रसवत्—Sweet-flavoured). The Atharvaveda, while retaining its sense of the juice of plants, (उदोषधीनां रसेन.....III. 31.10. up with the sap of herbs) extends its usage to the sap of grain. (आहार्षं धान्यं रसम्.....II. 26.5. I have brought the sap of grain). Its use in water and milk is not found here, but the sense of 'savour' or 'taste' becomes very common (*e. g.* तीव्रो रसो मधुपृचामरङ्गम्.....III. 13.15. may the strong satisfying savour (Rasa) of the honey-mixed come to me; यो नो रसं दिप्सति पित्वो अग्ने.....VIII. 4.10. whoever O Agni! tries to harm our taste of drink etc.). During the Upaniṣadic period its sense of the 'essential element in plants or grain' loses its particular character and changes into the 'essential element' or the 'essence' alone (*e. g.* (1) प्राणो हि वा अङ्गानां रसः.....Br̥h. Up. 1.3.19. Life breath or the vital air is the essence of the limbs (of the body). (2) यच्चक्षुः सतो ह्येष रसः.....Br̥h. Up. I. 3.4. The eye is the most vital or the most important of the limbs of the person that lives. Also Chāndogya Up. I.1.2. and IV.7.4). 'Rasa' continues to have also its other sense of 'Savour' or 'taste', (*e. g.* (1) जिह्वया हि रसान् विजानाति Br̥h. Up. III. 2.4.....Knows the tastes by the tongue (2) येन रूपं रसं गन्धं etc.....Kāthop. IV. 3.) and the term becomes the base for a denominative verb, meaning 'to taste' (*e. g.* न जिघ्रति न रसयते.....does not taste. Praśnop. IV. 2.). It is noteworthy that in two places—(1) in the Taittirīyop. II. 7.1. रसो वै सः, रसं ह्येवायं लब्ध्वा-नन्दी भवति and (2) in the Maitrī. Up<sup>2</sup>. V. 2. एतद्वै सत्त्वस्य रूपं, तत्सत्त्वमे-

1. R̥gveda III. 48.1.

साधोः पिब प्रतिकामं यथा ते रसाशिरः प्रथमं सोम्यस्य.

Translation of R̥gveda and Atharvaveda texts is mainly adopted from Griffith and Whitney in consultation with the Bhāṣya of Śāyaṇa.

2. Maitrī. Up. V. 2.

“एतद्वै सत्त्वस्य रूपं तत्सत्त्वमेवेरितं रसः

On this Ramatīrtha has the following:—(See Dīpikā, pp. 72-73)

तत्परेणात्मना पूर्ववदीरितं सत्त्वमेव, न तमोरजसी; तयोः बध्यमाणार्था-भिग्न्यञ्जकत्वासामर्थ्यात् । रसः सारः चिदानन्दप्रकाशः ; “रसो वै सः, रसं

वेरितं रसः; स सम्प्राप्नवत्...—there is a combination of both its senses, and it refers to 'essence,' par excellence and to the highest Taste or Experience accompanied by a sense of joy. In other words, Rasa there stands for the one Supreme Reality of the Universe, viz., the 'Self-luminous Consciousness' to attain which the Upaniṣadic seer strove and which, when realised, results in Perennial Bliss. It is very likely that the earliest thinkers in literary criticism took advantage of this use of 'Rasa' to mean the perfect joy that the sage experiences when he perceives intuitively the Highest Truth in his meditation, and applied it to that 'aesthetic pleasure' which the cultured spectator with a responsive heart enjoys, when he loses himself completely in the characters, situations and incidents of a play represented by highly talented actors.

But it must be distinctly understood that the two texts quoted above do not at all, in the context where they occur, contain the germs of the theory of Rasa conceived of and developed by later writers on Poetics. And to read into the text रसो वै सः.....etc. any of the later ideas, as Paṇḍita Rāja Jagannātha<sup>1</sup> does, believing in the ultimate authority of the Veda and seeking to obtain scriptural sanction for his views, is wholly unhistorical.

Although during the Vedic period there was no clear forecast of the theory of Rasa, yet it should not lead us into believing that the Vedic poets had not developed any sense of appreciation and love for poetry. It may surprise us to find even in the Ṛgveda, especially in the hymns relating to 'Vāk', certain remarks which, though not conscious attempts at criticism of poetry, have still to be recognised as distinct and early anticipations of literary criticism. And the rich poetry, the wealthy illustration, the high imagination and the elevated thought of the Ṛgveda and the Upaniṣads fully testify to the possibility of the exhibition of the literary sense by the Vedic bards.

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हेवायं लब्ध्वा नन्दी भवति" इति श्रुत्यन्तरात् ; स सम्प्राप्नवत् सम्यक् प्राक् दयेन अस्नवत् । सत्त्वमेव चिदात्मनो विशेषाकाराभिव्यक्तियोग्याकारतया प्रसृतम्, सद्ब्रह्माकारमेव विप्रसृतमित्यर्थः । . .

1. Rasagaṅgādhara—Chap. I. P. 23. (Nirṇayasagar Edn.)

वस्तुतस्तु वक्ष्यमाणश्रुतिस्त्वारस्येन गत्याद्यवच्छिन्ना भग्नावरणा चिदेव रसः, "रसो वै सः" "रसं हेवायं लब्ध्वा आनन्दी भवति" इति श्रुतिः ।



In the R̥gveda<sup>1</sup> X. 125. 3-5 beginning with “ अहं सुराष्ट्री संगमनी वसूनाम् ” the seers realise the importance in life of the extraordinary power of speech which obtains for the person that has it all his spiritual and material desires. The verse सक्तुमिव .....etc.<sup>2</sup> (R̥gveda X. 71.2) makes a clear distinction between ordinary language and poetry and pays a genuine homage to the Vedic bard in whose words is imbedded all beauty. (भद्रैषां लक्ष्मीर्निहिताधिवाचि.) The verse उत त्वः.....<sup>3</sup> etc., (R̥gveda X. 71.4), by denouncing the person that sees only the externals in poetry and praising the learned to whom alone the beauty of the inner sense is revealed, appears to value highly

1. R̥gveda X. 125. 3-5.

अहं सुराष्ट्री सङ्गमनी वसूनां चिकितुषी प्रथमा यज्ञियानाम् ।  
तां मा देवा व्यदधुः पुरुत्रा भूरिस्थात्रां भूर्यविशयन्तीम् ॥  
मया सोऽन्नमत्ति यो विपश्यति यः प्राणिति य ईं शृणोत्युक्तम् ।  
अमन्तवो मां त उपक्षियन्ति श्रुधि श्रुत श्रद्धिवं ते वदामि ॥  
अहमेव स्वयमिदं वदामि जुष्टं देवेभिरुत मानुषेभिः ।  
यं कामये तं तमुग्रं कृणोमि तं ब्रह्माणं तमृषिं तं सुमेधाम् ॥

Griffith's Translation.

I am the Queen, the gatherer-up of treasures most thoughtful, first of those who merit worship. Thus Gods have established me in many places with many homes to enter and abide in. (4) Through me alone all eat the food that feeds them, who sees, breathes, hears the word outspoken. They know it not, but yet they dwell beside me. Hear one and all the truth as I declare it. (5) I verily myself announce and utter the word that Gods and men alike shall welcome. I make the man I love exceedingly mighty, make him a Sage, a Rishi, and Brahman.

2. R̥gveda X. 71.2.

सक्तुमिव तितउना पुनन्तो यत्त धीरा मनसा वाचमक्रत ।  
अत्रा सखायः सख्यानि जानते भद्रैषां लक्ष्मीर्निहिताधिवाचि ॥

Where, like men cleansing cornflour in acribble, the wise in spirit have created language. Friends see and recognise the marks of friendship. Their speech retains the blessed sign imprinted. (See Sāyana).

3. R̥gveda X. 71.4.

उत त्वः पश्यन्न ददर्श वाचमुत त्वः शृण्वन्न शृणोत्येनाम् ।  
उतो त्वस्मै तन्वं विससे जायेव पत्य उशती सुवासाः ॥

One man hath never seen Vāk and yet he Seeth. One man hath hearing but hath never heard her. But to another hath she shown her beauty as a fond well-dressed woman to her husband.

poetic content and not its form. In यज्ञेन वाचः <sup>1</sup> etc., (R̥gveda X. 71.3) where the bard says that the seers spread the language (of poetry) in all directions through the seven metres, and in अनुष्टुभमनु <sup>2</sup> etc., (R̥gveda X. 124.9)—the sages know the swift approach of Indra when he hears the verse sung in the Anuṣṭubh metre—the Vedic poet seems to realise some relation between metre and poetry. The poet further addresses his deity as the lover of song पिवत्वस्य गिर्वणः.....etc., (R̥gveda VIII. 1.26.) “O ! drink thou, lover of song as the first drinker of this juice”. A song is said to be sweet; as e.g. (1). वचः स्वादो स्वादीयो रुद्राय वर्धनम्.....(R̥gveda I. 114.6 and II. 35.1) ‘a song more sweet than sweet.’ (2). मध्व ऊष मधुयुवा रुद्रा मिषक्ति पिप्युषी..... (R̥gveda V. 73.8.) ‘Lovers of sweetness, Rudras, She (Vāk) that streams with sweetness, waits on you. The verse यः पावमानी-  
गध्येत्यृषिभिः सम्भृतं गमं.....तस्मै सरस्वती दुहे क्षीरं.....etc.  
.....(R̥gveda IX. 67. 31-32) ‘the man who reads the essence, stored by saints, the pavamāna hymns, tastes food completely.....Sarasvatī draws forth for him water, butter, milk etc.,’—promises in the name of the Goddess Sarasvatī all material comforts for him that would study the best of the R̥gveda in the opinion of the bards viz., the Pavamāna hymns. Thus the two main functions of the literary critic viz., to understand and to judge poetry, are discharged by the R̥gvedic poet-critic, the former fairly well and the latter too to a limited extent, in that he has developed a real feeling of love for poetry and that he is able to discriminate the good from the bad type of poetry through an examination of the literary content.

1. R̥gveda X. 71.3.

यज्ञेन वाचः पदवीयमायन्तामन्वविन्वन्ऋषिषु प्रविष्टाम् ।

तामाभृत्या व्यदधुः पुरुता तां सप्तरेभा अभिसंनवन्ते ॥

With sacrifice the trace of Vāk they followed and found her harbouring within the R̥ishis. They brought her, dealt her forth in many places. Seven Singers make her tones resound in concert.

2. R̥gveda X. 124.9.

• वीभत्सूनां सयुजं हंसमाहुरपां दिव्यानां सख्ये चरन्तम् ।

अनुष्टुभमनु चर्चयमाणमिन्द्रं निचिक्युः कवयो मनीषा ॥

They call him Swan, the abhorrent flood's companion, moving in friendship with celestial waters. The poets in their thought have looked on Indra swiftly approaching when Anuṣṭubh calls him.

## CHAPTER II.

### VĀLMĪKI.

AT the threshold of the development of the theory of *Rasa* stands Vālmiki, the father of classical Sanskrit poetry, an incident in whose life, related in the second chapter of the *Rāmāyaṇa Bālakāṇḍa*, explains the origin of the *Ślōka* metre in Sanskrit and also reveals the earliest germs of the conception of 'Rasa' according to the later writers. The incident runs thus:—When once Vālmiki went out into the forest in search of sacrificial wood and grass, he met a happy pair of *Krauñca* birds twittering with joy on the branch of a tree, one of whom was suddenly shot dead with an arrow by a hunter; and when the merciful sage witnessed the innocent male bird, that was killed, weltering on the ground in his own blood, and the helpless female, bereaved of her loving mate, and being vaguely conscious of the untold sufferings that she would have to undergo without him, sending forth a shriek of terror and agony, his heart was touched with a deep feeling of pity for her grief; and the intense pathos of the situation that filled his heart flowed out to find expression in the shape of that exquisite and melodious *Ślōka* **मानिषाद्**<sup>1</sup>.....etc. (*Bālakāṇḍa* II. 15.). Vālmiki was struck with wonder and joy at this first involuntary emanation of measured poetry; and through deep introspection and analysis of the state of his mind at the time of its utterance, he discovered for this mystery a solution which he gave out to his pupils in these words “**शोकार्तस्य प्रवृत्तो मे श्लोको भवतु नान्यथा**” *Bālakāṇḍa* II. 18. ‘That which proceeded from me who was overpowered by pathos shall be nothing but poetry or rhythmic expression’.

This statement understood in the light of the above *Krauñca* incident is rightly regarded by Ānandavardhana as revealing the critic in Vālmiki, and also as containing in embryo the theory of

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1. *Rāmāyaṇa Bālakāṇḍa*. II. 14 and 15.

निशाम्य रुदतीं क्रोञ्चीमिदं वचनमब्रवीत् ॥

मानिषाद् प्रतिष्ठां त्वमगमः शाश्वतीः समाः ।

यत्क्रौञ्चमिथुनादेकमवधीः काममोहितम् ॥

Rasa fully developed later. The wallowing of the dying bird in a pool of blood and the wailing of the surviving female to which the sage was witness were transported from the sphere of his perceptual experience to that of his imagination and presented there as the cause (विभाव) and the ensuant (अनुभाव) that stirred up his instinct of pathos to its depths and developed it to that climax, when he reached a still mental condition with an intense feeling of pathos, in which his personality was lost, resulting in his having only a sense of joy; and this pleasant feeling of pathos that overpowered him translated itself spontaneously into the form of the Śloka मनिषाद .....&c. The sage surely meant something like the above when he enigmatically said 'from my intense feeling of pathos shall proceed nothing but rhythmic expression.'

At one stroke Vālmiki is both a poet and critic. He is the former, as he is able to imagine vividly and feel intensely for the situations, the characters and the searchings of their hearts, and express that feeling in the form of excellent poetry.—See the Rāmāyaṇa. He is the latter also, for he fully realises that it is this feeling, arising out of his complete imaginative sympathy with the characters and incidents, that transformed itself into rhythmic expression. The function of the poet and the critic is identical in their understanding and feeling for the characters, their emotions etc. The poet is superior to the critic, for he possesses the creative genius and the expressive power which furnish to the critic poetry that forces him to have the same understanding and feeling as the poet; the critic falls short of the poet, for he seeks poetry to aid his imagination to think and feel with the poet. The poet is valued to the extent to which his poetry evokes in the critic this understanding and sense of bliss. The critic is judged by the degree of understanding and joy that he has from a study of poetry. Vālmiki is a poet and a critic. From the few hints that he throws out and also from the poetry of the Rāmāyaṇa, distinguished by the simplicity of style, naturalness of imagery and vividness of description, which tend towards the full development of Rasa, it is possible to make out that to Vālmiki, spontaneous expression emanating from one when overpowered by an intense feeling of pathos constitutes poetry; or the state of poetic inspiration is a climax of emotion when it—the emotion—finds a spontaneous outlet in rhythmic expression. Milton's 'unpremeditated song' is this same spontaneous rhythmic expression.

It may here be pointed out that the views set forth above, being based on the second chapter of the *Bālakāṇḍa*, could not be maintained as *Vālmiki's* without coming into conflict with the conclusions of historical research and philology, which show that only Books II-VI form the genuine portions of the work originally written by *Vālmiki*. Against this we urge that, although much of the first book including the second chapter is a later addition, the second chapter contains a genuine record of the tradition handed down from *Vālmiki*; and early poets like *Kālidāsa* and *Bhavabhūti* bear ample testimony to the authenticity of the tradition that the *Krauñca* incident in the life of *Vālmiki* was the occasion for the spontaneous emanation of poetry and also for the origin of the *Śloka* metre in Sanskrit. (Sec R. XIV. 70<sup>1</sup> and *Uttara-R.*<sup>2</sup> Act II. 5.) *Ānandavardhana* also fully believing in this tradition looks upon *Vālmiki* as the father of the theory of *Rasa*, although he twists the view to suit his own comprehensive theory of *Dhvani*. (*Dhv.* I. *Kārikā* 5.)<sup>3</sup> It is further gratifying to note that Prof. Macdonell is quite willing to grant the probable historicity of the above tradition. (*Hist. of Skt. Lit.* P. 317).

1. R. XIV. 70.

तामभ्यगच्छद्रुदितानुसागी कविः कुशं ध्माहरणाय यातः ।  
निषादविद्राण्डजदृशेनोत्थः श्लोकत्वमापन्नं यस्य शोकः ॥

See *Mallinātha* on this.

2. *Uttara. R.* Act. II. 5. *Nirnayasagar* Edn. pp. 53-5.

आत्रेयी—अथ स ब्रह्मर्षिकदा माध्यंदिनसवननाय नदीं तमसामनु-  
प्रपन्नः । तत्र युग्मचारिणोः क्रौञ्चयोरकं व्याधेन वध्यमानं ददर्श । आकस्मिक-  
प्रत्यवभासां देवीं वाचमनुष्टुभेन छन्दसा परिणतामभ्युदैरयत् । “गानिषाद” etc.

वनदेवता—आन्नायादन्यत् नूतनश्छन्दसां अवतारः.

आत्रेयी—तेन हि पुनः समयेन तं भगवन्तं आविर्भूतशब्दप्रकाशमृषि-  
मुपगम्य भगवान् भूतभावनः पद्मयोनिर्बोचत् “ऋषे प्रबुद्धोऽसि वागात्मनि  
ब्रह्मणि । तद्ब्रूहि रामचरितम् । अद्याहृत्योनिर्गर्षं ते चक्षुः प्रतिभातु; आद्यः  
कविरसि” इत्युत्त्वान्तर्हितः । अथ स भगवान्.....रामायणं प्रणिनाय.

3. *Dhvanyāloka*. P. 26. I. 5.

काव्यस्यात्मा स एवार्थः तथा चादिकवेः पुनः ।

क्रौञ्चद्वन्द्वयिगोत्थः शोकः श्लोकत्वमागतः ॥

See *Lōcana* on this. pp. 26-27.

## CHAPTER III.

### BHARATA.

The different stages in the development of the theory of Rasa, after the few suggestions that have been thrown out by Vālmiki, are absolutely lost to us until the doctrine emerges in a concrete shape, fully developed in all its varied ramifications in the Nāṭyaśāstra of Bharata. This big work of thirty-seven chapters, purporting to deal with the art of histrionics, treats of a variety of kindred arts and sciences ancillary to it : and among them are poetry, literary criticism, dramaturgy etc. It has been the subject of many learned and erudite commentaries and also the source from which a large number of independent treatises on histrionics, dramatic technique, music etc., has sprung. The text, in its present form, contains besides the original many older verses as well as later accretions, some of which are intended to glorify and give a mythical garb to the authorship of the work and the origin of the subject. It also exhibits a high degree of culture and critical faculty in the author and the critical spirit of the age in which he lived. When did he live ? At what time did his work first assume its present shape ? What is the doctrine of Rasa as could be made out from this work ? We shall endeavour to answer these questions below.

The printed text, as we have it in the Kāvya-mālā, comprises passages in three different styles,—(1) Anuṣṭubh or Anubaddha verses in the Āryā and the Anuṣṭubh metre, (2) Sūtra-bhāṣya, (3) Kārikās—which show that the work is the result of gradual evolution from the original, the successive stages of which are very difficult to determine. Pāṇini is acquainted with some Nāṭyaśāstras (IV. 3. 110), but there is no means of ascertaining their exact character. The term 'Sūtra-bhāṣya' or Aphorisms and prose comments thereon would make us believe, on the analogy of the history of grammatical and philosophical texts, that that represents the earliest attempt in the field. But the

'Anuvamśya' meaning 'preserved through a particular line' indicates, as Abhinavagupta observes,<sup>1</sup> that they—such verses—are earlier than Bharata and also known to him.<sup>2</sup> Again the term 'Sūtrānubaddha', (N. S. P. 64) which introduces many Āryā verses and which means 'composed after the manner of the Sūtras', is highly misleading. Further the Kārikās which, according to N. S. VI. 11,<sup>3</sup> are only amplifications of the Sūtras have been in vogue in almost all ages. Thus any inference regarding the relative chronology of these texts can only be a probable surmise. We conjecture that the original of the Nāṭyaśāstra was in the style of Sūtra-bhāṣya which was later enlarged in the form of Kārikās; and to this were added some older verses of other unknown writers besides some later ones intended, as has been said, to raise the art of histrionics to the dignity of a Veda. Further it is likely that, when the form of the Kārikā became settled, much of the Sūtra-bhāṣya portion was not preserved.

✓ Criticism ordinarily follows creation. Histrionics and dramatic technique develop only after the Drama attains 'a definite and complete form'. Though the Nāṭyaśāstra, whose authorship has been attributed to a mythical sage Bharata, might have been the earliest of its kind, as it truly was, it could have been written only after the production of finished Sanskrit plays. Till recently, we had no knowledge of any dramatist prior to Kālidāsa except by name. The unearthing of the thirteen plays of Bhāsa (?) at Trivandrum does not help us in any way. It is the discovery of the fragments of Aśvaghōṣa's plays by Prof. Luders that makes it possible to carry back the upper limit of the

1. Abh. Bh. Chapter VI. p. 225.

अत्रेति भाष्ये अनुवंशभवौ शिष्याचार्यपरम्परासु वर्तमानौ श्लोकारूयौ वृत्तविशेषौ सूत्रार्थसंक्षेपप्रकटीकरणेन कारिकाशब्दवाच्यौ भवतः । तौ पठति—  
यथा बहुद्रव्ययुतैः etc. N. S. P. 62.

2. Abh. Bh. Vol. I. p. 261 on N. S. VI. 69-72.

ता एता द्वार्या एकप्रघट्टकतया पूर्वार्वायैः लक्षणत्वेन पठिताः । मुनिना तु सुखसङ्गहाय यथास्थानं निवेशिताः ॥

3. N. S. VI. 11.

अल्पाभिधानेनार्थो यः समासेनोच्यते बुधैः ।

सूत्रतः सानुमन्तव्या कारिकार्थप्रदर्शिनी ॥

Nāṭyaśāstra to a much earlier date than Kālidāsa's. These plays, it is said, exhibit a highly developed state of the Drama; and as Aśvaghoṣa, being a contemporary of the Kushān Emperor Kanishka, lived in the 1st century A.D. (see Cambridge History of India pp. 483, 583 and 585) Prof. Keith dates the first production of a genuine Sanskrit drama more than a century earlier, and he is even inclined to place it immediately after the Mahābhāṣya—150. A.D. (Keith. Skt. Drama pp. 45, 70 and 72). Thus the nucleus of the Nāṭyaśāstra, appearing after the rise of the Sanskrit Drama, could at the earliest be placed in the latter half of the 2nd century B.C. or the first decades of the 1st century B.C. The references to Yavanas, Śākas and other tribes will bring down the date of the present text to the beginning of the Christian era.

Abhinavagupta appears, in his Abhinavabhāratī on the Nāṭyaśāstra, to have used a text exactly similar to the one that is now in print. He further utilised the works of many earlier commentators and of independent writers on the subject. His numerous references, to the former especially, enable us to determine the lower limit of the present work with some exactitude. One of them is to the poet and critic that lived in the court of Jayāpīḍa of Kashmir (779-813 A.D.), viz., Udbhaṭa,<sup>1</sup> who gives different readings to some verses of the Śāstra. We have it on the testimony of Kuntaka that the author of the Kāvyaśāstra-sāra is an expert in the school of Bharata. (Vakrōkti-J. Ms. p. 126. Chap. III)<sup>2</sup>. Before him, Bhāmaha and Daṇḍin seem to be acquainted with this text. When they have to deal with the Rasas and the dramatic technique they refer the inquisitive reader to other works—Anyatṛa, Anyaiḥ, Āgamāntare—(see

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1. Abh. Bh. Vol. II. Chap. IX. p. 307. •

• पञ्च प्रचारास्तस्येति भट्टोद्भटः पठति

Abh. Bh. Vol. II. Chap. XVIII. p. 472.

उद्भटः पठति—स्रग्धरादीन्येव (प्र)योज्यानि नात्पाक्षराणि....इति, स व्याचष्टे- etc.

• •  
2. Vakrōkti-J. Chap. III. p. 126.

ननु भरतनयनिपुणमानसानां परमार्थविदां तत्रभवतां वयं विवदामहे.

Kuntaka here sneers at Udbhaṭa for considering Ūrjasvi to be an alaṅkāra.



Bhāmaha I. 20-24,<sup>1</sup> Daṇḍin I. 31 and II. 366).<sup>2</sup> Taruṇavācaspati on the Kāvyaadarśa explains them as referring to Bharata. Moreover Bhāmaha, in his treatment of Alaṅkāras, mentions an earlier view, which classifies them into five only. (II. 4.)<sup>3</sup> Bharata, who regards them to be only four, (N.S. XVI. 41)<sup>4</sup> should have represented a previous stage of evolution, and he must therefore have been known to Bhāmaha. Further, Abhinava in the same commentary refers to and quotes Śrī Harṣa's Vārtikas on the Nāṭya-śāstra in many places<sup>5</sup> (Abh. Bh. Ms. Vol. I. Chap. IV, pp. 132, 166; Chap. V. pp. 169, 170, 188 and 194; Vol. IV. Chap. XXIX. p. 34; Chap. XXXI. pp. 129 and 133). Though these small extracts are corrupt and not quite intelligible, it is possible to

1. Bhāmaha I. 20-4.

पञ्चभिः सन्धिभिर्युक्तं नातिव्याख्येयमृद्धिमत् । 1. 20.  
युक्तं लोकस्वभावेन रसैश्च सकलैः पृथक् ॥ 1. 21.  
नाटकं द्विपदीशम्यारासकस्कन्धकादि यत् ।  
उक्तं तदभिनेयार्थमुक्तोऽन्यैस्तस्य विस्तरः ॥ 1. 24.

2. Daṇḍin—K. D. I. 31.

मिश्राणि नाटकादीनि तेषामन्यत्र विस्तरः ।  
K. D. II. 366.  
यच्च सन्ध्यङ्गवृत्त्यङ्गलक्षणाद्यागमान्तरे ।  
व्यावर्णितमिदञ्चेष्टमलंकारतयैव नः ॥  
अन्यत्र, आगमान्तरे—भरते (com.)

3. Bhāmaha. II. 3.

अनुप्रासः सयमको रूपकं दीपकोपमे ।  
इति वाचामलंकाराः प्रश्नैवान्यैरुदाहृताः ॥

4. N. S. XVI. 41.

उपमा दीपकं चैव रूपकं यमकं तथा ।  
काव्यस्यैते ह्यलंकाराः चत्वारः परिकीर्तिताः ॥

5. Abh. Bh. Vol. I. Chap. IV, p. 132.

यद्वार्तिकमेवमवान्तरवाक्यैरुपदेशः etc.

Do. p. 166. रसभावे दृष्टहस्तशिरश्चाथ यद्यङ्गं पूर्णं वापूर्णं वा कृत-  
नाटयनृत्तयोर्भेदः तुल्यानुकारत्वे इति हर्षवार्तिकम् ।

make out that the Vārtikas were in the form of verse and prose like the Tantra-Vārtika of Kumārila. If this Śrī Harṣa is identified with the great Emperor of that name that reigned at Kanauj in the years 606-647,—and we have no reason to doubt the identification—then it is clear that this text existed in the beginning of the 7th Century A.D. Again Abhinava quotes half a verse from one Bhaṭṭa Mātṛgupta who is profusely quoted by Rāghavabhaṭṭa and other later commentators on the Śākuntala, Vikramōrvaśīya etc. (Abh. Bh. Vol. IV. Chap. XXIX. p. 32. यथोक्तं भट्टमातृगुप्तेन—पुष्पं च जनयत्येको भूयोऽनुस्पर्शान्वितः ।) Most probably his work is an independent metrical treatise on dramaturgy and music based on the Nāṭyaśāstra and not a commentary thereon. This writer may have been the same as the poet Mātṛgupta who flourished in the court of Śrī Harṣa of Kanauj. (Rāja-taraṅg. III. 129. foll.) Then the above position gains additional strength. Furthermore it may be suggested that even Kālidāsa was familiar with this text; for besides mentioning Bharata as the mythical teacher of histrionics, he exhibits a

Do. Chap. V. p. 169.

श्रीहर्षस्तु रङ्गशब्देन तौर्यत्रिकं ब्रुवन् नाट्याङ्गप्रयोगस्य तस्यैव पूर्वरङ्गतां मन्यमानः पूर्वश्चासौ रङ्ग इति समासममेत । यदाह—दृष्टा येऽवस्थार्थे रङ्गाय पादभङ्गाः स्युः पूर्वं ते एव तु यस्मिन् शुद्धाः स्युः पूर्वरङ्गोऽसौ इत्यादि ।

Abh. Bh. Vol. I. p. 170.

उक्तं च वार्तिके—

Do. p. 188. गुरुः वार्तिकमार्गः

Do. p. 194. यदाह श्रीहर्षः—अत एव हासानामा कस्मिंश्चिन्नाटके दिवं यातः चित्तज्वरेणोत्कलित एवाभिवर्तते शक्यमस्य पुरतोऽवस्थातुमित्यादि—

Abh. Bh. Vol. VI. Chap. XXIX. p. 34.

अत एव श्रीहर्षेण अङ्गनासमुचितं वाद्यमित्याशयेन व्यक्तिव्यञ्जनधातूनां दशविधेनेत्यत्र लब्धात्मनेत्युक्तम् ।

Do. Chap. XXXI. p. 129.

इति वार्तिके—

Do. P. 130.

वार्तिके तु यथाक्षरं द्विसंख्यातं च तत्र त्रिसंख्यातं न माति दक्षिणे तु त्रयमपि तदा त्रिसंख्यातं दक्षिणे स्यादिति.

knowledge of his teachings. He knows that the Rasas are eight in number and that they are manifested by action on the stage. (V. Act II. 18).<sup>1</sup> In the various junctures in the construction of the plot there should be change of Vṛtti (Kaiśikī etc.). The tunes have to vary according to the Rasas. (Ku. VII. 91)<sup>2</sup>. Even Pravarasena appears to be acquainted with the Rasas and their manifestation through Abhinaya<sup>3</sup> (S. B. I. 9, 49; V. 16; X. 58, etc.). Still more, none of the verses cited in illustration of the various metres in the fifteenth chapter of the Śāstra could be traced to any of the extant works of poets from Kālidāsa downward.

Thus we may fairly conclude that the Śāstra surely existed in its present form before the beginning of the 7th century and probably during the time of Kālidāsa also (400 A.D. Keith Skt. Lit., p. 32). And Bharata may have written the original portions of the Śāstra about the time of the birth of Christ. (See Keith. Skt. Drama. p. 81).

1. Kālidāsa. V. II. 18.

मुनिना भरतेन यः प्रयोगो भवतीष्वष्टरसाश्रयो नियुक्तः ।  
ललिताभिनयं तमद्य भर्ता मरुतां द्रष्टुमनाः स लोकपालः ॥

2. Ku. VII. 91.

तैः सन्धिषु व्यञ्जितवृत्तिभेदं रसान्तरेषु प्रतिबद्धरागम् ।  
अपश्यतामप्सरसां मुहूर्तं प्रयोगमाद्यं ललिताङ्गहारम् ॥

See Mallinātha on this.

3. S. B. I. 9.

आहेणवरा आरद्धा चुक्कक्खालिण्णु विहडिअपरिट्ठिविआ ।  
मेत्तिव्व पमुहरसिआ णिव्वोत्तुं होइ दक्कं कव्वकहा ॥

Note Construction. प्रमुखरसिका काव्यकथा—See also Comm.

Do. I. 49. भूमआ विक्खेव सूह आमरिसरसम् ।

Do. V. 16. पणअपडिभङ्गविमणोत्थो अत्थोअपडिवड्ढिआमरिस रसो ।  
तह सोम्मो वि रहुसुओ जाओ पलअरहमण्डलदुरालोओ ॥

Do. X. 58.

लङ्गलन्तासाअं आवेअ विहिण्णवम्महुल्ललिअ सुहम् ।  
छिण्णघटिज्जन्तरसं णावज्जइ दइअ जुवईणम् ॥

The theory of Rasa is an earnest attempt to indicate the 'character of the emotional effect of the Drama', or it successfully explains the rise and nature of the 'æsthetic pleasure' that a responsive audience experiences while witnessing the skilful enactment of a play. It was definitely formulated in its varied aspects for the first time by Bharata in his Nāṭyaśāstra. It was briefly stated by him in that well-known aphorism विभावानुभाव-व्यभिचारिसंयोगात् रसनिरूपितः—(N. S. P. 62). Vibhāvas are certain causes or mainsprings of emotions like love, pathos etc. They are the heroes and the excitants of love etc., like the spring season, pleasure garden, fragrance, moonlight etc. Anubhāvas are the visible effects or the consequents of the searchings of the hearts of the heroes. They are quickly moving eyebrows, side-long glances etc. Vyabhicāribhāvas are transitory or evanescent emotions that tend only to develop the main sentiment, such as anxiety, anger etc.,—the path of love is never smooth. Through a harmonious blending and representation of appropriate Vibhāvas, Anubhāvas and Vyabhicāribhāvas, there arises in the audience a certain climax of emotion, invariably accompanied by a thrill and sense of joy: and this is Rasa or æsthetic pleasure. To take a concrete instance :—Skilled actors represent Duṣyanta and Śakuntalā. These first meet in the pleasant, beautiful groves of the hermitage (Vibhāva). Each, struck with the rapturous beauty of the other, casts eager, longing looks. At forced parting Śakuntalā finds an excuse to steal a glance at her lover (Anubhāva). In their extreme diffidence and anxiety they pine away, one for the other (Vyabhicāribhāva). Happily Śakuntalā's mate helps her in declaring her love through a letter. Duṣyanta hastens to present himself and there is union of the lovers. When all these are represented aided by poetry, music and other histrionic devices,—which Bharata calls Nāṭyadharmī—the deep-seated instinctive impression of love (Sthāyibhāva) is kindled in the mind of the audience and developed to that climax through complete imaginative sympathy with the situation. The audience forgets all differences of person, time and place; this climax of emotion reveals itself in a sort of blissful consciousness. This bliss is Rasa.

After stating this theory, Bharata classifies the Rasas into eight in accordance with the eight dominant emotional moods, which, when developed, transform themselves into the Rasa.

They are (1) Śṛṅgāra or love, (2) Hāsyā or humour, (3) Karuṇa or pathos, (4) Raudra or wrath, (5) Vīra or heroism, (6) Bhayānaka or terror, (7) Bībhatsa or disgust, (8) Adbhuta or wonder or the marvellous. He further enumerates thirty-three Vyabhicāribhāvas and eight Sāttvikabhāvas, which are only external indications of emotion. He also suggests the appropriate Vibhāvas, Anubhāvas and Vyabhicāribhāvas that tend most to the development of the particular Rasas (see N. S. Chapters VI & VII).

In addition to its enunciation, the author elaborates on the various contributory factors that promote the realisation of Rasa. All of them are brought under Abhinaya or action which is fourfold (N. S. Chap. VIII. 9)<sup>1</sup> : (1) Āṅgika, (2) Vācika, (3) Āhārya, (4) Sāttvika. These are respectively action through the limbs of the body, speech, dress and certain outward expressions of emotion. Numerous divisions are given under each head and the relevancy of each kind of action in the promotion of the different Rasas is indicated then and there. In the endeavour to treat all arts as subordinate and ancillary to histrionics, Bharata brings poetry and literary criticism under Vācikābhinaya. He deals fully with the ideas of poetic style and diction prevalent during his time. In Chapter XV he defines and illustrates the different metres that lend sweetness to dramatic style. In the next he deals with the Alaṅkāras or poetic embellishments, which according to him are only four, the same ten traditional Guṇas or qualities of style and ten Dōṣas or faults of style, which have to be avoided. Dramatic technique is treated fully in Chapters XVIII and XIX and the four Vṛttis, Kaiśikī etc., in the twentieth. Music and its use in kindling up the particular emotions are dealt with at great length in the last chapters of the work.

Though Bharata does not recognise the individuality of the art of literary criticism, he gives it indeed a prominent place in the scheme of histrionics. The conceptions of Guṇa, Alaṅkāra, Vṛtti etc. bear ample testimony to the high literary sense and the spirit of critical inquiry into the distinguishing characteristics of poetic style in the learned men of his age. The

<sup>1</sup> N. S. VIII. 9.

आङ्गिको वाचिकश्चैव द्वाहार्यः सात्त्विकस्तथा  
ज्ञेयस्त्वभिनयो विप्राश्चतुर्धा परिकल्पितः ॥

evolution of the theory of *Rasa*, which is a successful analysis of the process of the realisation of pleasure in poetry and which enabled later critics to formulate an excellent theory of poetry based on it, fully testifies to the genius of Bharata; and poetics itself appears in the *Nāṭyaśāstra* to have long outgrown its nascent stage and bids fair to assert its independence. Being the earliest systematic exponent of the science, in addition to being at the head of the *Rasa* school, Bharata may justly claim the title of the Father of Sanskrit literary criticism.

In this connection there is one other question, that of the Hellenic influence on Sanskrit poetics. That Europe drew her cultural inspiration from ancient Greece has been her glory; but the Indian genius knew to strike an independent path in the many branches of her abundant culture. The theory of the Greek origin of the Sanskrit Drama is now set at rest. The Hellenic influence on Sanskrit poetics has rarely been put forward seriously, though there may be a natural prejudice in its favour on account of the antiquity of Greek poetics. There is no doubt similarity between the *Nāṭyaśāstra* and the Poetics of Aristotle in respect of the importance of the 'fable', the unities of action and time, the classification of characters and the function of the Drama, *viz.*, to afford immediate pleasure to the audience. But there is very little in the 'Poetics' that would correspond to Bharata's analysis of the realisation of 'aesthetic pleasure' and his enunciation of the *Vibhāvas*, *Anubhāvas* and *Vyabhicāribhāvas*. The term 'sentiment' in Aristotle means only a mode of forcible expression of ideas (*see* Poetics Chap. VI). Except this aforesaid similarity which might probably have been the result of independent speculations there is no unmistakable indication of borrowing from the Greeks, and most probably Sanskrit poetics had an indigenous origin.

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## CHAPTER IV.

### FROM BHĀMAHA TO ĀNANDAVARDHANA.

#### *Section I.—General Tendencies.*

During the period that intervened between Bharata and Ānandavardhana (9th century), the individuality of the Science of literary criticism became fully recognised, and speculations on the distinctive characteristics of poetic language appear to have been abundant. In spite of some commentaries on the Śāstra and also of separate treatises on histrionics, the theory of Rasa gained little favour among the literary critics of this period, for the prevailing tendency of the times was to discover the nature of poetic form, to formulate the various decorative devices called Alaṅkāras or figures of speech and qualities of style known as Guṇa and Rīti, and to hail one or the other of these three as the very life of poetry. However, these critics were not insensible to the sweetness of Rasa, but in their fondness for their own favourite theories they relegated the Rasa to some corner and gave it indeed a disproportionately small place among the numerous Alaṅkāras and Guṇas. But the poets of this period seem to be fully alive to the importance of the Rasa and this could easily be made out from their occasional reflections on poetry. The wild protests of Kālidāsa and Bhavabhūti and Subandhu's denunciation of the wickedness of critics in trying to pick holes in good poetry and rarely endeavouring to appreciate and enjoy the beauty and sweetness of the Rasas thereof, are quite significant of the temper and taste of that age. Even the great enthusiast of the Rasa School, Bhavabhūti—not to speak of Bāṇa and Māgha—could not be free from the influence of this low taste which applauded Śabdacitra or wordplay. For did he not in the most moving situations indulge at times in the concatenation of jingling words? The great poets devoted a whole canto in their poems to conundrums and acrostics. But it must be admitted that, generally, poets of this period realised fully that poetry should appeal more to the heart than to the head and that they, in practice, paid equal attention to poetic form

and content: Moreover, in a creative and formative age there is nothing unnatural or extraordinary in the expression of extreme views by critics; and to be fair to the writers of extant works of this period, it should be said that they had developed a fine sense of the external beauty of poetry, and the many illustrative verses composed or quoted by them fully bear out this fact.

*Section II.—Bhāmaha.*

The earliest writers of this period whose works have been preserved to us are Bhāmaha and Daṇḍin, the two great champions, respectively, of the Alaṅkāra and the Guṇa school of critical thought. There raged a long controversy regarding the relative chronology of Bhāmaha and Daṇḍin. Through the researches of K. P. Trivedi, Prof. M. Rangacharya and other able scholars it appeared to have been decided that Bhāmaha preceded Daṇḍin. But recently Prof. Keith holds that Bhāmaha undoubtedly came after Daṇḍin on these grounds—that Bhāmaha was later than Uddyōtakara and Jinēndrabuddhi and that the 'Kāvyaḍarśa of Daṇḍin shows a very simple stage of the development of the conception of poetry' (Skt. Lit. p. 113). These grounds are all untenable.

It is said that Bhāmaha in Chapter V has used some philosophical texts of Dharmakīrti (S. K. De. Skt. Poetics Vol. I, p. 48). Prof. Keith probably ventures his opinion on this that Bhāmaha who criticised the definitions of perception and inference given by Buddhistic philosophers, could have done so only after Uddyōtakara had dealt with them. Bhāmaha cites the definitions thus :—

प्रत्यक्षं कल्पनापोढं ततोऽर्थादिति केचन । Bhāmaha V-6.

विरूपाक्षिज्ञतो ज्ञानमनुमानं च केचन । .

तद्विदो नान्तरीयार्थदर्शनं चापरं विदुः ॥ Bhāmaha V-11.

Dharmakīrti in his Nyāyabindu (Bibloth. Ind. Edn. pp. 103 and 104) defines Pratyakṣa and Anumāna thus:—

“तत्र प्रत्यक्षं कल्पनापोढमभ्रान्तम्”

“विरूपाक्षिज्ञाद्यदनुमेये ज्ञानं तदनुमानम्” ।

This similarity in expression has probably led to the supposition that Bhāmaha took them from Dharmakīrti. But



the fact is that the definitions are cited from writers much earlier than Dharmakīrti. Of the four bits—

(1) प्रत्यक्षं कल्पनापोढम्, (2) ततोऽर्थात्, (3) तद्विदो नान्तरी-  
यार्थदर्शनम् [अनुमानम्], and (4) त्रिरूपाल्लिङ्गतो ज्ञानमनुमानम्—

the first three are cited in the Nyāyavārtika and criticised at length <sup>1</sup>(see N. V. pp. 42, 43-44 and 56-57). Vācaspati in his Tātparyāṭikā identifies the author of the first and third pieces to be Diñnāga and the second, Vasubandhu <sup>2</sup>(see Tat. T. Vizianagaram Edn. pp. 99, 102 and 127). In answer to the criticisms of the Vārtikakāra on Diñnāga's definition of perception Dharmakīrti appears to have added the qualification 'Abhrāntam' to it. Had Bhāmaha known Dharmakīrti, he should have taken for criticism this improved definition and not the old one of Diñnāga which had already been torn to pieces by Uddyōtakara. Regarding the definition of 'anumāna' it is but reasonable to

1. N. V. p. 42 (Vizianagaram Edition).

अपरे पुनर्वर्णयन्ति—ततोऽर्थाद्विज्ञानं प्रत्यक्षमिति । तन्न ।

2. Tat. T. p. 99.

तदेवं प्रत्यक्षलक्षणं समर्थं वासुबन्धवं तावत् प्रत्यक्षलक्षणं विकल्पयितु-  
मुपन्यस्यति—अपरे पुनरिति । लक्षणं व्याचष्टे—ततोऽर्थादिति ।

1. N. V. pp. 43-44.

अपरे तु मन्यन्ते प्रत्यक्षं कल्पनापोढमिति । अथ केयं कल्पना ?

2. Tat. T. p. 102.

सम्प्रति दिङ्नागस्य लक्षणमुपन्यस्यति—अपरे इति ।

दूषयितुं कल्पनास्वरूपं पृच्छति—अथ केयमिति ॥

1. N. V. pp. 56-57.

अपरे ब्रुवते—नान्तरीयार्थदर्शनं तद्विदोऽनुमानमिति ।

2. Tat. T. pp. 126-127.

(a) स्वलक्षणं समाधाय परेषामनुमानलक्षणं दूषयितुमुपन्यस्यति—अपरे  
त्विति ।

(b) न हि दिङ्नागमते किञ्चिदस्ति वस्तु यन्नान्तरीयकं सत् हेतुर्भवति ।

(c) तदनेन दिङ्नागस्य लक्षणं दूषयित्वा अन्येषां लक्षणं दूषितम् ।

believe that when, of the four definitions, three happen to be drawn from earlier works, the fourth also was from the same source. The fact that the second and the fourth pieces are referred to by the same term 'Kēcana' in Bhāmaha would surely induce us to take them to belong to the same writer; and when one of them is identified to be Vasubandhu's the other also might be his. Why then did Uddyōtakara omit to criticise it? Most probably because that definition, which required for very inference the presence of the hētu in the pakṣa and the udāharaṇa and the absence of it from the contrary instance, did not materially differ from the orthodox view of the Naiyāyikas. Further there is nothing definite to show that Bhāmaha borrowed his criticisms of the Buddhistic doctrines from the Vārtikakāra. To say that it is possible for Bhāmaha to offer any such criticism only after the Great Uddyōtakara has made his attacks is to deny the continuity of the Nyāya system. It is very likely that Bhāmaha lived sometime before Uddyōtakara (650 A.D.) when there raged a keen controversy between the adherents of the Nyāya and the Buddhistic Logic and that Bhāmaha thought it necessary at that stage to deal with it even in a work on Poetics.

Trivedi has already demonstrated that the Nyāsakāra referred to by Bhāmaha should be different from Jinēndrabuddhi. (700 A.D.) The correct interpretation of the verses in question 1(VI. 36 and 37) is this:—Young aspirants should not use compounds with words ending in the suffix *tṛc* merely on the authority of the Nyāsakāra who against this rule has used 'Vṛtrahantā.' Such a word does not occur in the printed Nyāsa of Jinēndrabuddhi, who moreover does not appear to favour such a usage (see Nyāsa Rajshahi Edn. 1915 p. 385 on Pāṇini II. ii. 15). To say that Bhāmaha refers to Jinēndra relying on the identity of the name 'Nyāsa' which, as Trivedi has shown, has been the common name of many other similar works, is only a bold but untenable assertion (see I. A., Vol. XLIV. p. 275, Vol. XLV. p. 25).

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- 1. Bhāmaha VI. 36-37. •

शिष्टप्रयोगमात्रेण न्यासकारमतेन वा ।

तृचा समस्तषष्ठीकं न कदाचिदुदाहरेत्

सूत्रज्ञापकमात्रेण वृत्रहन्ता यथोदितः ।

Scholars have clearly shown that Bhāmaha represents an earlier stage than Daṇḍin in the evolution of Sanskrit literary criticism. True that Bhāmaha recognises some predecessors in the field like Medhāvīn. But his work indicates distinctly that the science of literary criticism has not completely established its individuality and effected the needed divorce from the other branches of knowledge like Logic and Grammar. While Bhāmaha devotes a whole chapter to each of these, Daṇḍin omits to deal with them. Bhāmaha refers to an earlier view which recognised only five Alaṅkāras—a slight development out of the four mentioned by Bharata (N. S. XVI. 41) and he claims some originality for the discovery, enunciation and illustration of the various other Alaṅkāras <sup>1</sup>(see II. 96, III. 57, VI. 64). There are not many sub-divisions under each Alaṅkāra as in Daṇḍin; Svabhāvokti has not yet gained a place among the Alaṅkāras. Śabdālaṅkāras are very few in Bhāmaha, but Daṇḍin deals with numerous varieties of them in a full chapter (Chap. III). The conception of the styles Vaidarbhī and Gauḍiyā appears to be in its nascent stage in Bhāmaha who makes scanty reference to them and who has little preference between the two, while their character and place have become definitely settled in Daṇḍin. The conception of poetry given by Bhāmaha, viz., ‘शब्दार्थौ सहितौ काव्यम्’ (I. 16)—form and content, well matched is poetry—is certainly simpler than Daṇḍin’s इयर्थव्यवच्छिन्ना पदावली (I. 10.) ‘Poetry is a collocation of beautiful words harmonised with agreeable sense.’ The lucidity and the richness of Daṇḍin’s verses should not induce one to assign him to an earlier date (see I. A., Vol. LIV. pp. 9), 232 ; Vol. XLII. pp. 204, 258;

1. Bhāmaha II. 96.

स्वयंकृतैरेव निदर्शनेभ्यः मया प्रकृप्ता खलु वागलंकृतिः ।

अतः परं चारुरनेकधापरो गिरामलंकारविधिर्विधास्यते ॥

Do. III. 57.

गिरामलंकारविधिः सविस्तरः स्वयं विनिश्चित्य धिया मयोदितः ।

अनेन वागर्थविदामलंकृता विभाति नारीव विदग्धमण्डला ॥

Do. VI. 64.

अवलोक्य मतानि सत्कवीनामबगम्य स्वाधिया च काव्यलक्ष्म ।

सुजनावगमाय भामहेन प्रयितं रक्त्रिल गोमिसूनुनेदम् ॥

K. P. Trivedi's introduction to his edition of the *Pratāparudra* S. K. De. Sanskrit poetics).

Further in the *Śṛṅgāraprakāśa* of King Bhoja of the Pāra-māra Dynasty—a manuscript of which is deposited in the Government Oriental Library, Madras—is found the following (*Śṛṅg. P.* Vol. II. Chapter XI. pp. 371-2).

तदुक्तम् —

वक्रत्वमेव काव्यानां परा भूषेति भामहः ।

श्लेषः पुष्पाति सर्वासु प्रायो वक्रोक्तिषु श्रियम् ॥

This verse is cited in a context where there are numerous other quotations from the *Kāvyaadarśa*, and the latter half of this verse is undoubtedly the first half of Daṇḍin's verse K. D. II. 362.<sup>1</sup> Though the first half is not found in any of the printed texts, according to the high authority of Bhoja it did form part of the same verse. Daṇḍin's reference in the printed text to 'Ślēṣa' beautifying Vakrokti, which appears immediately after his treatment of *Samsṛṣṭi*, surprises the reader. But Bhoja's reading of the verse given above furnishes the necessary link. After treating of *Alaṅkāras*, Daṇḍin, summing up all the poetic embellishments, refers to the well-known view of Bhāmaha that *Vakratva*—or deviation from the ordinary mode of expression—is the best ornament of poetry and adds his own improvement on that. If the above reading given by the learned Poet-King is accepted to be genuine, it forms unmistakable proof of the priority of Bhāmaha to Daṇḍin.

Bhāmaha is known to Udbhaṭa who wrote the *Bhāmaha-vivaraṇa* (see *Pratīhārendurāja*<sup>2</sup> on K. L. S. p. 13) and also to

1. Daṇḍin II. 361-62.

आक्षिपन्त्यरविन्दानि मुग्धे तव मुखश्रियम् ॥

कोशदण्डसमप्राणां किमेषामस्ति दुष्करम् ॥ K. D. II. 361.

संसृष्टिमुदाहरति—आक्षिपन्तीति ।

श्लेषः पुष्पाति सर्वासु प्रायो वक्रोक्तिषु श्रियम् ।

भिन्नं द्विधा स्वभावोक्तिर्वक्रोक्तिश्चेति वाङ्मयम् ॥ K. D. II. 362.

2. *Pratīhārendurāja* on K. L. S. p. 13.

विशेषोक्तिलक्षणे च भामहविवरणे भट्टोद्भटेन एकदेशशब्दः एवं व्याख्यातो यथेहास्माभिर्निरूपितः ।

Daṇḍin. He was most probably an elder contemporary of Uddyōtakara and Dharmakīrti both of whom lived about 650 A. D. He may thus be placed in the period that immediately preceded 650 A. D. *i. e.*, in the 2nd quarter of the 7th century.

✓ The attitude of Bhāmaha to the Rasa theory is distinctly that of an exponent of a rival school of criticism; and this is clear from the scanty treatment that he accords to it. He who holds that Alaṅkāras exhaust the chief characteristics of Poetry naturally brings Rasa also under an Alaṅkāra called 'Rasavad' (III. 6).<sup>1</sup> He further recognises two others—Preyas and Ūrjasvin—which represent the sentiment of spiritual love and consciousness of superior might (III. 57).<sup>2</sup> But he betrays his knowledge of all the Rasas when he says युक्तं लोकस्वभावेन रसैश्च सकलैः पृथक् । (Bhāmaha I. 21)—meaning that in the Drama all the Rasas should be delineated. He is also fully alive to the delectableness of tender sentiments, and he feels that they are the best vehicle to inculcate even moral principles (V. 3).<sup>3</sup>

### Section III.—Daṇḍin.

The next important name after Bhāmaha is that of Daṇḍin. He is the exponent of the Guṇa school, which holds that the beautiful collocation of words and ideas is the chief feature of poetry. The ten Guṇas are the life of the best poetic style called

1. Bhāmaha. III. 6.

रसवद्दर्शितस्पष्टशृङ्गारादिरसं यथा ।  
देवी समागमद्वर्द्धमस्करिण्यतिरोहिता ॥

2. Do. III. 5 & 7.

प्रेयो गृहागतं कृष्णमवादीद्विपुरो यथा ।  
अद्य या मम गोविन्द जाता त्वयि गृहागते ॥  
कालेनैषा भवेत्प्रीतिस्तवैवागमनात्पुनः ॥  
ऊर्जस्वि कर्णेन यथा पार्थाय पुनरागतः ।  
द्विः सन्दधाति किं कर्णः शल्येत्यहिरपाकृतः ॥

3. Do. V. 3.

स्वादुकाव्यरसोन्मिश्रं शास्त्रमप्युपयुञ्जते ।  
प्रथमालीढमधवः पिबन्ति कटु भेषजम् ॥

the Vaidarbhī (K. D. Chap. I. 40-42)<sup>1</sup>; and the Alaṅkāras, which Bhāmaha regarded as the soul of poetry, are treated by Daṇḍin as mere embellishments of poetic language (K. D. Chap. II. 1).<sup>2</sup> Daṇḍin's conception of Guṇa covers not merely the lucidity, sweetness, the liquid movement and other qualities of expression but also the grandeur, the felicity and richness, etc., of ideas. He lays great stress on the purity and refinement of language (K. D. I. 7, 62).<sup>3</sup>

His date is one of the greatest puzzles in the History of Sanskrit Literature. No definite data are yet forthcoming to solve the problem. But it is certain that he is later than Bāṇa and Bhartṛhari, and it has been shown that he lived after Bhāmaha also. He is known to Vāmana who appears to have taken the cue from him for the formulation of his own conception of poetry. He should thus be placed between 650 A. D. and 779 A. D.—the date of Jayāpīḍa (779-813) in whose court Vāmana flourished. At present it may be taken tentatively that he lived in the last quarter of the 7th century or about 700 A.D.

Daṇḍin's attitude towards the theory of Rasa is a continuance of the one taken by Bhāmaha; and following him, he too brings

1. K. D. I. 40-42.

अस्त्यनेको गिरां मार्गः सूक्ष्मभेदः परस्परम् ।  
तत्र वैदर्भगौडीयौ वर्ण्येते प्रस्फुटान्तरौ ॥  
श्लेषः प्रसादः समता माधुर्यं सुकुमारता ।  
अर्थव्यक्तिरुदारत्वमोजःकान्तिसमाधयः ॥  
इति वैदर्भमार्गस्य प्राणा दशगुणाः स्मृताः ।

2. K. D. II-1.

काव्यशोभाकरान् धर्मानलंकारान् प्रचक्षते ।

3. K. D. I. 7.

तदल्पमपि नोपेक्ष्य काव्ये दुष्टं कथञ्चन ।  
स्याद्विषयः सुन्दरमपि श्रित्वैकैकं दुर्भगम् ॥

Do. I. 62.

कामं सर्वोऽप्यलंकारो रसमर्थे निषिञ्चतु ।  
तथाप्यग्रास्यतैवैनं भारं वहति भूयसा ॥

the Rasas under the three Alaṅkāras Preyas, Rasavad and Ūrjasvin. But probably owing to the influence of poets or his own sympathy and feeling for the delectableness of the Rasas he sets greater store by them and also devotes greater space in his *Kāvyaadarśa* (see II. 275-291).<sup>1</sup> He defines them in Chap. II. verse 275 and illustrates them by the following verses. The instance of 'Preyas' is the same as Bhāmaha's (III. 5). He fully expounds the most striking characteristic of each of the eight Rasas of Bharata and gives excellent verses in illustration. The very sweetness of these verses exhibits clearly his keen perception of that element in poetry which appeals most to the heart or which moves and entrances the reader, *viz.*, the Rasas. In spite of his own theory that the Guṇas are the life of poetry, being a poet he is probably overpowered by feeling for this element in poetry and is forced to recognise it to be quite as appealing as the Guṇas. For he speaks thus in K.D. II. 292.<sup>2</sup> "It has been shown that, of composition which is the acme of refinement, Mādhurya or sweetness is the essence. Here it is stated that the life of poetic language is the delineation of the Rasas." He further betrays his acquaintance with the details in the theory of the realisation of Rasa. He knows what *Sthāyibhāvas* are and also their distinction from Rasas (K. D. Chap. IV. 48).<sup>3</sup> On the whole Daṇḍin is not so antagonistic to the Rasa School as Bhāmaha.

Here mention may be made of some evidence regarding the authorship of the anonymous commentary called the *Hṛdayaṅgama* printed in Prof. Rangacharya's Edition of the '*Kāvyaadarśa*' (Madras). In the *Śṛṅgāraprakāśa* of Bhoja Vol. II. Chap. XI.

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1. K. D. II. 275.

प्रेयः प्रियतराख्यानं रसवद्रसिपेशलम् ।  
ऊर्जस्वि रूढाहंकारं योक्तोत्कर्षं तु तत् त्रयम् ॥

2. K. D. II. 292.

वाक्यस्याग्राम्यतायोनेर्माधुर्यं दर्शितो रसः ।  
इह त्वष्टरसायत्ता रसवत्ता स्मृता गिराम् ॥

3. K. D. IV. 47-48.

मार्गः कलाविरोधस्य मनामुद्दिश्यते यथा । 47.  
वीरशृङ्गारयोर्भावौ स्थायिनौ क्रोधविस्मयौ ॥ 48.

pp. 373-6 are cited eight verses from Daṇḍin to illustrate the eight accepted Rasas. Curiously enough, Bhoja's comments on six of the verses—K. D. Chap. II. 284, 286<sup>1</sup>, 288, 289, 290 and 291<sup>2</sup>—agree almost word for word with those of the Hṛdayaṅgama. Is Bhoja himself the author of the commentary or is he

1. Śṛṅg. p. Vol. II. p. 375.

शोकात्करुणानिष्पत्तिः यथा—

यस्याः कुसुमशय्यापि कोमलाङ्गया रुजाकरी ।  
साधिशेते कथं देवी हुताशनवतीं चिताम् ॥

अत्र चालम्बनविभावभूतात् देवीमरणादुत्पन्ने शोकस्थायिभावे चित्तानिवेशनहुताशनज्वालादिभिरुद्दीपनविभावैः उद्दीप्यमाने समुत्पन्नेषु निर्वेदग्लानिचिन्तास्तम्भादिषु व्यभिचारिषु प्रकर्षात्करुणरसतामापन्ने स्थायिनि करुणरसवतः नायकस्य यदेतत् यस्याः कुसुमशय्यापीत्यादिवचनं तत् करुणरसादुपजायमानं अनुभावरूपं रसवदुच्यते ।

Hṛdayaṅgama :—

यस्या इति । आलम्बनविभावभूतात् देवीमरणात् उत्पन्नः शोकः स्थायी भावः, चित्तानिवेशनहुताशनज्वालादिभिः उद्दीप्यमानः, वागारम्भानुभावानुमेयैः निर्वेददैन्यादिभिः व्यभिचारिभिः संसृज्यमानः करुणः इति ज्ञायते । तादृशेन करुणरसेन अन्वितं रसवत् एतत् ।

2. Śṛṅg. p. Vol. II. p. 374.

भयाद्भयानकनिष्पत्तिर्यथा—

इदं मघोनः कुलिशं धारासन्निहितानलम् ।  
स्मरणं यस्य दैत्यस्त्रीगर्भपाताय कल्पते ॥

अत्र महेन्द्रकुलिशाद्वारासन्निहितानलादालम्बनविभावात् स्मर्यमाणादपि दैत्यस्त्रीणामुत्पन्ने भयस्थायिभावे तद्विभिन्नदानवमरणस्मर्यमाणादिभिः उद्दीपनविभावैरुद्दीप्यमाने समुत्पन्नेषु खेदस्तम्भवैवर्ण्यवेषथुप्रभृतिषु व्यभिचारिभावेषु स्थायिनिः भयानकरसतामापन्नस्य गर्भपातादिभिः अनुभावैः विभाव्यमानस्य स्वरूपसूचकम्—इदं मघोनः कुलिशम्—इत्यादिवचनम् । तदपि तन्मूलत्वात् रसवदुच्यते ।

Hṛdayaṅgama :—

इदमिति । अत्र महेन्द्रकुलिशधारासन्निहितानलात् आलम्बनविभावात् स्मर्यमाणादपि दैत्यस्त्रीणामुत्पन्नं भयं स्थायी भावः, तदीयावयवदर्शनादिभिः



some one else that has copied from Bhoja or is it *vice versa*? The profuse quotations in Bhoja's works from the Kāvyaḍarśa and the lack of definite references to later writers in the Hṛdayaṅgama would lend support to the presumption that the anonymous commentary was written by King Bhoja.

*Section IV.—Vāmana.*

Vāmana appeared some decades after Daṇḍin, as the staunch advocate of the Rīti School of critical thought. To him poetry is the representation of the 'beautiful' and the perception of the beautiful gives pleasure to the critic. This beauty in poetry is attained through the absence of faults and the presence of Guṇas and Alaṅkāras (Vāmana Chap. I. i., Sūtras 1-5).<sup>1</sup> He considers that Rīti or style is the soul of poetry (I. ii. 6).<sup>2</sup> Rīti is beautiful diction endowed with the Guṇas (I. ii. 7, 8).<sup>3</sup> It is of three kinds Vaidarbhī, Gauḍiyā and Pāñcālī (I. ii. 9).<sup>4</sup> Of these, the Vaidarbhī style possessing all the Guṇas constitutes the best poetry (I. ii. 11).<sup>5</sup> This conception of the three poetic styles is only a development from the two recognised by Bhāmaha and given

उद्दीपनविभावैः उद्दीप्यमानः गर्भपातादिभिः अनुभावैः तदनुमितस्तम्भवैवर्ण्यवेषथु-  
प्रभृतिभिः व्यभिचारीभिः संसृज्यमानः भयानकरसरूपेण निष्पाद्यते । तत्संबन्धात्  
रसवदेतत् ।

1. Vāmana I. i. 1-5.

काव्यं ग्राह्यमलंकारात् 1.

सौन्दर्यमलंकारः— 2.

स दोषगुणालंकारहानादानाभ्याम् 3.

शास्त्रतस्ते-4.

काव्यं सदृष्टादृष्टार्थं प्रीतिकीर्तिहेतुत्वात् 5.

2. Do. I. ii-6. रीतिरात्मा काव्यस्य.

3. Do. I. ii-7. विशिष्टा पदरचना रीतिः ।

Do. I. ii-8. विशेषो गुणात्मा.

4. Do. I. ii-9. सा त्रेधा वैदर्भी गौडीया पाञ्चाली चेति ।

5. Do. I. ii-11. समग्रगुणोपेता वैदर्भी ।

See page 25, fn. I.

full shape and character by Daṇḍin. Vāmana's Vaidarbhī is taken entirely from Daṇḍin K. D. I. 41-2. Vāmana emphasised this stylistic aspect of poetry much more than Daṇḍin: and he also formulated a third variety of style called Pāñcālī, which is but a blending of the other two styles. According to Vāmana style is the life of poetry, the Guṇas endow beauty to it and the Alaṅkāras enhance that beauty (III. i. 1 and 2).<sup>1</sup>

Vāmana's date could be determined with much less difficulty than that of Daṇḍin or Bhāmaha. He quotes Magha's Śīsupālavadha canto III. 8,<sup>2</sup> under the Sūtra IV. iii. 10 and Bhavabhūti's Mahāvīracarita Act I. 54 under I. ii. 12.<sup>3</sup> Ānandavardhana is presumed by Abhinava on page 37 to have kept in view Vāmana in giving his illustration in the Dhvanyāloka p. 37.<sup>4</sup> He further refers to the three styles of Vāmana in the Dhvanyāloka p. 231. Māgha lived about 700 A.D. and Bhavabhūti about 730 A.D. in the reign of Yaśōvarman of Kanauj. Ānandavardhana flourished about 850 A.D., and Vāmana should have lived between 730 and 850 A.D. He is probably identical with the minister of Jayāpīḍa (779-813) mentioned in the Rājatarāṅgiṇī IV. 497, and in that case he may be placed in the last quarter of the eighth century.

Vāmana takes a similar attitude towards the Rasas as Daṇḍin. They both give a small place to them in their works, but there is essential difference. Following Bhāmaha, Daṇḍin brings the Rasas under the Alaṅkāras; Vāmana treats them as the essential feature of the Guṇa 'Kānti'. It is the Rasas that give brilliance (Kānti) to poetic style—दीप्तरसत्वं कान्तिः—III. ii. 14.<sup>5</sup> He illus-

1. Vāmana III. i-1. काव्यशोभायाः कर्तारो धर्मा गुणाः

Do. III. i-2. तदतिशयहेतवस्त्वलंकाराः ।

2. Māgha; Śīśu. III.8. उभौ यदि व्योम्नि पृथक् पतेताम् etc.

3. Maha. I. 54. दोर्दण्डाश्चितचन्द्रशेखरधनुर्दण्डा- etc.

4. Lōcana.

वामनस्याभिप्रायेणायमाक्षेपः, भामह्याभिप्रायेण तु समासोक्तिरित्यमुमाशयं हृदये गृहीत्वा समासोक्त्याक्षेपयोरिदमेकमेवोदाहरणं व्यतरत् ग्रन्थकृत् ।

5. Vāmana. III. ii-14. दीप्तरसत्वं कान्तिः—

Vṛtti on this. दीप्ता रसाः शृङ्गारादयः यस्य सः दीप्तरसः । तस्य भावः दीप्तरसत्वं कान्तिः । यथा—प्रेयान् सोऽयमपाकृतः etc. Amaruśataka. एवं रसान्तरेष्वप्युदाहार्यम् ।

trates it with reference to the most important of the Rasas, Śṛṅgāra, by an exquisite verse from the Amaruśataka and asks the reader to select the instances of Kānti where other Rasas illumine poetry. He considers the Drama to be the best literary kind I. iii. 30.<sup>1</sup>, and as Rasa could be best developed only through a play it distinctly shows his appreciation of the important place of Rasa in Poetry.

*Section V.—Udbhaṭa.*

Udbhaṭa appears to have been a dual personality in that he was a follower of the two opposing schools represented by Bhāmaha and Bharata. Besides his Kāvyaśāstra—Alaṅkārasāra—which is only a lucid exposition of Bhāmaha's Alaṅkāras with a few additions like Svabhāvokti—he wrote the Bhāmahavivarṇa and the poem Kumārasambhava to illustrate the Alaṅkāras (see Pratihārēndurāja pp. 13 and 15).<sup>2</sup> As has been remarked before, in connection with the date of Bharata, he should have also written a commentary on the Nāṭyaśāstra. Abhinava further refers to the views of the followers of Udbhaṭa in his commentary on the Śāstra. Ms. Vol. I. Chap. VI. p. 198.<sup>3</sup> Even the small extant work of Udbhaṭa bears full evidence to his knowledge of the teachings of Bharata. Though, like Bhāmaha, he treats the Rasas under Alaṅkāras, he deals with them in greater detail and displays an intimate knowledge of the factors in the realisation of Rasa.

1. Vāmana I. iii-30-1.

सन्दर्भेषु दशरूपकं श्रेयः ; तद्वि चित्रं चित्रपटवत् विशेषसाकल्यात् ।

2. Prati. P. 13.—See *anti*, p. 23.

Prati. p. 15 :— •

अनेन ग्रन्थकृता खोपरचितकुमारसम्भवैकदेशोऽत्र उदाहरणत्वेनोपन्यस्तः ।

See pp. 10 and 11.

3. Abh. bh. Vol. I. p. 198.

न तु भरते तत्संगृहीतस्यापि पुनरुद्बोधेशो निर्देशे चैतत् कमव्यत्यासना-  
दिसौद्वटाः । नैतदिति भट्टलोल्लटः ।

औद्वटाः— The Madras Manuscript does not read this word as  
उद्वटः as given by Dr. De. Skt. Poetics, Vol. I. p. 26.

He was a contemporary of Vāmana and Dāmodaragupta and the Chief Court Paṇḍit under Jayāpīḍa of Kashmir (Rājatarāṅgiṇī IV. 495-97). He should have then flourished in the last quarter of the eighth century.

Udbhaṭa mentions like Bhāmaha and Daṇḍin the three Alāṅkāras, Preyas, Rasavad, and Ūrjasvin besides a new one Samāhita; But his conception of them differs from his predecessors (*see* K. L. S. Chap. IV.).<sup>1</sup> Preyas, according to him, is the development of an emotion like love to that extent only, when it could be called Bhāva and not Rasa. Rasavad is that piece of poetry where Rasas are fully developed—through Svaśabda (express reference), Sthāyī, Sañcārī (evanescent feelings), Vibhāva and Abhinaya. This agrees with the generally accepted theory except in this respect that Rasa could be realised through express reference; and this view is severely criticised by Ānandavardhana and Abhinavagupta (*see* Dhv. pp. 24-26). He accepts the eight Rasas of Bharata and recognises, probably for the first time in the history of the theory of Rasa, Śāntarasa as

1. Udbhaṭa's K. L. S. Chap. IV.

प्रेयो रसवदूर्जस्वि पर्यायोक्तं समाहितम् ।

प्रेयस्वत् तावत्

रत्यादिकानां भावानामनुभावादिमूचनैः ।

यत्काव्यं बध्यते सङ्गिः तत्प्रेयस्वदुदाहृतम् ॥

रसवत्

रसवद्वर्शितस्पष्टशृङ्गारादिरसोदयम् ।

स्वशब्दस्थायिसञ्चारिविभावामिनयास्पदम् ॥

शृङ्गारहास्यकरुणरौद्रवीरभयानकाः ।

बीभत्साद्भुतशान्ताश्च नव नाट्ये रसाः स्मृताः ॥

ऊर्जस्वि

अनौचित्यप्रवृत्तानां कामक्रोधादिकारणात् ।

भावानां च रसानां च बन्ध ऊर्जस्वि कथ्यते ॥

समाहितम्

रसभावतदाभासवृत्तेः प्रशम्बन्धनम् ।

अन्यानुभावनिःशून्यरूपं यत्तत्समाहितम् ॥

the ninth and he also grants the possibility of its development through action. नव नाट्ये रसाः स्मृताः (Chap. IV.). Ūrjasvin also, unlike Bhāmaha or Daṇḍin, is defined by him as the development of the incongruous or misplaced Rasa and Bhāva as, e.g., the love of Rāvaṇa to Sītā. It agrees with the later conception of Rasā-bhāsa. Samāhita is the calming down of a Rasa or Bhāva, real or misplaced. Udbhaṭa was probably the earliest to put forward this conception of Samāhita. Though Udbhaṭa to all appearance followed Bhāmaha, it is certainly clear that he was quite as much a representative of the Rasa School as that of the Alaṅkāra.

*Section VI.—Rudraṭa and Rudrabhaṭṭa.*

Rudraṭa and Rudrabhaṭṭa are respectively the authors of the 'Kāvyaālaṅkāra' and the 'Śṛṅgāratilaka'. Owing to similarity in name and almost word for word identity between many verses of both (e.g., Rudraṭa XI. 13, XIV. 1, 12, 34, 38 and XV. 15 & 16 agree with Rudra III. 47, II. 1, 29, 60, 69 and III. 18 respectively), it is suggested that these two writers are one and the same. But though there is general agreement between them regarding their treatment of Rasa, there is essential difference in this respect. Rudra following Bharata accepts his eight Rasas and adds one more, viz., Śānta (S. T. I. 5, 9).<sup>1</sup> But Rudraṭa admits these nine and further mentions Preyāṇ as a tenth one. Moreover Rudraṭa, contrary to the tradition of Bharata, boldly asserts that as Nirveda and other emotions when developed contribute to pleasure in the same way as Śṛṅgāra or Karuṇa, they (Nirveda, etc.) too should be treated as Rasas (Chap. XII. 3, 4).

S. T. I. 5 & 9.

प्रायो नाट्यं प्रतिप्रोक्ता भरताच्चै रसस्थितिः ।  
यथामति मयाप्येषा काव्यं प्रति निगद्यते ॥  
शृङ्गारहास्यकरुणा रौद्रवीरभयानकाः ।  
बीभत्साद्भुतशान्ताश्च नव काव्ये रसाः स्मृताः ॥

Rudraṭa. XII. 3 & 4.

शृङ्गारवीरकरुणा बीभत्सभयानकाद्भुता हास्यः ।  
रौद्रः शान्तः प्रेयानिति मन्तव्या रसाः सर्वे ॥  
रसनाद्रसत्वमेषां मधुरादीनामिवोक्तमाचार्यैः ।  
निर्वेदादिष्वपि तन्निकाममस्तीति तेऽपि रसाः ॥

Rudraṭa's classification of vṛttis into five (Chap. II. 19)<sup>1</sup> differs entirely from the traditional four Vṛttis accepted by Rudrabhaṭṭa (S. T. I. 19). The illustrative verses of Rudraṭa are markedly inferior poetry. Rudraṭa professes to be more a critic and he deals with many aspects of criticism, while Rudra confines himself to the Rasas and even here deals mostly with Śṛṅgāra. It is very likely that Rudraṭa independently framed the definitions of the various subjects and Rudrabhaṭṭa incorporated some of them in his work, composed many others and illustrated them suitably. It is thus quite probable that Rudrabhaṭṭa lived sometime later than Rudraṭa.

Rudraṭa is quoted anonymously by Pratiharendurāja (Rudraṭa XII. 4, Prati. p. 49)<sup>2</sup> and Rājaśekhara, and also by name by the latter (Rudraṭa III. 4 : K. M. p. 57.<sup>3</sup> Rudraṭa II. 16 : K. M. p. 31).<sup>4</sup> Ānandavardhana does not make any reference to Rudraṭa as he does to Udbhaṭa and other earlier writers; nor does Rudraṭa refer to the theory of Dhvani expounded by Ānanda. Again Rudraṭa's treatment of the Rasas at greater length than Udbhaṭa or Vāmana favours the assumption that he lived sometime after them when the theory of Rasa had become more acceptable to the critics of the times. He should thus be placed before Rājaśekhara (880-920 A.D.) and probably Ānandavardhana also, but not long before the latter. It is very likely that he was an elder contemporary of Ānandavardhana (850 A. D.) and that he flourished in the second quarter of the ninth century.

1. Rudraṭa II. 19.

मधुरा प्रौढा परुषा ललिता भद्रेति वृत्तयः पञ्च ।

S. T. I. 19.—

कैशिक्यारभटी चैव सात्वती भारती तथा ।

चतस्रो वृत्तयो ज्ञेया रसावस्थानसूचिकाः ॥

2. Prati p. 49 यदुक्तम् :—'रसनाद्रसत्वमेषाम्' etc. Rudraṭa. III-4.

3. Kāvya-mīmāṃsā, p. 57.

"चक्रं दहतारं चक्रन्द हतारम्" etc. Rudraṭa. III. 4.

4. K. M. P. 31.

काकुवक्रोक्तिर्नाम शब्दालंकारोऽयमिति रुद्रटः

See Rudraṭa, II. 16.

Rudraṭa appears at the close of the period dominated by the three schools—Alaṅkāra, Guṇa and Rīti—and at the commencement of the one in which, by the formulation of the principle of Dhvani, the Rasa theory is established on a firm footing. It is significant that at this transitional stage he should endeavour to effect a reconciliation between the two opposing camps and pave the way for the determination of the proper place of the different principles in the evaluation of poetry. Though his work is styled 'Kāvyaālāṅkāra' he devotes the last five chapters exclusively to the treatment of the Rasas. He reduces the number of the Arthālāṅkāras to four—Vāstavam,<sup>1</sup> Aupamyam, Ślēṣa and Atiśayokti—and does not bring the Rasas under any of them as Bhāmaha and Daṇḍin do. To him poetry should be free from faults and possess the Guṇas (*i.e.*, Alaṅkāras according to him. See Nami. p. 149 on Rudraṭa XI. 36).<sup>2</sup> Any poem will be barren of interest if the various Rasas are not properly developed therein (Rudraṭa XV. 21).<sup>3</sup> He further indicates the proper use of the different Rītis in developing the various Rasas (Chap. XV 20)<sup>4</sup> and thus subordinates them to Rasas.

1. Rudraṭa. VII. 9.

अर्थस्यालंकारा वास्तवमौपम्यमतिशयः श्लेषः ।  
एषामेव विशेषा अन्ये तु भवन्ति निःशेषाः ॥

2. Rudraṭa. XI. 36.

शब्दार्थयोरिति निरूप्य विभक्तरूपान्  
दोषान्गुणांश्च निपुणो विसृजन्नसारम् ।  
सारं समाहितमनाः परमाददानः  
कुर्वीत काव्यमविनाशि यशोऽधिगन्तुम् ॥

3. Rudraṭa. XV. 21.

एते रसा रसवतो रमयन्ति पुंसः  
सम्यग्विभज्य रचिताश्चतुरेण चारु ।  
यस्मादिमाननधिगम्य न सर्वरम्यं  
काव्यं विधातुमलमत्र तदाब्रियेत् ॥

4. Rudraṭa. XV. 20.

वैदर्भीपाञ्चाल्यौ प्रेयसिकरुणे भयानकाद्भुतयोः ।  
लाटीयागौडीयौ रौद्रे कुर्याद्यथौचित्यम् ॥

His treatment of the Rasas generally follows Bharata, but in some respects, as remarked before, it deviates from him. He postulates, besides the eight Rasas, two others, Śānta and Preyān (Chap. XII. 3).<sup>1</sup> Śānta has already been counted among the Rasas by Udbhaṭa, but Preyān never gained acceptance at the hands of the Ālaṅkārikas. It is noteworthy that he gave the distinction of 'Rasa' to Vyabhicāribhāvas like Nirveda or resignation (XII. 4).<sup>2</sup> His classification of the Vipralambha Śṛṅgāra into Prathamānūrāga, Māna, Pravāsa and Karuṇa (XI. IV.)<sup>3</sup> agrees with Bhoja's: and it is quite likely that Rudraṭa followed a tradition different from, though allied to, the generally accepted one represented by Ānandavardhana.

*Rudrabhaṭṭa.*

Bharata and others dealt with the position of Rasas in dramatic representation: and Rudrabhaṭṭa professes to do a similar service to written poetry (S. T. I. 5).<sup>4</sup> According to him a poem without Rasa is as dreary as the night without the moon (S. T. I. 6).<sup>5</sup> He deals with nine Rasas including Śānta, but special treatment is accorded to Śṛṅgāra alone. He also speaks of the different classes of heroes and their helpmates. His work gives a clear exposition of the different factors in the development of Śṛṅgāra especially and it contains his own excellent illustrations often modelled on the style of the Amaru-śataka.

*Section VII.—Agnipurāṇa.*

The Agnipurāṇa treats of a variety of subjects, one of which is poetics. Chapters 337-47 are devoted to this. This section compiled by some unknown writer contains but a loose and disjointed expression, often in their own words, of the different

1. & 2. For Rudraṭa XII. 3 & 4, see page 28.

3. Rudraṭa. XIV. 1.

अथ विप्रलम्भनामा शृङ्गरोऽयं चतुर्विधो भवति ।

प्रथमानुरागमानप्रवासकरुणात्मकैवेन ॥

4. For S. T. I. 5, see page 32.

5. S. T. I. 6.

यामिनीवेन्दुना मुक्ता नारीव रमणं विना ।

लक्ष्मीरिव ऋते त्यागान्नो वाणी भाति नीरसा ॥



views held by early writers like Bharata, Bhāmaha, Daṇḍin and others. There is no dominant theory of poetry, but emphasis is laid on all its aspects—Alaṅkāra, Rīti, Rasa, etc. (Agn. P. Chap. CCCXXXVII. 7, 31-32; CCCXLIV. 2. etc.).<sup>1</sup> Nine Rasas including Śānta are recognised and among them special treatment is accorded to Śṛṅgāra.

What may be the date of the composition of this section? Prof. Sovani suggests (*see* Bhandarkar Commemoration Vol. p. 392) and Dr. De supports the view (Skt. Poetics pp. 102-4; J. R. A. S. 1923 p. 537 foll.) that it should have been composed before Ānandavardhana on this ground that the two verses, ‘अपारे काव्य-संसारे’ etc., appearing on p. 222 of the Dhvanyāloka, are identical with Agnipurāṇa Chap. CCCXXXIX verses 10 & 11, and that Ānanda should have culled them from the latter. It will be shown below that the reverse is the truth and that in any case this Alaṅkāra section is certainly later than Ānandavardhana.

It is true that many verses from Bharata, Bhāmaha and Daṇḍin are cited in the Purāṇa. It should be noted that some views held first by Rudraṭa are also found in the Purāṇa. To the three varieties of Rīti accepted by Vāmana, it was Rudraṭa that added a fourth, Lāṭiyā (Rudraṭa II. 3, 4 & 6).<sup>2</sup> Those very

1. Agnipurāṇa Chap. 337-7.

काव्यं स्फुरदलंकारं गुणवदोषवर्जितम् ।

*Ibid.* 337. 31-3.

सर्ववृत्तिप्रवृत्तं च सर्वभावप्रभावितम् । (31)

सर्वरीतिरसैः स्पृष्टं पुष्टं गुणविभूषणैः ।

अत एव महाकाव्यं तत्कर्ता च महाकविः ॥ (32)

वाग्वैदग्ध्यप्रधानेऽपि रस एवात्र जीवितम् । (33)

- *Ibid.* 344-2. अर्यालंकाररहिता विधवेव सरस्वती ।

2. Rudraṭa.

II. 3. नाम्नां वृत्तिर्द्विधा भवति समासासमासभेदेन ।

वृत्तेः समासवत्यास्तत्र स्यू रीतयस्तिष्ठः ॥

II. 4. पाञ्चाली लाटीया गौडीया चेति नामतोऽभिहिताः

II. 6. वृत्तेरसमासाया वैदर्भीरीतिरेकैव ॥

same four are admitted here (Agn. P. Chap. CCCXL. 1).<sup>1</sup> Rudraṭa made a novel classification of Vṛttis into five (Chap. II. 19)<sup>2</sup> and they are copied in the other (Agn. P. Chap. CCCXLIII. 2).<sup>3</sup> Agn. P. CCCLV. 11<sup>4</sup> first half—the definition of Lakṣaṇā—is taken from the Tantravārtika p. 318 and the other definition of it is, as has been identified, taken from Mātrgupta. Further Agn. P. CCCXXXIX. 9. B.<sup>5</sup> is taken from the Śṛṅgāratilaka I. 6. The two verses अपारे काव्यसंसारे etc., appear immediately after this and the next verse CCCXXXIX. 12 is extracted from N. S. VI. 36. When there are so many anonymous quotations and that from writers like Rudraṭa and Rudrabhaṭṭa who lived so close to Ānandavardhana, there does not appear to be any justification for treating the two verses found between two other quo-

1. Agn. p. 340-1.

वाग्विद्यासम्प्रतिज्ञाने रीतिः सापि चतुर्विधा ।  
पाञ्चाली गौडदेशीया वैदर्भी लाटजा तथा ॥

2. Rudraṭa. II. 19. See p. 33.

3. Agn. p. 343-2.

एकवर्णगतावृत्तेर्जायन्ते पञ्च वृत्तयः ।  
मधुरा ललिता प्रौढा भद्रा परुषया सह ॥

4. Agn. p. 345-11.

अभिधेयाविनाभूतप्रतीतिर्लक्षणोच्यते । Tantravārtika p. 318.  
345-11 & 12.

अभिधेयेन सम्बन्धात्सामीप्यात्समवायतः etc.

5. Agn. p. 339-9 to 12.

लक्ष्मीरिव विना त्यागान्न वाणी भाति नीरसी ॥ (9)

See p. 35. S. T. I

अपारे काव्यसंसारे कविरैकः प्रजापतिः ।  
यथा वै रोचते विश्वं तथेदं पारिवर्तते ॥ (10)  
शृङ्गारी चेत्कविः काव्ये जातुं रसमयं जगत् ।  
स चेत्कविर्वीतरागो नीरसं व्यक्तमेव तत् ॥ (11)  
न भावहीनोऽस्ति रसो न भावो रसवर्जितः ।  
भावयन्ति रसानेभिर्भाव्यन्ते च रसा इति ॥ N. S. VI. 36.

tations as anything but a quotation. Further in the Dhvanyāloka itself these verses introduced by 'तथा चेदमुच्यते' do not appear to be cited from elsewhere. Even where Ānanda uses the past 'उक्तम्' instead of 'उच्यते' as here, he means his own verse—*c.g.*, see Dhv. p. 221 'तदिदमुक्तम्' etc. Abhinava comments on this thus—उक्तमिति। मयैवेत्यर्थः। Again the author of the Agnipurāṇa appears to be acquainted with that kārīkā of the Dhvanyāloka in which 'Dhvani' is defined. Let me cite the two texts:—

- (1) श्रुतेरलभ्यमानोऽर्थो यस्माद्भाति सचेतनः ।  
 स आक्षेपो ध्वनिः स्याच्च ध्वनिना व्यज्यते यतः ॥  
 शब्देनार्थेन यत्रार्थः कृत्वा स्वमुपार्जनम् ।

Agn. p. CCCXLV. 14 & 15.

- (2) यत्रार्थः शब्दो वा तमर्थमुपसर्जनीकृतस्वार्थौ ।  
 व्यङ्ग्यः काव्यविशेषः स ध्वनिरिति सूरभिः कथितः ॥

Dhv. l. 13., p. 33.

The Agnipurāṇa divides all expression into Śruti and Ākṣepa (CCCXLV. 7) and brings Abhidhā and Lakṣaṇā under the first and Dhvani or suggestion under the second. In Agn. P. CCCXLV. 15 cited above कृत्वा स्वमुपार्जनम् is unmeaning; and my Professor S. Kuppaswami Sastriar suggests—and I agree with it—that it is a corruption from 'कृत्वा स्वमुपसर्जनम्'. Accepting this emendation we see little difference between the two definitions of Dhvani except that of voice. It is passive voice in the Agn. p. and active in the Dhvanyāloka, and probably to give a new colour it is so changed in the former. Such textual corruption is not uncommon in that work. Let me cite another instance of it:—Agn. p. CCCXLV 16 reads thus—

तमाक्षेपं ब्रुवन्त्यत्र स्तुतं स्तोत्रमिदं पुनः ।  
 अधिकारादपेतस्य वस्तुनोऽन्यस्य या स्तुतिः ॥

It should read thus correctly—

तमाक्षेपं ब्रुवन्त्यप्रस्तुतस्तोत्रमिदं पुनः ।

Further the assertion contained in Agn. p. CCCXLV. 18—

एषामेकतमस्यैव समाख्या ध्वनिरित्यतः ।

*viz.*, Dhvani is a name of one or other of these Alaṅkāras—appears to reiterate the view so vigorously criticised and rejected by Ānandavardhana in the 1st Chapter, and the fact that almost the same Alaṅkāras taken for illustration by him are here represented in the Purāṇa to include Dhvani further supports the above contention.

From this it is clear that the anonymous writer of the Agnipurāṇa is later than the Dhvanikāra, Ānandavardhana—and it will be proved below<sup>1</sup> that Ānanda and Dhvanikāra are identical—and the latter flourished about 850 A.D. Most probably this writer represented a school which opposed the Dhvani theory when it had not gained complete acceptance. We may thus place him sometime after Ānanda, *i.e.*, in the second half of the 9th century.

Some similarity is sought to be established between the theory of Rasa as stated in the Agnipurāṇa and that of King Bhoja on the ground of the great emphasis they both laid on Śṛṅgāra (J. R. A. S. 1923; pp. 540 foll.). Such an attempt is to ignore the fundamental aspect of Bhoja's extreme position which denies absolutely the dignity of Rasa to anything other than Śṛṅgāra (Śṛṅg. P. Vol. I. pp. 2 & 426).<sup>2</sup> If any comparison with the view of the Agnipurāṇa is worth instituting it must be to that of the Śṛṅgāratilaka.

1. See Chap. VI. Section 2.

2. Śṛṅg. P. Vol. I. pp. 2 & 426.

शृङ्गारवीरकरुणाद्भुतरौद्रहास्य-

बीभत्सवत्सलभयानकशान्तनाम्नः ।

• आम्नासिषुर्दश रसान् सुधियो वयं तु

शृङ्गारमेव रसनाद्रसमामनामः ॥

वीराद्भुतादिषु च येह रसप्रसिद्धिः

सिद्धा कुतोऽपि वटवृक्षवदाधिभाति ।

ल्लेके गतानुगतिकत्ववशादुपेता-

मेतां निवर्तयितुमेष परिश्रमो नः ॥

For a statement of Bhoja's theory, see below. Chap. XI.

## CHAPTER V. POET-CRITICS OF THIS PERIOD.

It was observed before<sup>1</sup> that the poets of this period, unlike the critics, were quite friendly to this school of *Rasa* first represented by Vālmiki and Bharata. Some of them distinctly favoured this school and were even extreme enthusiasts of it, while the others accepted the principles with certain reservations. The outstanding figures under the former are Pravarasena, Kālidāsa, Bhavabhūti and Mūrāri.

Pravarasena, who is certainly an early poet, suggests that *Rasa* could be developed through *Abhinaya* (S.B. I. 49; V. 24)<sup>2</sup> and that the poetic theme should be enlivened through the delineation of the *Rasas* (S. B. I. 9).<sup>3</sup>

Kālidāsa protests against the conservatism of the critics of the times, of praising only what is old (M. Act. I. 2.),<sup>4</sup> but he is quite willing to submit to the considered decision of learned men. Affording pleasure to them through representation is the function of the dramatist (R. I. 10;<sup>5</sup> V. Act. I.).<sup>6</sup> He extols the

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1. See pp. 18 & 19.

2. S. B. V. 24.

तो सधन्तेण सरं रसान्तरो लुगभिउडिमङ्गे चिरम् ।

णीससिऊण पुलङ्ओ अणुअम्पादूमिअणणेण समुदो ॥

3. For S. B. I. 9 and I. 49, see p. 14.

4. M. Act I. 2.

पुराणमित्येव न साधु सर्वं न चापि काव्यं नवमित्यवयम् ।

सन्तः परीक्ष्यान्यतरद्भजन्ते मूढः परप्रत्ययनेयबुद्धिः ॥

5. R. I. 10.

तं सन्तः श्रोतुमर्हन्ति सदसद्व्यक्तिहेतवः ।

हेम्नः संलक्ष्यते ह्यग्नौ विशुद्धिः श्यामिकापि वा ॥

6. V. Act I.

आ परितोषाद्विदुषां न साधु मन्ये प्रयोगविज्ञानम् ।

histrionic art (M. Act I. 4)<sup>1</sup> through which the eight Rasas have to be developed (V. Act II. 18).<sup>2</sup> When attending a dramatic performance the audience, through its imaginative sympathy, loses itself in the situations and characters, and enjoys (अनुगत-स्तन्मयत्वं रसेषु. M. II. 8; तेषु रसान्तरेषु तन्मयी आसीत् V. Act III. Speech 2). Among the Rasas Śṛṅgāra is his favourite, and even here Vipralambha or the union of lovers after long separation is the most delectable (V. Act III. 21;<sup>3</sup> Mēgha. II. 45).<sup>4</sup> Kālidāsa is a direct disciple of Vālmiki, who probably values Karuṇa above all other Rasas: and though deviating from Vālmiki, he is quite alive to the essentially moving nature of Karuṇa (R. XIV. 42).<sup>5</sup> He is at his best in the delineation of Śṛṅgāra, but equally at home in Karuṇa and Vīra. To him, as to Vālmiki, Poetry is the spontaneous rhythmic expression of a climax of emotion (R. XIV. 70).<sup>6</sup> In judging the value of anything he would follow the dictates of his own conscience (S. Act I. 19).<sup>7</sup> Above all in developing the Rasas he would insist on that quality essential for every art, viz., restraint.

1. M. Act I. 4.

देवानामिदमामनन्ति मुनयः कान्तं कृतं चाक्षुषं  
रुद्रेणैदमुमाकृतव्यतिकरे स्वाङ्गे विभक्तं द्विधा ।  
त्रैगुण्योद्भवमत्र लोकचरितं नानारसं दृश्यते  
नाट्यं भिनरुचेर्जनस्य बहुधाप्येकं समाराधनम् ॥

2. V. Act II. 18. See p. 14.

3. V. Act III. 21.

यदेवोपनतं दुःखात्सुखं तद्रसवत्तरम् ।  
निर्वाणाय तरुच्छाया तप्तस्य हि विशेषतः ॥

4. Mēgha. II. 45.

स्नेहानाहुः किमपि विरहे हासिनस्ते ह्यभोगा-  
दिष्टे वस्तुन्युपचितरसाः प्रेमराशीभवन्ति ॥

5. XIV. 42.

तदेष सर्गः करुणार्द्रचित्तैः न मे भवद्भिः प्रतिषेधनीयः ।

6. R. XIV. 70. See p. 8.

7. S. I. 19.

सतां हि सन्देहपदेषु वस्तुषु प्रमाणमन्तःकरणप्रवृत्तयः ॥

Bhavabhūti is an enthusiastic follower of Vālmiki and the School of Rasa. He too loudly protests against the critics of his days who, without enjoying the sweetness of the Rasas, search only for the faults in his poetry. The verse ये नाम केचिदिह etc. (Mal. Act. I. 8)<sup>1</sup> is not a vain egotistic outburst, but only an expression of his disgust of the critics of the times and his self-consciousness and a prophetic and optimistic belief in the essential goodness of man. According to him, a dramatist should aim at the delineation of a particular Rasa or a combination of Rasas, and he should so choose the incidents and characters as would lend to their development (Mal. Act I. 6 ; Mah. Act I. etc.).<sup>2</sup> He shows to be an expert in the combination of allied and contrary Rasas. In the Tiger incident in the Malatīmādhava, Vīra is introduced to aid the development of Śṛṅgāra. He dexterously makes even Bībhatsa aid the progress of love. There the change from Śṛṅgāra to Bībhatsa is gradually effected without a hitch through the intervention of Adbhuta. The introduction of the disgusting scene of Mādhava's selling flesh in the crematory, in the course of the development of love, should not be treated as being rude and out of taste, because the change of Rasa is effected naturally; and on the ruins of Bībhatsa emerges love rendered purer and more intense through the experience of an ordeal. Bhavabhūti also shows how the change from Bībhatsa to the predominant Rasa, Śṛṅgāra, is skilfully brought about through the intervention of other Rasas—Bhāyānaka, Karuṇa, Adbhuta, Raudra and Haṛṣa—all of them developed only to a small extent and made ancillary to the main Śṛṅgāra

1. Mal. I. 8.

ये नाम केचिदिह नः प्रथयन्त्यवज्ञां  
जानन्ति ते किमपि तान्प्रति नैष यत्नः ।  
उत्पत्स्यतेऽस्ति मम कोऽपि समानधर्मा  
कालो ह्ययं निरवधिर्विपुला च पृथ्वी ॥

2. Māl. I. 6.

भूम्ना रसानां गहनाः प्रयोगाः सौहार्दद्वयानि विचेष्टितानि ।  
औद्बल्यमायोजितकामसूत्रं चित्ताः कथा वाचि विदग्धता च ॥

Mah. Act. I.

अप्राकृतेषु पात्रेषु यत्र वीरः स्थितो रसः ।  
भेदैः सूक्ष्मैरभिव्यक्तैः प्रत्याधारं विभज्यते ॥

(Māl. Act V. 28<sup>1</sup>; Dhv. Chap. III. Kārikās 20 & 24).<sup>2</sup> He considers that the essential nature of Rasa is 'Cittavidruti' or a certain melting of the heart when one is overpowered by emotion (Uttara. R. III. 13).<sup>3</sup> This probably explains his extreme attitude that there is only one predominant Rasa, Karuṇa, which in many of its apparent transformations assumes the nature of Śṛṅgāra, Vīra, etc., but yet remains intrinsically Karuṇa, just like the water, which appears as whirlpool, waves, etc., does not yet cease to be water (Uttara. R. Act III. 47).<sup>4</sup> He depicts the three main Rasas in his three dramas and he is certainly most successful in the delineation of Karuṇa in the Uttararāmacarita.

Murāri's style is heavy and difficult to understand, yet he professes to be a direct disciple of Vālmiki just like Bhavabhūti, and he calls himself Bālavālmiki (A. R. p. 19).<sup>5</sup> He mentions the different Rasas and recognises Śānta among them (A. R.

1. Māl. Act V. 28.

आतङ्कद्विकलं द्रुतं करुणया विक्षोभितं विस्मयात्  
क्रोधेन ज्वलितं मुदा विकसितं चेतः कथं वर्तते ।

*See Tripurāri's Comments.*

2. Dhv. III. 20 & 24.

विवक्षिते रसे लब्धप्रतिष्ठे तु विरोधिनाम् ।  
बाध्यानामङ्गभावं वा प्राप्तानामुक्तिरच्छला ॥  
अविरोधी विरोधी वा रसेऽङ्गिनि रसान्तरे ।  
परिपोषं न नेतव्यस्तथा स्यादविरोधिता ॥

3. Uttara. R. III. 13.

प्रसन्नं सौजन्यादयितकरुणैर्गाढकरुणं  
द्रवीभूतं प्रेम्णा तव हृदयमस्मिन्क्षण इव ॥\*

4. Uttara. R. III. 47.

एको रसः करुण एव निमित्तभेदा-  
द्विन्नः पृथक् पृथगिव श्रयते विवर्तान् ।  
आवर्तबुद्बुदतरङ्गमयान्विकारा-  
नम्भो यथा सलिलमेव हि तत्समस्तम् ॥

5. A. R. p. 19.

मुरारिनामधेयस्य बालवाल्मीकेर्वाङ्मयममृतविन्दुनिष्यन्दि etc.



pp. 95- 6<sup>1</sup>; 163-4, Act IV. 27).<sup>2</sup> He considers that Vipralambha is more delectable than Sambhōga (A. R. p. 273, Act VII. 37).<sup>3</sup> He suggests that he is writing in the Vaidarbhi style (A. R. Act VII. 101).<sup>4</sup>

Prominent among those that accepted the Rasa theory with certain reservations are Bhāravi, Bāṇa, Śrī Harṣa, Māgha, Subandhu and Ratnākara.

Bhāravi valued highly depth of sense in Poetry and his language is often strained and cumbrous; yet he successfully delineates Vīra Rasa. He regards Vipralambha as quite delectable.<sup>5</sup>

Bāṇa decries poets devoid of creative genius (Har. c. I. 6).<sup>6</sup> He does not view with approval the exclusive attention paid

1. A. R. pp. 95-96. mention is made of the Rasas,

करुण, आश्चर्य, बीभत्स, हास, त्रास, क्रोध and वीर ।

2. A. R. pp. 163-4 Act IV. 27.

अहो संकीर्यमाणानेकरसानुभावगम्भीरमधुरोऽयमस्याभोगः । तथा हि ---

जटां धत्ते मूर्धा परशुधनुषी बाहुशिखरं

प्रकोष्ठो रौद्राक्षं वलयमिषुदण्डानपि करः ।

प्ररूढप्रौढास्त्रव्रणविकटरौद्राद्भुतमिदं

प्रशान्तामैणेयीं त्वचमपि च वक्षः कलयति ॥

3. A. R. pp. 273. VII. 37.

संभोगानतिरिच्यमानविभवो यद्विप्रलम्भो रसः ।

4. A. R. VII. 101.

विभ्रतीं कैशिकीं वृत्तिं सौरभोद्गारिणीं गिरः ।

दूराध्वानोऽपि कवयो यस्य रीतिमुपासते ॥

5. Kirātārjuniya of Bhāravi.

Canto XI. 27,

विप्रलम्भोऽपि लाभाय सति प्रियसमागमे ।

Do. IX. 73. रतिरसाहितभावं . etc.

6. Har. c. I. 6.

अन्यवर्णपरावृत्त्या बन्धचिह्ननिगूहणैः ।

अनख्यातः सतां मध्ये कविः चौरौ विभाव्यते ॥

to a single aspect of poetry like Ślēṣa, Utprēkṣā, mere content and wordplay by the different peoples of India (Har. c. l. 7).<sup>1</sup> To him poetry should combine novelty of theme and ideas, refined representation of life, transparent double entendre, easily realisable Rasa and smooth, gliding expression (Har. c. l. 8).<sup>2</sup> While giving adequate place to literary form, Bāṇa fully recognises the value of genius and the Rasas in poetry; and he appears to have had in view this conception when he wrote his two works. He is accused, especially by Europeans, of writing an obscure and laboured style, often indulging in far-fetched similes and long-drawn out double entendre. It is true that his language is sometimes difficult and far from lucid. But to one who knows the Indian mythology and tradition, it is easy enough to understand; and in the vividness of description, wealth of illustration and the liquid movement of expression he is equal to any other poet. Further his language rises equal to his theme. Note his style when he describes the love of Mahāśvētā in the Kādambarī. The whole section is a masterpiece illustrative of the lucidity, sweetness, elegance and rapidity of expression blended with the intense development of the tenderest of all the Rasas, Vipralambha and Karuṇa. We seek in vain for the like of it in all Sanskrit literature. As Bāṇa's son puts it (Kad. Part II. verse 7),<sup>3</sup> by drinking the sweetness of the honey flowing from the Rasas in the Kādambarī one is intensely excited with joy and does not know oneself. Bāṇa fully recognises the place of Rasas in poetry, emphasising at the same time the formal side thereof.

Śrī Harṣa of Kanauj, the author of the three dramas, believes like Kālidāsa that the consensus of opinion among learned men

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1. 1. 7. श्लेषप्रायमुदीच्येषु प्रतीच्येष्वर्थमात्रकम् ।  
उत्प्रेक्षा दाक्षिणात्येषु गौडेष्वक्षरहम्बरः ॥
2. 1. 8. नवोऽर्थो जातिरग्राम्या श्लेषोऽक्लिष्टः स्फुटो रसः ।  
विकटाक्षरबन्धश्च कृत्स्नमेकत्र दुष्करम् ॥
3. Kād. Part. II. Verse 7. (Introductory).  
कादम्बरीरसभरेण सयस्त एव  
मतो न किञ्चिदपि चेतयते जनोऽयम् ।  
भीतोऽस्मि यन्न रसवर्णविवर्जितेन  
तच्छेषमात्मवचसाप्यनुसंधानः ॥

is the test of the worth of a literary work (Rat. Act. I. 5, also Priya.d. and Nāgānanda).<sup>1</sup> Though the Rasas are not very well developed in a work, yet the greatness of the characters portrayed in it delights the readers—a view opposed to that of Bhavabhūti (Priya.d. p. 46).<sup>2</sup> He would at the same time insist that the poet should pay due attention to the proper development of the Rasas (Priya.d. p. 65).<sup>3</sup> As observed before he was the author of the Vārtikas on Bharata's Nāṭyaśāstra. He was greatly influenced by Buddhistic monks, and that probably explains why he developed the Rasa, Dyāvīra or Śānta in his Nāgānanda. It is likely that, in his times, Śānta was refused a place among the Rasas by conservative and orthodox critics, and in order to vindicate its claim to be regarded as one that could be developed and represented on the stage, he wrote his play Nāgānanda.

Māgha emphasised both poetic expression and content (Śiśu. Canto II. 86)<sup>4</sup>; there should be depth of sense or ideas and more than one Guṇa, Ojas or Prasāda in a poem where Rasas are delineated (Śiśu. II. 74, 83).<sup>5</sup> The transitory emotions develop the dominant emotion or Sthāyibhāva which is transformed into Rasa (Śiśu. II. 87).<sup>6</sup> Through a proper

1. Śrī Harṣa. Priya.d. Rat. and Nāgānanda.

श्रीहर्षो निपुणः कविः परिदय्येषा गुणग्राहिणी ।

2. Priya.d. p. 46. Śrī Vānī Vilas Edn.

वासवदत्ता—भगवति ! अहो ते कवित्वम् । येनैतद्ब्रूवृत्तान्तं नाटकोप-  
निबद्धं सानुभवमप्यस्माकं आर्यपुत्रचरितमदृष्टपूर्वमिव दृश्यमानमधिकतरं कौतूहलं  
वर्धयति ।

साङ्गत्यायनी—आयुष्मति ! आश्रयगुण एवायमीदृशः यदसारमपि  
काव्यमवश्यमेव शृण्वतां श्रवणसुखमुत्पादयति ।

3. Priya.d. p. 65.

तत्र युक्तम् अस्थाने रसभङ्गं कृत्वा गन्तुम् ।

Māgha—Śiśupālavadha.

4. II. 86. शब्दार्थौ सत्कविरिव द्वयं विद्वानपेक्षते ॥

5. II. 83. नैकमोजः प्रसादो वा रसभावविदः कवेः ।

6. II. 87. स्थायिनोऽर्थे प्रवर्तन्ते भावाः सञ्चारिणो यथा ।

आसः तथा नेतुर्महीभृतः ॥

development of Bhāvas by various characters, the audience realises the Rasas and is delighted (Śiśu. XIV. 50).<sup>1</sup> Dramas written by poets well-versed in the science expounded by Bharata shine above all others (Śiśu. XX. 44).<sup>2</sup> He mentions also the different Rasas, Śṛṅgāra, Karuṇa, Raudra, etc. (Śiśu. XIV. 82; XIX. 37). He indirectly suggests in XIII. 69<sup>3</sup> that the enjoyment of Rasas that is had from his work is unearthly and could not be had anywhere else in this world. He also puts down as the necessary requisite of a poet<sup>4</sup> creative genius. It is very clear from the above that he fully realised the value and place of Rasas in poetry; yet he fell a victim to the bad influences of his age in believing, like Bhavabhūti and Bhāravi, that a work which claims to be a Mahākāvya or a great poem should contain also Śabdacitra or word play like Gōmūtrikā; and he devoted a whole canto to it.

Subandhu lived about the beginning of the eighth century. He makes some significant remarks in the introductory verses to the Vāsavadattā. Poets, through their imagination, take a comprehensive and correct view of things and actions, men and manners, etc., in the world (V. D. Verse I).<sup>5</sup> They shine brilliantly through the appreciation of critics, and they themselves are not often the true appraisers of their own works (V. D.

1. XIV. 50. स्वाद्यन्त्रसमनेकसंस्कृतप्राकृतैरकृतपात्रसंकरैः ।

भावशुद्धिसहितैर्मुदं जनो नाटकैरिव बभार भोजनैः ॥

2. XX. 44. दधतस्तनिमानामनुपूर्व्या बभुरक्षिश्रवसो मुखे विशालाः

भरतज्ञकविप्रणीतकाव्यप्रथिताङ्गा इव नाटकप्रपञ्चाः ॥

3. XIII. 69. मर्त्यलोकदुरवापमवाप्तरसौदयं

नूतनत्वमतिरिक्ततयानुपदं दधत् ।

श्रीपतिः पतिरसाववनेश्च परस्परं

संकथामृतमनेकमसिस्वदतामुभौ ।

4. Śiśu. II. 73.

5. V. D. Subandhu's Vāsavadattā verse I.

करबदरसदृशमखिलं भुवनतलं यत्प्रसादतः कवयः ।

पश्यन्ति सूक्ष्मतयः सा जयति सरस्वती देवी ॥

verses 5 & 12).<sup>1</sup> After Vikramāditya there flourished no great poet who developed the Rasas in their poems (V. D. verse 10).<sup>2</sup> Subandhu lays stress on the Guṇas and says that there is some sweetness in the very glide of words in good poetry (V. D. verse 11).<sup>3</sup> He abuses wicked critics and declares that a great poet is unaffected by their adverse criticism; and when insulted or not patronised by kings blind, in their pride of power and wealth, to all good poetry, the poet's greatness is in no way marred. These men become all the more accursed by their calumny of good poets (V. D. verses 6-9).<sup>4</sup> Why should Subandhu denounce so vehemently the ways of kings and critics of his days? Evidently the kings were no patrons of art and learning; and critics had developed a morbid taste of applauding only Śabdacitra or wordplay and could not appreciate and enjoy the delectable Rasas in poetry (Cf. Bhavabhūti, Māl. Act I. 8).<sup>5</sup> It is a strange irony that Subandhu who denounces the bad taste of his days should, unlike Bhavabhūti, fall a complete victim to it,

1. V. D. 5.

भवति सुभगत्वमधिकं विस्तारितपरगुणस्य सुजनस्य ।  
वहति विकासितकुमुदो द्विगुणरुचिं हिमकरोद्योतः ॥

- V. D. 12.

गुणानामपि निजरूपप्रतिपत्तिः परत एव संभवति ।  
स्वमहिमदर्शनमक्ष्णोर्मुकुरतले जायते यस्मात् ॥

2. V. D. 10.

सा रसवत्ता विहता नवका विलसन्ति चरति नो कङ्कः ।  
सरसीव कीर्तिशेषं गतवति भुवि विक्रमादित्ये ॥

3. Do. 11.

अविदितगुणापि सत्कविभ्रमितिः कर्णेषु वमति मधुधाराम् ।  
अनधिगतपरिमलापि हि हरति दृशं मालतीमाला ॥

4. Verse 8.

हस्त इव भूतिमलिनो यथा यथा लङ्घयति खलः सुजनम् ॥  
दर्पणमिव तं कुरुते तथा तथा निर्मलच्छायम् ॥

- Verse 9.

विध्वस्तपरगुणानां भवति खलानामतीव मलिनत्वम् ।  
अन्तरितशशिरुचामपि सलिलमुचां मलिनिमाभ्यधिकः ॥

5. Māl. Act I. 8. See page 42.

for does he not pride in saying at the end<sup>1</sup> of his work that he has executed with meticulous care Ślēṣa in every syllable of his Vāsavadattā?

Ratnākara lived about 850 A.D. He says in H. V. XXI. verse 57<sup>2</sup> that, though the principal aim of the dramatist is the proper delineation of the Rasas, he should pay also adequate attention to the choice of Vṛtti, Guṇa, great characters, Sandhis in a drama, all of which contribute only to the development of the Rasas. The essential feature of a Mahākāvya is easily realisable Rasa (Cf. Bāṇa स्फुटो रसः Har. c. I. 8) and elaboration of the theme in many cantos (H. V. XLIII. verse 87).<sup>3</sup> He seems to take special credit to himself for writing fifty cantos, while Kālidāsa and others wrote only about twenty. Among masters of style he counts first and himself next.<sup>4</sup> Probably Bāṇa is his ideal.

There follows a list of poets who did not distinguish themselves by any particular school. The poets they are certain

of this period many other poets who their leanings towards any particular school. Bāṇa, Kumāradāsa and Śūdraka are good concerned about these theories. Bhaṭṭi belongs to the school of Bhāmaha. Other poets like Virāja, Bhaṭṭanārāyaṇa and Viśākhadatta are similar to that of Bāṇa and Śrī Harṣa.

— se.

रासवैदग्ध्यनिधिं प्रबन्धम् ।

सुबन्धुः सुजनैकबन्धुः ॥

XL. 57.

ततवृत्ति गुणव्यपाश्रयं

पूर्वकृतिप्रवणात्मतां दधत् ।

धि विधाय शशाङ्कशेखरः

धे प्रमदान्वितमानसोऽभवत् ॥

कविनिबन्धनैः ।

काव्यायितं भट्टैः ॥

— The Chapter after the Fiftieth.

रोरामग्रणीर्बाण एको

जनौ जाज्वलीति द्वितीयः ॥

BOOK II.  
THE DHVANI PERIOD.

CHAPTER VI.  
THE FORMULATION OF THE THEORY OF DHVANI.

*Section I.—General.*

The close of the last period, characterised by the discovery and enunciation of the principles of the poetic form like Alaṅkāra, Guṇa, Rīti, and also by the inadequate recognition of the place of poetry, marks the beginning of the most creative age in the history of Sanskrit Literary criticism. Here is formulated the theory of Dhvani, rightly regarded as the ultimate source of the predominant position of Rasa to enter into the make-up of poetry becomes established. There is naturally some immediate opposition of Dhvani from the adherents of older theories of poetry, and also from two fresh ones, the Vākyānukāra and the Vākyamāna. Yet these last two are not opposed to the theory of Dhvani. By the end of this period is put forward a new criticism called the Aucitya. All other schools of criticism practically dies out soon after the first schools. The relation of the older mīmāṃsā and Rīti with the Dhvani and the Aucitya is not yet settled, as also their place in poetry. The Rasa school with the other one becomes accessory to them becomes full and complete in the history of Literary criticism.

*Section II.—The Authorship of*

The earliest and the foremost of the Kashmirian poet and critic Ānandavardana is the founder of the Rasa school and the greatest exponent of the theory of Dhvani. His work is called the Dhvanyāloka. His Kāvya-mālā and it consists of some brief remarks thereon called Vṛtti. It is a

the Vṛtti is Ānandavardhana, but the Kārikās have been of doubtful authorship. On the basis of the apparent distinction between Kārikākāra and Vṛttikāra occasionally made by Abhinavagupta, the eminent commentator on the Dhvanyāloka (see Dhv. pp. 1, 12, 59, 60, 123, etc.) Dr. Buhler and the learned editors of the work conjectured that the Kārikās should have been written by one different from Ānandavardhana, the author of the Vṛtti. Professors Jacobi<sup>1</sup> and Keith<sup>2</sup> and Dr. De support this contention and the last of them further suggests that Abhinavagupta gives readings of some Kārikās different from those of the Vṛttikāra—a procedure that would favour the distinction between Kārikākāra and Ānandavardhana (see Dr. De. Kāvyaṭīkāloka. IV, Calcutta University, p. 3. Text p. 23 fn. 15 & 16). It is attempted here to prove on the basis of very reliable evidences that the Kārikās also were written by none other than Ānandavardhana himself.

Here two relevant texts from the Abhinavabhāratī—an erudite commentary on the Nāṭyaśāstra by Abhinavagupta—may be cited.

1. “एतदेवोपजीव्यानन्दवर्धनाचार्येणोक्तम् सुप्तिङ्वचनेत्यादि”

Abh. bh. Vol. II. Chap. XIV. p. 367.

2. एतमेवार्थं सम्यगानन्दवर्धनाचार्योऽपि विविच्य निरूपयन् (न्यरूपयत्?) समीक्ष्य (वि)निवेशितो रूपकादिरलंकारवर्गः इत्युपक्रमेण

विवक्षातत्परत्वेन नाङ्गित्वेन कदाचन ।

काले च ग्रहणत्यागौ नातिनिर्वहणैषिता ॥

इत्यादिना ग्रन्थसन्दर्भेण सोदाहरणेन च तच्चास्माभिः सहृदया(व)लोक-  
लोचने तद्विवरणे विस्तरतो व्याख्यातम् । Abh. bh. Vol. II. p. 385.

In the first text Ānanda is represented to have taken some suggestions from Bharata regarding the suggestiveness of words and their components and put them down as सुप्तिङ्वचन etc. This “सुप्तिङ्वचन” begins a Kārikā of the Dhvanyāloka III-16, p. 163, and nowhere in the Vṛtti of that work does this expres-

1. Z. D. M. G. Vol. 56 (1902) pp. 405-10.

2. Keith. Classical Sanskrit Literature, p. 135.



sion occur. Now the same Abhinavagupta, who is relied upon to establish the difference between Kārikākāra and Vṛttikāra, unmistakably assigns the authorship of the Kārikā to Ānandavardhana. In the second text also Abhinava refers to him as discussing fully the place of Alaṅkāras in poetry and expressing his well-considered views on the subject in the two texts which begin thus :— समीक्ष्य विनिवेशितः रूपकादि etc. Dhv. II-11. and विवक्षा-तत्परत्वेन नाङ्गित्वेन etc., Dhv. II-19. These excerpts are from the Kārikās of the Dhvanyāloka, p. 88; and as if to clear any doubt regarding their source, Abhinava distinctly expresses that to be the Sahṛdayāloka—another name of the Dhvanyāloka—and he incidentally mentions his own commentary thereon called the Locana, where he too has fully expatiated on that subject.

Further, the following text from the same Abhinavabhāratī of Abhinavagupta unmistakably identifies the authors of the Kārikās and the Vṛtti :—

यस्तु वेणीसंहारे भानुमत्या सह दुर्योधनस्य दर्शितो विलासः स नायकस्य तादृशेऽवसरे अत्यनुचित इति चिरन्तनैरेवोक्तम् ।

यथा सहृदयालोककारः—

“सन्धिसन्ध्यङ्गघटनं रसबन्धव्यपेक्षया” ।

एतच्च विवरण एवास्माभिः वितल्य दर्शितम् ॥

Abh. bh. Vol. II, p. 519.

Here Abhinava states the view that a dramatist should not blindly follow the rules of dramaturgy without regard to the principal Rasa that is developed in the play, and that the introduction of the playful sporting of Duryodhana with his queen Bhānumatī on the eve of a terrible, disastrous war that threatened to destroy the whole world does not at all lend itself to the easy development of the main Rasa, Vīra, but only retards its progress. He cites as his authority Sahṛdayāloka-kāra or the author of the Sahṛdayāloka, which, as stated just now, is another name of the Dhvanyāloka, whose author has been accepted by all to be Ānandavardhana. Now, what is nature of the text, viz., सन्धिसन्ध्यङ्ग etc., attributed to Ānanda, the author of the Sahṛdayāloka? It is a Kārikā III-12, appearing on page 144 of the Dhvanyāloka.

In addition to this strong testimony of Abhinava to Ānandavardhana's authorship of the Kārikās, there are many other

evidences in the Dhvanyāloka itself which distinctly favour the above view. On pp. 130 and 219-20 of the Locana Abhinava makes the following remarks: “एवं कारिकां व्याख्याय—...—...—  
...—आह यस्त्विति” (Dhv. p. 130) “एवं व्यङ्ग्यस्वरूपं निरूप्य—  
...—आह प्रधानेति” (Dhv. p. 219-20). Here ‘व्याख्याय’ and ‘निरूप्य’ are words ending in the suffix ल्यप् (lyap). In the Sanskrit language the suffix क्त्वा or ल्यप् is used in this manner (see Pāṇini III-iv-21, and VII-i-37).<sup>1</sup> When two actions performed by a single agent are desired to be conveyed, the suffix क्त्वा or ल्यप् is added to that root which refers to the prior action as, e.g., सुक्त्वा व्रजति ; व्यादाय स्वपिति. Similarly in the above extracts the agent of व्याख्याय or निरूप्य should be the same as the agent of आह. Now the agent of व्याख्याय or निरूप्य expounds; i.e., he is the Vṛttikāra that explains everything. The agent of आह says or states. What does he state? यस्तु etc., and प्रधान etc., which are two Kārikās Dhv. III-2 and III-42, i.e., he is the Kārikākāra. Pāṇini’s rule quoted above requires these agents, Vṛttikāra and Kārikākāra to be identical.

Further Abhinava comments on “आनन्दो मनसि लभतां प्रतिष्ठाम्” (Dhv. p. 11) thus :—

आनन्द इति ग्रन्थकृतो नाम ; तेन स एवानन्दवर्धनाचार्यः एतच्छास्त्रद्वारेण सहृदयहृदयेषु प्रतिष्ठां देवायतनवदनश्वरीं स्थितिं लभतां सिद्धिं गच्छत्विति भावः ।

Abhinava here interprets Ānandavardhana as having incidentally inscribed his own name so that he might be enshrined for ever in the hearts of all intelligent critics for expounding this science to them. Such an act on the part of Ānandavardhana will be a vain arrogation if he has not been, according to Abhinava, the first exponent of the theory of Dhvani. Again Ānanda expressly claims to have been the originator of this theory and that thereby he has laid all learned men under a deep debt of gratitude to him.<sup>2</sup> (Dhv. p. 144 note especially अस्मदुपज्ञो न विस्मर्यः) Moreover

1. Pāṇini : III-IV-21. सामानकर्तृकयोः पूर्वकाले ।

VII-I-37. समासेऽनञ्पूर्वे ऋत्वौ ल्यप् ।

2. Dhv. P. 144.

इति काव्यार्थविवेको योऽयं चेतश्चमत्कृतिविधायी ।

सूरिभिरनुसृतसौरैरस्मदुपज्ञो न विस्मर्यः ॥

in the opening Kārikā a promise is held out that the writer would expound the nature of Dhvani for the enlightenment and pleasure of critics, and this promise is not stated to have been fulfilled in the last Kārikā as might naturally be expected, but it is done so only in the last verses of the Vṛtti.<sup>1</sup> Ānanda, who inscribes his name in the last verse, further takes credit for having carried out this resolve made in the first Kārikā, *viz.*, the exposition of Dhvani—the most real and striking characteristic of poetry. He also states that there was but a sparkling of the theory of Dhvani in the minds of wise men before him. Had the author of the Kārikās been different from Ānanda, the above remarks, in the face of the numerous Kārikās, should certainly be a gross perversion of truth and an unwarranted arrogation of originality which the meanest of men will not be capable of. Far be it from Ānandavardhana, the great poet-critic who is so very scrupulous and generous as to refrain from mentioning the faults of poets even where they actually exist.<sup>2</sup>

While commenting on the Kārikā प्रधानेऽन्यत् etc. Dhv. II-5, which defines Rasavadalāṅkāra differently from that of the earlier writers, Ānanda explains the portion of the Kārikā अलंकारो रसादिरिति मे मतिः as रसादेरलंकारस्य विषय इति मामकीनः पक्षः. Here Kārikākāra expresses a certain view of his own—*viz.*, where in any composition a Rasa, etc., is an adjunct of some principal idea,

1. Dhv. Kārikā I-1

तेन ब्रूमः सद्दयमनःप्रीतये तत्स्वरूपम् ॥

Dhv. last verses.

नित्यास्त्रिष्टयसाश्रयोचितगुणालंकारशोभादतो

यस्माद्वस्तु समीहितं सुकृतिभिः सर्वं समासाद्यते ।

काव्याख्येऽखिलसौख्यधानि विबुधोद्याने ध्वनिर्दर्शितः

सोऽयं कल्पतरूपमानमहिमा भोग्योऽस्तु भव्यात्मनाम् ॥

सत्काव्यतत्त्वविषयं स्फुरितप्रसुप्तकल्पं मनःसु परिपक्वधियां यदासीत् ।

तद्व्याकरोत्सद्दययोदयलाभहेतोरभन्तवर्धन इति प्रथिताभिधानः ॥

2. Dhv. p. 94.

तत्तु सूक्तिसदृशद्योतितात्मनां महात्मनां दोषोद्घोषणमात्मन एव दूषणं भवतीति न विभज्य दर्शितम् ।

that is an instance of Rasavadalāṅkāra—and Ānanda also says that the very same is peculiar to himself (मामकीनः पक्षः) thus identifying himself apparently with the author of the Kārikās. If Ānanda had used a word like 'Api' meaning 'also'—such as ममाप्येवमाशयः—then it may be argued that he only agrees with the views of the Kārikākāra : but there is nothing of it. Again, on page 95<sup>1</sup> where Śabdaśaktimūlādhvani is defined he explains it in the Vṛtti almost in the same words as the Kārikā II-22 and says at the end that it is 'our conception of that' 'इत्यस्माकं विवक्षितः', thus making no difference whatsoever between himself and the Kārikākāra. Further on page 204 appears the verse विमतिविषयो etc., which is printed as a Kārikā III-34, but which is really a parikarāśloka or a concluding statement in verse belonging to the Vṛtti ; for there is no commentary on it as might naturally be expected if it were a Kārikā. And Ānanda, desiring to conclude the long discussion and refutation of the possible objections to the theory of Dhvani from the different schools of philosophy, states that he has clearly expounded the peculiar characteristic of poetry called Dhvani which was for a long time a subject of severe controversy and one which baffled the understanding of the critics. If the Kārikās really existed before Ānanda, how could he say that the principle of Dhvani was not known before ? (सततमविदितसतत्त्वः) If he has spoken the truth, then he is identical with Kārikākāra.

Again, the Kārikākāra, whoever he may be, appears to be familiar with and to criticise the teachings of both Udbhaṭa and Vāmana.

The text of the Dhvanyāloka page 14 in accordance with the commentary of Abhinavagupta—अन्यैरिति कारिकाभागं काव्येत्यादिना व्याचष्टे—should read as follows :—

तत्र वाच्यः प्रसिद्धो यः प्रकारैरूपमादिभिः ।

बहुधा व्याकृतः सोऽन्यैः

1. Dhv. p. 95.

आक्षिप्त एवालंकारः शब्दशक्त्या प्रकाशते ।

यस्मिन्ननुक्तः शब्देन शब्दशक्त्युद्भवो हि सः ॥

यस्मादलंकारः न वस्तुमात्रं यस्मिन्काव्ये शब्दशक्त्या प्रकाशते स एव शब्दशक्त्युद्भवो ध्वनिरित्यस्माकं विवक्षितः ।

(वृत्ति) काव्यलक्ष्मविधायिभिः भट्टोद्भटप्रभृतिभिः ।

ततो नेह प्रतन्यते ॥

(वृत्ति) केवलमनूयते पुनर्यथोपयोगमिति ।

Here the Kārikākāra refers to the labours of earlier writers on poetics who have formulated the principles of poetic appeal on the basis of the express sense, such as Upamā, etc., and the Vṛtti mentions by name such writers—अन्यः—as have been kept in view by the Kārikā, and Udbhaṭa is the most important of them. Moreover Udbhaṭa is presupposed in another Kārikā

आक्षिप्त एवालंकारः शब्दशक्त्या प्रकाशते ।.....etc., Dhv. II-22, where that variety of Dhvani called Śabdaśaktimūlādhvani is distinguished from Śleṣālaṅkāra. The Vṛtti explains that the word “Ākṣipta” is used in the Kārikā in order to remove the possibility that would otherwise arise of including all cases of Śabdaśaktimūlādhvani under Śleṣālaṅkāra in accordance with the views of Udbhaṭa.

नन्वलंकारान्तरप्रतिभायामपि श्लेषव्यपदेशो भवतीति दर्शितं भट्टोद्भटेन ; तत्पुनरपि शब्दशक्तिमूलो ध्वनिः निरवकाश इत्याशङ्क्येदमुक्तं “आक्षिप्तः” इति

(Dhv. P. 96).

Further, Kārikā III-52 expresses that the essential characteristic of poetry which has been set forth before lay dormant in the minds of former writers who, being unable to determine and expound its true nature, formulated such poetic styles as the Rītis.<sup>1</sup> The Vṛtti explains<sup>2</sup> (Dhv. p. 231) the Rītis to be Vaidarbhī, Gauḍiyā and Pāñcālī, the three that have been formulated first by Vāmana from the two mārgas recognised by Daṇḍin, K. D. I. 40. The plural रीतयः speaks certainly for

1. Dhv. P. 231.

अस्फुटस्फुरितं काव्यतत्त्वमेतद्यथोदितम् ।

अशक्नुवद्विर्व्याकर्तुं रीतयः संप्रवर्तिताः ॥

III-52.

2. AL.

एतद्वनिवर्णनेन निर्णीतं काव्यतत्त्वमस्फुटस्फुरितं सदशक्नुवद्विः प्रंति-  
पादयितुं वैदर्भी गौडी पाञ्चाली चेति रीतयः प्रवर्तिताः । रीतिलक्षणविधायिनां हि  
काव्यतत्त्वमेतदस्फुटतया मनाक् स्फुरितमासीत् इति तदत्र स्फुटतया संप्रदर्शित-  
मित्यन्येन रीतिलक्षणेन न किञ्चित् ।

the correctness of the interpretation that Vāmana and not Daṇḍin is presupposed by the Kārikā.

Vāmana and Udbhaṭa were, as already set forth, the minister and the Court Paṇḍit respectively under King Jayāpīḍa of Kashmir, who reigned from 778 to 813. Thus the Kārikākāra, who must have lived after Vāmana and Udbhaṭa, should at the earliest have flourished about 820 A.D. This is also the date that Prof. Keith and others assign to the author of the Kārikās, whom they distinguish as Dhvanikāra from Ānandavardhana (Keith. Skt. Lit. p. 135). Ānanda who flourished in the court of Avantivarman of Kashmir 855-883 A.D. came not more than forty years after the Dhvanikāra. Now the question naturally arises:—If as has been supposed the author of the Kārikās were really different from Ānandavardhana, is it at all possible for Ānandavardhana, that came a few decades after the author of the Kārikās on which he commented, to have been absolutely unaware of the name and identity of the author of the original of his work? Or is it possible that Ānandavardhana, knowing the name of the Kārikākāra, wilfully suppressed it in order that his own name might shine forth in the world and dance in the lips of all critics? It is really unbelievable that if there had been a separate Dhvanikāra, Ānanda should not have been aware of his name. It is quite strange that Ānanda, the honest and upright philosopher that he is, should have suppressed wilfully the name of his master in the field, and stranger still that posterity should have meekly and complacently connived at this intellectual dishonesty and literary fraud.

Above all the literary tradition is in complete accord with the view that Ānanda was the author of the Kārikās also. Kuntaka in his Vakroktijivita (p. 67-70) quotes the verse ताल जाअन्ति etc., of Ānanda (Dhv. p. 62) and says that Dhvanikāra has clearly explained the suggestiveness and the suggested sense therein. Kuntaka lived only a little more than a century after Ānanda and he too was a Kashmirian. He distinctly identifies Dhvanikāra, i.e., the author of the Kārikās with Ānanda. Mahimabhaṭṭa, a younger contemporary of Kuntaka and Abhināva, cites Kārikās and prose Vṛttis under the name Dhvanikāra (Vyakti. pp. 1, 11 etc.), thus identifying the authors of both. Kṣemendra, a pupil of Abhinava and a good poet and critic, quotes the Dhvanikārikā विरोधी वाविरोधी वा .....etc. (Dhv. III-24, p. 172) in his Aucityavicāracarcā p. 134 in the

name of Ānandavardhana. Mammaṭa and all the writers on poetics that came after him do not at all discriminate between him and the Dhvanikāra. He is indeed a bold man who, knowing this unbroken and unanimous tradition, would brush it aside and complacently remark that these writers completely confuse between the Dhvanikāra and Ānandavardhana.<sup>1</sup> Surely there is no confusion in them. It is only imposed from outside to suit an untenable position.

Again, prepossessed with this distinction between Vṛttikāra and Kārikākāra, Dr. S. K. De has misunderstood a passage of Abhinava: and he imagines that Abhinava reads the Kārikās differently from Ānanda—a procedure that would certainly favour the above distinction. (See S. K. De. Kāvyaḷokaloḷana. IV. Intro. p. 3. Text p. 23 fn. 15 & 16.)

Let me cite the two texts :—

अवस्थादिविभिन्नानां वाच्यानां विनिबन्धनम् ।

यत्प्रदर्शितम् प्राक् ।

भूम्नैव दृश्यते लक्ष्ये न तच्छक्यमपोहितुम् ॥

तत्तु भाति रसाश्रयात् ।

Dhv. Kārikā IV-8; p. 243-44

Abhinava comments on this thus:—

अवस्थादिविभिन्नानां वाच्यानां विनिबन्धनम् ।

भूम्नैव दृश्यते लक्ष्ये तत्तु भाति रसाश्रयात् ॥ इति कारिका । अन्यस्तु ग्रन्थः मध्योपस्कारः । अत्र पादत्रयमनूद्य चतुर्थपादार्थः अपूर्वतयाभिधीयते तत्त्वित्यादि ॥

Locana IV. p. 23.

The term “उपस्कार” means that which supplies an ellipsis. Ānanda is in the habit of supplying these ellipses to many Kārikās. Being a poet his words occasionally come out in metrical form. One such upaskāra is न तच्छक्यमपोहितुम् which, being written along with the Kārikās in the palm leaf manuscripts used by the editors, is evidently mistaken by them for a portion of the Kārikā and treated as such in the printed text. Probably, had यत्प्रदर्शितं प्राक् been in the form of a quarter of a verse they

1. S. K. De. Skt. Poetics. Vol. I. Chap. IX especially pages 109—10.

would have included that also in the Kārikā. Abhinava fully anticipating this probable error clearly indicates here what the Kārikā is and what the upaskāra is, as he does elsewhere. But the learned editors of the work might be excused, for they had no access at that time to Abhinava's commentary on this chapter; and where they had it they were careful in reading the text correctly, *e.g.*, see Dhv. p. 113, Locana.

तासामेवालंकृतीनामित्ययं पठिष्यमाणकारिकोपस्कारः ।

Here तासामेवालंकृतीनां is in the form of a quarter of a verse, but correctly understanding Abhinava they have treated it as part of the Vṛtti. Dr. De, imagining that what is printed in bold type is invariably the Kārikā as known to Ānandavardhana, discovers a difference between the readings of Ānanda and Abhinava. Surely Ānanda did not prepare the Nirṇayasāgar edition ! The Kārikā text has to be determined having regard to Abhinava's comments and it should be as given in Locana IV. p. 23 quoted above. Dr. De misunderstands the Locana and finds it convenient to use it in favour of his theory.

When there are so many evidences which clearly identify Ānanda with Dhvanikāra, the only evidence which apparently favours the opposite view, *viz.*, the distinction occasionally made by Abhinava between Kārikākāra and Vṛttikāra, loses its force and it has to be explained differently. Probably Abhinava desired, in order to facilitate his comments, to keep the Kārikās distinct from the Vṛtti and he achieved it by referring to their author in his two capacities as Kārikākāra and Vṛttikāra. This procedure of first stating the fundamental principles in short Kārikās and then commenting elaborately on them is quite common among writers of that period. Kuntaka, Kṣemendra, Mammaṭa, Maṇḍana, Udayanācārya and other writers adopt this method of exposition. And if they refer in the Vṛtti to additional facts not touched upon in the Kārikā, it would be far from truth to suggest on that basis any difference between the author of the Kārikā and of the Vṛtti. Further the habit of splitting their own Kārikās and commenting on them in parts has also been in vogue among writers like Mammaṭa and Udayanācārya. It would on the other hand argue the identity of authorship as the writers themselves treat the portions of the Kārikās as headlines of paragraphs or sections in the Vṛtti.

Thus it is shown that this distinction between Ānandavardhana and Dhvanikāra rests on slender grounds; and accord-



ing to the express statements of Ānanda and Abhinava together with the practice of the innumerable writers on Sanskrit Literary criticism it is undoubtedly proved that the author of the Dhvanī-kārikās is none other than the great Kashmirian poet-critic Ānandavardhanācārya.

*Section III.—Ānandavardhana.*

He became famous during the reign of Avantivarman of Kashmir (855-83 A.D.) (Rāja-taraṅg. V. 34). Rājaśekhara (880-920 A.D.) refers to him by name and also to his views about the superiority of genius over training in making up a poet (K.M. p. 16), and he also cites anonymously one of his verses या व्यापारवती etc. (K. M. p. 60 ; see Dhv. p. 227). Jayanta, the author of the Nyāyamañjarī and the father of Abhinava, the author of the Kādambarī-Kathāsāra, refers to the theory of Dhvani and also Ānanda's illustrative verses and his comments thereon; and he dismisses them with a personal animus characteristic of a contemporary, calling Ānanda Paṇḍitarimanya or self-conceited pedant and a poet not worthy of being treated as a serious opponent in discussing the nature of verbal judgment where even trained philosophers falter (N. M. p. 48).<sup>1</sup> He lived

1. Nyāyamañjarī. p. 58.

एतेन शब्दसामर्थ्यमहिम्ना सोऽपि वारितः ।  
यमन्यः पण्डितमन्यः प्रपेदे कंचन ध्वनिम् ॥  
विधेर्निषेधावगतिर्विधिवुद्धिर्निषेधतः ।

यथा—

भम धम्मिअ वीसत्थो मा ण्म पान्थ गृहं विश ॥  
मानान्तरपरिच्छेद्यवस्तुरूपोद्देशिनाम् ।  
शब्दानामेव सामर्थ्यं तत्र तत्र तथा तथा ॥  
अथ वा नेदृशी चर्चा कविभिः सह शोभते ।  
विद्वांसोऽपि विमुह्यन्ति वाक्यार्थगहनेऽध्वनि ॥  
तदलमनया गोष्ठया विद्वज्जनोचितयाचिरं  
परमगहनस्तर्कज्ञानामभूमिश्रयः नयः ।  
प्रकृतमधुना तस्माद्ब्रूमो न भात्यनुमानतः  
तनुमपि सतामर्यापत्तेर्विशेष इति स्थितम् ॥

about the middle of the 9th century<sup>1</sup> and Ānanda may safely be placed about 850 A.D.

He wrote in addition to the Dhvanyāloka, Devīśataka, Viṣamabāṇalīlā, Arjunacarita, Tattvāloka (Locana IV. p. 17) and other works.

✓ The theory of Dhvani expounded by Ānanda appears to have had a long course of development as he himself says, [समाम्नात-पूर्वः, Dhv. I-1; and तथैवान्यैस्तन्मतानुसारिभिः सूरिभिः ... .. ध्वनिरित्युक्तः -- P. 47. Note the plural सूरिभिः and the past participle उक्तः] and was the subject of severe controversy and was not at all understood to be a source of literary appeal by the representatives of the old school of Guṇa, Alaṅkāra, etc., as is evident from the verse यस्मिन्नस्ति न वस्तु etc., Dhv. p. 9, quoted from Manoratha, a contemporary of Ānanda. [ग्रन्थकृत्समानकाल-भाविनैव मनोरथनाम्ना कविना. Lo. 9.] However, the latter believes that they touched at least the fringe of the principle of 'Dhvani' when they said that a particular variety of lakṣaṇā contributed to poetic expression, but they were not able to discern the distinctness and importance of this and bring it under a separate category.<sup>2</sup> Abhinavagupta in his commentary gives out the authorities who were borne in mind by Ānanda here to be primarily Udbhaṭa and Vāmana, and he also cites the relevant texts.<sup>3</sup> As, however, Udbhaṭa's commentary—Bhāmahavivarṇa—on the Kāvyaālaṅkāra of Bhāmaha is not available, we shall bestow some thought on Vāmana's conception of 'Vakrokti', which has been stated to comprehend in a manner the principle of Dhvani or suggestion. It is defined in Sūtra IV. 3.8 as सादृश्याल्लक्षणा वक्रोक्तिः. i.e., secondary signification having

1. Dr. S. C. Vidyābhūṣaṇ :—History of Indian Logic, p. 147.

2. Dhv. p. 10.

AL. गुणवृत्त्या काव्येषु व्यवहारं दर्शयता ध्वनिमार्गो मनाक् स्पृष्टोऽपि न लक्ष्यत इति परिकल्प्यैवमुक्तम् “भाक्तमाहुस्तम्ये” इति ।

3. Lo. दर्शयता—भट्टोद्धटवामनादिना, 10. भामहोक्तं शब्दश्छन्दोऽभिधानार्था इत्यभिधानस्य शब्दाद्भेदं व्याख्यातुं भट्टोद्धटो वभाषे—शब्दानामभिधानं अभिधाव्यापारः, मुख्यो गुणवृत्तिश्चेति । वामनोऽपि सा सादृश्याल्लक्षणा वक्रोक्तिः इति ।

similarity as its basis is Vakrokti. When the primary sense of a word in a sentence is incongruous, we take it in its secondary signification 'on the basis of certain relations such as proximity, etc.<sup>1</sup> Where this relation is similarity there is Vakrokti. Take for instance:—"उन्मिलील कमलं सरसीनां कैरवं च निमिलील मुहूर्तात्" । Here उन्मिलील and निमिलील primarily signify 'opened and shut the eyelids'. This opening and shutting of the eyelids is incongruous in the lotus and hence through the similarity of the lotus to the eye, they are taken in their secondary sense of blossoming and closing. This conception of Vakrokti agrees mainly with the Guṇa, Samādhi of Daṇḍin<sup>2</sup> and Vāmana's Samādhi is different. This secondary signification may be contrasted with another that is found in—जरठकमलकन्दच्छेदगौरैर्मयूखैः-- 'With the rays that are as white as the 'cheda' of the mature lotus-root'. Here 'cheda' means cutting and whiteness cannot be predicated of it. So to remove this difficulty it is taken in the sense of one having it, viz., an object that is cut or a piece. Now it is possible to speak of the whiteness of the piece of the lotus-root. This variety of lakṣaṇā does not involve any similarity and hence does not contribute to any poetic charm as the previous one. Thus poetic charm consists in the implication of some similarity in secondary signification.

Let us consider other instances given by Vāmana himself.

इह च निरन्तरनवमुकुलपुलकिता हरति माधवी हृदयम् ।

मदयति च केसराणां परिमलमधुगन्धि निश्चसितम् ॥

'Here the Mādhavi creeper being 'horripulated' with the numerous fresh buds captivates the heart. And the 'breathings' of the Kesara flowers, smelling like the fragrant honey, please the heart'. 'Pulaka' or horripulation is incongruous in a plant, and it is

1. अभिधेयेन सम्बन्धात्सादृश्यात्समवायतः ।

वैपरीत्याक्रियायोगात् लक्षणा पञ्चधा मता ॥

2. K. D. I. 93 and 74.

अन्यधर्मस्ततोऽन्यत्र लोकसीमानुरोधिना ।

सम्यगाधीयते यत्र स समाधिः स्मृतो यथा ॥

कुमुदानि निमीलन्ति कमलान्युन्मिषन्ति च ।

इति नेत्रक्रियाध्यासाल्लब्धा तद्वाचिनी श्रुतिः ॥

found in a young lady that first meets her lover. Through the similarity of the creeper to such a lady whose hair stands on ends at her fresh meeting with her lover, the term 'pulakita' is here taken in its secondary signification of 'being full of' or 'being covered with'. Similarly in the latter half of the verse the idea 'niśvasitam' or breathings is incompatible in the inanimate kesara flowers, and through the similarity of the fragrant smell of the flower to the fragrant breathings of a young, beautiful lady of noble birth, it is taken in its secondary signification of the spreading of the fragrance. Thus in these two examples lakṣaṇā implies a certain similarity to the horripilation of a lady and her sweet-smelling breathings, and this implication which gives a peculiar charm to the verse naturally falls within the sphere of Dhvani or suggestion. Here it is that the representatives of the older schools of thought like Vāmana might be said to have touched at least the fringe of the theory of Dhvani. Speculations regarding this theory were rife and were continued in a long line of critics or learned societies, but it was formulated and written down for the first time only by Ānandavardhana.<sup>1</sup>

It is inspired by the Vaiyākaraṇa doctrine of Sphoṭa, which was formulated in order to determine accurately the seat of signification. It is briefly this :—When one utters the word गौः what is it that produces in the hearer the idea of an animal possessing the dewlap, the tail, the hump, the cloven foot, etc.? Is it produced by each of the three sounds ग्, औ and visarga separately or by all of them? If each of the sounds produce the idea, then one will be enough and the remaining sounds are useless. If all of them together or the 'Samudāya' produce it, it cannot be, because all sounds according to Nyāya philosophers last only for two moments, [शब्दज्ञानकर्मणां द्विक्षणावस्थायित्वम्] and when there is the experience of the visarga, ग् disappears and so there is no Samudāya. Now what is it that signifies? The grammatical philosophers say that it is 'Sphoṭa', an eternal indivisible entity like the soul which when manifested by the sounds गौः gives us the idea of that animal. Now a further question is raised ; how is this Sphoṭa manifested ? Is it by the individual sounds or by all the sounds combined ? Again the same

1. Lo. p. 3.

अविच्छिन्नेन प्रवाहेण तैरेतदुक्तं विनापि विशिष्टपुस्तकविनिवेशनात्

difficulty. If it is manifested by the individual sounds one will be enough, and the others are superfluous ; if by all of them, the all or Samudāya does not exist. To solve this they say that Sphoṭa is manifested by the experience of the last sound of a word together with the impressions left of the experiences of the previous sounds.

[पूर्वपूर्ववर्णानुभवाहितसंस्कारसचिवेन अन्त्यवर्णानुभवेन अभिव्यज्यते स्फोटः]

Corresponding to this word गौः there exists a gopadasphoṭa, and it is revealed once by each of the sounds of the word गौः, but the sense conveyed by the word is got at only when this gopadasphoṭa is revealed for the third time by the last sound, the visarga of the word. They illustrate this in this manner. When a light is brought to illuminate a pot that is in the dark, the pot is revealed immediately after the light falls on it, and the frequent presence of the light goes to reveal the pot very clearly and then its true nature is realised. Or take a man that learns a hymn by rote. He goes on repeating the hymn many times until his object is attained ; and it is only when he repeats it for the last time that he gets it by heart. Every time he repeats it, the whole hymn is repeated and the last repetition, aided by every one of the previous repetitions, it is, that enables him to realise his object, viz., learning the hymn by rote. Similarly<sup>1</sup> by everyone of the sounds is revealed once the Sphoṭa, but the Sphoṭa is so fully manifested as to be capable of signification only when it is revealed by the last of the sounds of a word. They say that in the same way as the individual sounds of a word are incapable of conveying any idea and hence are unreal, similarly separate words which convey only stray concepts and not any complete idea are unreal [or अमुख्य]. For sentences alone have been recognised by all philosophers to convey any complete idea, e.g.,

अर्थैकत्वादेकं वाक्यं साक्षात् चेद्विभागे स्यात् M. S. II. 1. 46.,

साक्षाद्वाक्यं भेदे परानाकाङ्क्षशब्दकम् ।

कर्मप्रधानं गुणवदेकार्थं वाक्यमिष्यते ॥

Vākya. p. II-4.

1. Vākya. p. I. 83.

यथानुवाकः श्लोको वा सोढत्वमुपगच्छति ।

आवृत्त्या न तु स ग्रन्थः प्रत्यावृत्त्या निरूप्यते ॥

So Vākya-sphoṭa which expresses a complete idea is the real seat of signification<sup>1</sup> (Vākya. p. I. 73 & 74). It is unitary, indivisible and eternal.<sup>2</sup>

Thus to sum up, Sphoṭa or Śabda, as it is otherwise called, is different from the sounds of a word ; it is the real seat of the significative capacity and it is manifested by the last sound of a word together with the impressions of the experiences of the previous sounds. The utterance of these sounds that manifest Śabda or Sphoṭa is called Dhvani.

This conception of Śabda manifested by Dhvani is made use of by the exponents of the Dhvani school in formulating the Vyañjanāvṛtti to explain the psychological process of the realisation of Rasa. They hold that poetry appeals to the man of taste only because of its suggested sense (Vyaṅgyārtha), and this is got at through a peculiar capacity inherent in poetic language called suggestiveness (Vyañjakatva), which is different from the ordinary significative capacities of words, Vācakatva and Lakṣakatva. The activity involved in getting at the suggested sense is known as suggestion or Vyañjanā.

To take an instance traditionally cited. When one utters गङ्गायां घोषः—a hamlet on the Ganges—what is it that is sought to be conveyed ? Ganges means the current of the river ; and it is absurd to speak of a hamlet as situated on the current. To remove this difficulty ‘Ganges’ is taken to mean through Lakṣaṇā (or its secondary significative capacity) that which is near it, viz., ‘the bank’ of the river. Now a further question is

1. Vākya. p. I. 73 & 74.

पदे न वर्णा विद्यन्ते वर्णेष्ववयवा इव ।

वाक्यात्पदानामत्यन्तं प्रविवेको न कश्चन ॥ -

भिन्नं दर्शनमाश्रित्य व्यवहारोऽनुगम्यते ।

तत्र यन्मुख्यमेकेषां तत्तैतेषां विपर्ययः ॥

2. See Dr. De, Sanskrit Poetics, Vol. II, pp. 180-1. “Some philosophers propounded and the grammarians took it for granted that a word has intrinsically a word-prototype corresponding to it. The Sphoṭa is not exactly this word-prototype, but it may be explained as the sound of a word as a whole, and as conveying a meaning apart from its component letters, etc., etc.” We are not aware of the source of Dr. De’s exposition of the conception of Sphoṭa, which will make Bhartṛhari’s flesh creep if he were alive to-day.

raised : does the speaker desire to convey by गङ्गायां घोषः only this that there is a hamlet on the bank of the Ganges? If so, is he so defective of expression as not to think of the proper word गङ्गातीरे—on the bank of the Ganges? If it is granted that the speaker is capable of correct expression, it must be supposed that he has some purpose in saying गङ्गायां instead of गङ्गातीरे. It is in discovering the intention of the speaker that a third function or Vyāpāra of words called Vyañjanā or suggestion operates. It reveals that the speaker intends by such a usage to suggest that the hamlet, by its proximity to the Ganges, is so cool, pleasant, pure and holy as though it were situated on the current itself. This idea of the coolness and sanctity of the hamlet is delightful when suggested from 'a hamlet on the Ganges', but it is not so when understood expressly from 'a hamlet on the bank of the Ganges is very cool and holy'; for in getting at the idea there is in the former a peculiar exercise to the mind which only an intellectual man can take, and it delights him, while in the latter this is totally absent. The Dhvani school holds that the presence of the suggested idea above the express sense distinguishes poetry from ordinary language.

This conception will be clearly understood if we take a really poetical example. Consider the verse:—

गच्छ गच्छसि चेत्कान्त पन्थानः सन्तु ते शिवाः ।

ममापि जन्म तत्रैव भूयाद्यत्र गतो भवान् ॥

Daṇḍin K. D. II. 141.

"Depart my dear ! if thou dost, then may thy paths be safe ! Let me also be born again in that place whither thou wouldst be gone."—This verse is addressed by a lady to her lover on the eve of his departure on a long journey. The express sense of this verse is this. The lady permits her lover to depart and wishes him a happy journey. She prays also to be reborn in the country to which her lover departs. But by desiring rebirth in the land to which her lord is bound, she really suggests that in his absence she is sure to die of the pangs of separation from him and hence he should not start on his journey. Her address also suggests her intense feeling of love for her lord. With all that, being a dutiful, modest and loving lady she would not express anything inauspicious at the time and say bluntly "My Dear, I love you intensely ; so do not go. If you do, I will certainly commit suicide",

These ideas when expressly stated are vulgar and form common parlance, but when they are left to be suggested as in the above verse, they become poetry and are pleasant (गूढं सच्चमत्करोति). Two things are particularly suggested in this verse—(i) an idea or वस्तु in the shape of a request not to start on the journey ; and (ii) a Rasa (भाविविप्रलम्भ) or an intense feeling of love and a keen consciousness of impending separation. In some verses what is suggested is an Alāṅkāra. The life or the vitality of poetry consists in these three varieties of suggested sense.

This conception of poetry and also the name ' Dhvani ' given to this method of criticism are suggested by the doctrine of Sphoṭa.<sup>1</sup> Sphoṭa otherwise called Dhvani is the one permanent seat of the significative capacity ; similarly the suggested sense or Vyaṅgyārtha is the essence of poetry and it is named Dhvani. When a drum is beaten with a stick there is produced a sound in the space nearest the drum. It is not this that is heard, but it generates a succession of sound waves just like the ever-widening concentric circles of light ripples that are caused by throwing a stone in the still water of a pond, or like the successive ringing sounds caused by the striking of a bell. And the last of this succession of waves strikes the ear and it is this that is heard. These last sounds that strike the ear are called Dhvani :—

यः संयोगविभागाभ्यां करणैरुपजन्यते ।

स स्फोटः शब्दजाः शब्दा ध्वनयोऽन्यैरुदाहृताः ॥

(संयोग and विभाग refer to the contact of the stick with the drum and its separation from it when beating.) Similarly the suggested sense, one of whose varieties called Samlakṣyakramavyaṅgya is obtained through a gradual mental process akin to this resonant clang of a bell, is called Dhvani.

एवं ध्वनानादस्थानीयः अनुरणनात्मोपलक्षितः व्यङ्ग्योऽप्यर्थः ध्वनिरिति

व्यवहृतः । Locana p. 47.

Sphoṭa or the real seat of the significative capacity is manifested by the last of the sounds of a word together with the unified impressions of the previous sounds, and such sounds that manifest Sphoṭa are called Dhvani. Says Hari :—

प्रत्ययैरनुपाख्येयैर्ग्रहणानुग्रहैस्तथा ।

ध्वनिप्रकाशिते शब्दे स्वरूपमवधार्यते ॥

1. See Locana. p. 47 where this is clearly explained.



When by means of these indescribable and successive cognitions, Śabda or Sphoṭa is manifested by Dhvani, its true nature is realised. In the same manner those words and their senses that are capable of manifesting the suggested sense are called Dhvani. Thus suggestive words and senses (व्यञ्जकशब्द and व्यञ्जकीय) are called Dhvani. When the speaker utters a word like गौः consisting of three sounds ग्, औ and visarga the Sphoṭa or Śabda that signifies the particular animal is revealed once by each of the three sounds though it is fully realised only at the end. After it has been revealed by the first sound (प्राकृतध्वनि) the subsequent sounds (वैकृतध्वनि) generate in them, through the modulation of the speaker's voice, a certain manner or peculiar character (वृत्तिभेद) such as fast, slow, high, low, etc., which only aid in the manifestation of the Sphoṭa more clearly than ever. Says Hari :—

शब्दस्योर्ध्वमभिव्यक्तेवृत्तिभेदांस्तु वैकृताः ।

ध्वनयः समुपोहन्ते स्फोटात्मा तैर्न भिद्यते ॥

This additional activity of the speaker involved in imparting to the sounds produced by him a manner or shape such as fast, slow, etc., is called Dhvani. Similarly it is not the ordinary significative functions of words, *viz.*, Abhidhā (primary) and lakṣaṇā (secondary) that manifest the suggested sense, but a third activity inherent in words and different from the two previous ones, known as vyañjanā or suggestion. This is also called Dhvani. And poetry where all these—the suggestive words and ideas, suggestion and suggested ideas—are found is also called Dhvani. Thus the term 'Dhvani' which is used in all the five different senses stated above is borrowed and adapted from the terminology of the grammatical philosophers.

The term 'Dhvani' is primarily applied to that composition where the suggested sense—a Rasa, Alaṅkāra or Vastu—predominates over the express idea and it is defined by Ānanda thus : (Dhv. I. 13.)

यत्रार्थः शब्दो वा तमर्थमुपसर्जनीकृतस्वार्थौ ।

व्यङ्क्तः काव्यविशेषः स ध्वनिरिति सूरिभिः कथितः ॥

Learned men regard that variety of composition to be Dhvani wherein the express sense subordinating itself or the expressive words subordinating their express senses suggest that sweet and

beautiful idea—which abounds in the works of great poets. Here उपसर्जनीकृतस्वार्थो is adjective to both अर्थः and शब्दः and is in fact two compound words which must be construed with अर्थः and शब्दः thus<sup>1</sup> : उपसर्जनीकृतस्वः or उपसर्जनीकृतात्मा अर्थः and उपसर्जनीकृतार्थः शब्दः । That is, the express sense is subordinated or made accessory to the suggested idea; and the expressive words subordinate or make accessory their express senses to the suggested idea. What is the necessity for referring to both Vācakaśabda and Vācyārtha in the definition? Vācyārtha or express sense is present everywhere and Vācakaśabda cannot of itself, without conveying its sense, lead to any suggestion ; and if the Vācyārtha should suggest some idea it must first be realised and that cannot arise without the Vācakaśabda. Hence will it not suffice if one of these two, Vācakaśabda or Vācyārtha, is mentioned as being suggestive of some ideas ? True ; but the writer evidently wishes to refer to the two main divisions of Dhvani, Avivakṣitavācya and Vivakṣitānyaparavācya where Śabda and Artha respectively are primarily suggestive, though of course the presence of the Artha in the former and Śabda in the latter is not denied. This is indicated by the use of the dual in Vyāktaḥ. Though in Avivakṣitavācyadhvani Śabda alone is suggestive, yet the co-operation of Artha is invariably present, otherwise Śabda may even suggest without its sense being understood. Similarly though in the Vivakṣitānyaparavācya Artha alone is suggestive, the co-operation of Śabda is necessary, for without it wherefrom can Artha arise? Thus to show that both Śabda and Artha together are suggestive the dual number is used. If this is so, why should it be said that either Śabda or Artha is suggestive? [अर्थः शब्दो वा] It is to affirm that Śabda is primarily suggestive in one variety and Artha in another, though either of them simultaneously co-operates with the other in suggesting that sweet and beautiful idea.

(<sup>\*</sup> After Dhvani has been defined it is well worth considering the senses in which the term is used. It has been said before that there are two main varieties of Dhvani called Avivakṣitavācya

1. Lo. 33.

स्वशब्द आत्मवाची । स्वं चार्थश्च स्वार्थो । तौ गुणीभूतौ याम्याम् ; यथासंख्येन तेन अर्थो गुणीकृतात्मा, शब्दो गुणीकृताभिधेयः ।

and Vivakṣitānyaparavācya which mean respectively those kinds of Dhvani where the express sense being stultified [अविवक्षित] suggests some ideas and where the express sense, though intended to convey as leading to something else, suggests some ideas. Here by dissolving these 'epithetised' or adjectival (bahuvrīhi) compounds in five different ways the term Dhvani could be shown to express all its five senses.<sup>1</sup> (1) अविवक्षितः वाच्यः यस्य सः, व्यञ्जकः शब्दः ध्वनिः—those suggestive words whose primary sense is discarded; (2) अविवक्षितः वाच्यः स्वात्मा येन सः, व्यञ्जकोऽर्थः—suggestive sense by which it, being the primary sense, stultifies itself; (3) अविवक्षितः वाच्यः यस्मै सः, व्यञ्ज्योऽर्थः—the suggested sense for whose sake the express meaning is rendered useless; (4) अविवक्षितः वाच्यः यस्मात् सः, व्यञ्जनाव्यापारः—the suggestive function through which the primary sense is discarded; (5) अविवक्षितः वाच्यः यत्र or यस्मिन् सः, ध्वनिः, काव्यम्—a composition where the primary sense is discarded. A similar interpretation can also be given of the other expression Vivakṣitānyaparavācyaḥ Dhvaniḥ. Thus it is clear that 'Dhvani' has five senses, viz., suggestive words and ideas, suggested ideas, suggestion and the composition where these are found.

The two varieties of Dhvani, Avivakṣitavācya and Vivakṣitānyaparavācya mentioned before, are further classified into two each, the former comprising Atyantatiraskṛtavācya and Arthāntarasaṅkramitavācya and the latter, Saṁlakṣyakramavyaṅgya and Asaṁlakṣyakramavyaṅgya. (Dhv. II. 1 and 2.) The first covers all cases where some idea is suggested by completely discarding the primary sense owing to its incongruity. As an instance of this the following verse may be cited :—

रविसङ्क्रान्तसौभाग्यं तुषारावृतमण्डलः ।

निश्चासान्ध इवादरीश्वन्द्रमा न प्रकाशते ॥

The moon whose lustre has passed over to the sun and whose orbit is covered with mist does not shine forth just as a mirror that is rendered blind by long heavings. Here Lakṣhmaṇa

1. Dhv. P. 48. Lo.

पञ्चधापि ध्वनिशब्दार्थे येन, यत्र, यतो, यस्य, यस्मै इति बहुव्रीह्यर्था-  
श्रयेण यथोचितं सामानाधिकरण्यं सुयोजम् ।

describes the state of the moon in the early morning in the winter season. It is like a mirror that is covered with numerous particles of water falling on it along with heavy breathings. Is the statement 'the moon is like a mirror that is rendered blind' correct? A person that has no eyes is spoken of as being blind. How then can the inanimate mirror which cannot possibly have eyes at any time be said to be blind? To remove this incongruity we resort to the secondary signification of 'Andha'. Through the similarity of this mirror to a blind person that cannot visualise external objects, 'Andha' is taken in the sense of being incapable of reflecting 'external objects'. Why should the poet use such an incongruous expression? Can he not think of the proper word here? What is his purpose in using 'Andha' with reference to the mirror? It is to suggest forcibly that the mirror has completely lost its power to reflect and is utterly useless. So is the moon that is useless without his lustre. In this class of Dhvani the primary sense being incompatible is discarded in favour of the secondary sense and as the prayojana or purpose of resorting to the secondary sense arises the suggested idea.

In the second variety of Dhvani called Arthāntarasāṅkramita, the primary sense is not incongruous and there is no necessity for assuming any lakṣyārtha. The Vācyārtha itself, aiming at a consideration of the text, is given up in favour of the suggested sense. The following verse may be considered as illustrating this :—

ताला जाअन्ति गुणा जाला दे सहिअएहिँ वेप्पन्ति ।

रइकिरणानुगहिआँ ह्योन्ति कमलाँ कमलाँ ॥

[तदा जायन्ति गुणा यदा ते सहदयैर्गृह्यन्ते ।

रविकिरणानुगृहीतानि भवन्ति कमलानि कमलानि ॥]

Good qualities become really so only when they are appreciated by cultured minds ; (indeed) lotuses become lotuses only when they are favoured by the rays of the sun. The idea here is that excellences ought to be recognised by others; otherwise they will be of no value and may disappear just like a flower that withers in the forest without its beauty and fragrance being enjoyed by any. The seat of suggestion here is the second 'lotuses' in 'lotuses become lotuses'; and it means that when the rays of the sun fall on them they fully blossom and possess the superior beauty, fragrance and the glory of being the seat of the Goddess Lakṣmi, etc. 'Kamala' conveys the sense of an object endowed with the excellences

referred to just now. In this species the primary sense is not absolutely discarded in favour of a secondary sense, but it leads without its intervention, to the suggestion of some other idea—*Arthāntarasaṅkramita* means the primary sense slowly passes over or is transferred to conveying some other idea.

The third variety called *Samlakṣyakramavyaṅgya* comprises compositions where some idea, *Bhāva* or *Rasa* is suggested not immediately after the understanding of the primary sense, but slowly and by stages which are easily discernible. Or the mental process in arriving at the *Vyaṅgyārtha* or the suggested idea from the knowledge of the *Vācyārtha* operates by steps that are well ascertainable. (*Samlakṣya-Krama-Vyaṅgya*); for example consider the verse:—

एवंवादिनि देवर्षौ पार्श्वे पितुरधोमुखी ।

लीलाकमलपत्राणि गणयामास पार्वती ॥

When the celestial sage was speaking thus *Pārvatī*, looking down her face by the side of her father, counted the petals of the play-lotuses. This verse is from the sixth canto of the *Kumārasambhava*; and the context is this:—The messengers of Lord *Śiva*, the heavenly *vargas* approached along with *Arundhatī* *Himavān*, the father of *Pārvatī* and requested him on behalf of the Lord the hand of his daughter. When *Aṅgiras*, the leader of the seers, spoke thus to *Himavān*, *Pārvatī* who was present near her father counted the lotus-petals with apparent indifference. When the great Lord himself offered to marry *Pārvatī* she was immensely pleased, but out of her modesty she could not reveal her reciprocation of the love of *Śiva* in the presence of her father and the elderly seers, and in order to conceal her natural bashfulness and joy, she pretended like an unsophisticated girl to count the petals with her face cast down. Here then there is the suggestion of *Pārvatī*'s *lajjā* or bashfulness which is a *Vyabhicārībhāva* or an accessory emotion to the development of love. Is this *Bhāva*, *lajjā* suggested immediately after we hear of *Pārvatī*'s counting the petals? No. For this counting may take place for other reasons as well. It is only when we think of the former activities of *Pārvatī* such as her performance of severe penance, the meeting with Lord *Śiva*, etc., that we understand that at the mention of *Śiva*'s offer *Pārvatī* naturally reciprocates his love, but out of modesty conceals her emotion. Thus the *lajjā* of

Pārvatī is realised after some time and through well-ascertainable stages as mentioned above. Such a suggestion of a Bhāva, etc., where the interval between our knowledge of the Vāc्यārtha and the realisation of the Vyaṅgya-bhāva, etc., is clearly discernible, is called Saṁlakṣyakramadhvani.

The fourth and the last variety of Dhvani in which practically the whole of the Rasa theory is merged is called Asaṁlakṣyakramadhvani. Here the Vāc्यārtha generally constitutes a representation of the Vibhāvas, Anubhāvas and Vyabhicāribhāvas. When we understand these there at once is kindled up in us the corresponding emotional mood or instinct Sthāyibhāva, and this is developed to that climax where we realise our own emotion of love, etc., invariably accompanied by a thrill. Let us consider the following verse :—

कृतककुपितैर्बाष्पाभोमिः सदैवविलोकितैः

वनमपि गता यस्य प्रीत्या धृतापि तथाम्बया ।

नवजलधरस्यामाः पश्यन् दिशो भवतीं विना

कठिनहृदयो जीवत्येव प्रिये स तव प्रियः ॥

The situation here is that Rāma's anguish at his separation from Sītā who has been carried away by Rāvaṇa is intensified at the approach of the rainy season ; and he exclaims thus :— Drawn by your love for whom then you, with feigned indignation and eyes swelling with tears and with plaintive looks, went even to the forest in spite of your forcible detention by mother, that person, the stony-hearted who, separated from you, sees the quarters dark with fresh, heavy clouds and still continues to breathe, is indeed my dear, your lover ! Here Sītā's intense love for Rāma is indicated by her transgressing the entreaties of her elders and accompanying Rāma to the forest. Rāma's address as 'my dear' expresses his extreme love—the Sthāyibhāva Rati—for Sītā which is the all-in-all of their lives. Sītā is the Ālambana-vibhāva of Rāma's love and it is heightened by his sight of the dark clouds at the approach of the rainy season—Uddīpanavibhāva—and further intensified by his mental depression indicated by his calling himself stony-hearted, etc.,—Vyabhicāribhāva. The Rasa here is Vipralambha and not Karuṇā, as Rāma says that he continues to breathe and there is no death. When in this manner the appropriate causes, effects and the accessory emotions are fully represented the Sthāyibhāva or emotional mood, viz., Rati that is in-born in all responsive hearts is stirred and developed to that

emotional climax, when we, realising our own emotion, Rati, reach a blissful state of mind. This bliss otherwise called aesthetic pleasure is Rasa. This Rasa is not realised by any of the words denoting it, but is suggested to us immediately we understand the Vibhāvas, etc., in the shape of the Vācārtha. This aesthetic pleasure appears to arise simultaneously with our reading and understanding the verse. Really the two do not arise at the same time, but there is some interval between our knowledge of the Vācārtha and the suggestion of the Rasa ; still it is so short that it is almost imperceptible in the same manner as the piercing of a hundred lotus-petals placed one above the other with a needle appears to take place simultaneously, though in fact there is some interval between the piercing of one petal and the other next below, however short and imperceptible it may be. This is why this class of Dhvani is called Asanlakṣyakramavyaṅgya, meaning the krama or the stages by which the suggestion is had from the Vācārtha are asanlakṣya, not well discernible.

Or the following verse may be considered :—

हरस्तु किञ्चित्परिवृत्तधैर्यश्चन्द्रोदयारम्भ इवाम्बुराशिः

उमामुखे बिम्बफलाधरोष्ठे व्यापारयामास विलोचनानि ॥

The context here is this. Manmatha, in order to get Pārvatī married to Lord 'Śiva' so that the son born of them may kill Tārakāsura who was a menace to the gods, went to the abode of the Lord with the spring season to excite his love for Pārvatī, and when she bowed in front of the Lord he discharged his arrows of flowers on the Lord. Then the Lord Śiva too losing a little his calmness, just like the ocean at the fresh rising of the moon, directed his eyes towards Pārvatī's face with its lower lip ruddy like the bimba fruit. Here Pārvatī who has attained her youth is attending on Lord Śiva out of her love for him. Lord Śiva who naturally returns the love of others now concentrates his attention on her who is before him. Thus there is reciprocal love or Sthāyibhāva, Rati and the Ālambanavibhāvas. The effects of his love are his loss of his usual courage and calmness and his gazing at the beautiful face of Pārvatī. These Vibhāvas and Anubhāvas immediately suggest to us the Vyabhicāribhāvas eagerness, excitement, unsteadiness and joy which lead to the suggestion of the Rasa, Śṛṅgāra. Here the antecedents and the knowledge of the Vibhāvas and Anubhāvas that are actually represented in the verse immediately lead to the suggestion of the

Vyabhicāribhāvas; while in एवं वादिनि etc., her counting the petals with her face down may possibly be accounted for otherwise than due to lajjā in young girls and it is only when we think of her severe penance and the favour conferred on her by Lord Śiva that we realise that she behaved in that manner out of her bashfulness, indicative of her love to Lord Śiva. This is why in the latter case the Dhvani is called Saṁlakṣyakrama with reference to the suggestion of the Vyabhicāribhāva, lajjā, while in हस्तु etc., it is Asaṁlakṣyakrama. But in both the instances, the realisation of the Vyabhicāribhāvas immediately suggests the Rasa, Śṛṅgāra. Thus where there is the suggestion of Rasa or Bhāva from a representation of the Vibhāvas, Anubhāvas, etc., it is Asaṁlakṣyakramavyaṅgya; and where a Rasa, Bhāva and even an Alaṅkāra is suggested otherwise than from a clear representation of the Vibhāvas, etc., it is Saṁlakṣyakramavyaṅgya.

When we hear the words Śṛṅgāra or Karuṇa there does not arise in us any feeling of love or pathos; but when we hear of the meeting of Duṣyanta and Śakuntalā in the pleasant groves of the forest or of Rāma's repudiation of Sītā in her advanced state of pregnancy there is at once evoked in us a feeling of love or pity. Why is this difference? What is it due to? The advocates of the Rasa school were not able to answer this satisfactorily. At this stage Ānanda put forward his theory of Dhvani which furnished an effective reply to it. He discovered that in the first instance love or pathos is expressly mentioned by the words Śṛṅgāra or Karuṇa, while in the second they are suggested from the situations; and only when a feeling is suggested it calls forth the corresponding instinct or emotional mood in us which when developed, results in our enjoyment of aesthetic pleasure. Take for example the following verse :—

यद्विश्रम्य विलोकितेषु बहुशो निःस्थेमनी लोचने

यद्वात्राणि दरिद्रति प्रतिदिनं लूनाब्जिनीनालवत् ।

\* दूर्वाकाण्डविडम्बकश्च निविडो यत्पाण्डिमा गण्डयोः

कृष्णे यूनि सयौवनासु वनितास्वेषैव वेषस्थितिः ॥

When Lord Śrī Kṛṣṇa was in his youth, young ladies belted or appeared as follows\*.—Their steady eyes engaged themselves often in gazing at him, their bodies became emaciated day by day just like the lotus-stalk that has been cut off (from the root) and their cheeks were utterly pale like the stem of dūrva grass. Here the Vibhāvas of Śṛṅgāra, Lord Śrī Kṛṣṇa



and the young ladies are mentioned; the Anubhāvas or the visible effects of love are seen in the emaciation of the bodies of the ladies and in the growing paleness of their cheeks. From these there is the realisation of love even though there is no word in the verse expressive of longing, contemplation, doubt, etc., indicative of love. And even where there is the express mention of the Vyabhicāribhāvas or Rasa by name, Rasa is realised only from the Vibhāvas, Anubhāvas, etc., e.g., consider the verse :—

याते द्वारवतीं तथा मधुरिपौ तद्वक्तव्यमानतां

कालिन्दीतटरूढवञ्जुललतामालिङ्ग्य सौत्कण्ठया ।

तद्वीतं गुरुबाष्पगद्गदगलत्तारस्वरं राधया

येनान्तर्जलचारिभिर्जलचरैरप्युत्कमुत्कृजितम् ॥

When Lord Śrī Kṛṣṇa was away to Dvārakā, Rādhā, in her deep yearning after him, embraced the vañjula creeper which grew on the bank of the Jumna and which was bent low by the shaking given to it by Kṛṣṇa and she cried aloud in a shrill tone faltering with heavy tears to which not only the water-animals of her sex but even they of the male sex responded in sympathy by their loud moanings. Here there is express reference to Rādhā's longing for Kṛṣṇa, but it is only the cries of Rādhā whose voice was choked with tears to which even the animals responded that forcibly suggest to us her longing and love for Kṛṣṇa, and makes us realise a certain sense of pleasure; and the express mention of 'utkaṇṭhā' repeats merely what has been realised otherwise.<sup>1</sup> For in the previous verse यद्विश्रम्य etc., there is no mention of Bhāvas like 'utkaṇṭhā', but still there is the realisation of Bhāva and Rasa, forcibly suggested by the description of the Vibhāvas and Anubhāvas. Further from verses where there is only the mention of words that stand for love, etc., such as Śṛṅgāra, Karuṇa, etc., unaccompanied by a representation of Vibhāvas, Anubhāvas, etc., e.g.,

शृङ्गारहास्यकरुणरौद्रवीरभयानकाः ।

बीभत्साद्भुतसंज्ञौ चेत्थ्यौ नाटये रसाः स्मृताः ॥

there is absolutely no realisation of Rasa whatsoever ; and hence it is clear from the foregoing that Rasa is never realised by express mention and that it is suggested even in the absence of its express

1. Dhv. p. 25 Lo.

सौत्कण्ठाशब्दः केवलं सिद्धं साधयति ॥

reference from a representation of the Vibhāvas, etc. Thus a feeling, emotion or Rasa that is expressly stated in poetry by terms primarily signifying the Rasa, etc., nowhere appeals to us, and wherever Rasa is appealing or wherever there is the realisation of Rasa, it is invariably suggested. Briefly Rasas are always suggested.<sup>1</sup>

The Rasa school recognised to be poetry only those verses where any of the Rasas might be developed, and thereby it did an injustice to some good verses, where some Vastu, idea or Alaṅkāra is suggested, by expelling them from the legitimate sphere of poetry (*e.g.*, भमधम्मिअ, खं येऽत्युज्ज्वलयन्ति, जा एज्ज, स वक्तुमखिलान् etc. Dhv. pp. 16, 101, 113 and 111). Consider the verse:—

भम धम्मिअ वीसत्थो सो सुणओ अज्ज मारिओ देण ।

गोलाणइक्कच्छकुडङ्गवासिणा दरिअसीहेण ॥<sup>1</sup>

The context here is that a pious man was roaming about daily collecting flowers in a grove that was used by a lady as a convenient place to meet her love. She did not like his interference and desired to send him away. Then she addressed him as follows :—O pious man ! roam about here freely and with confidence ; for that hound has been killed to-day by the proud, fat lion that lives in the thick groves on the banks of the river Godāvarī. The express sense here is of the nature of an approval to wander about as he pleased, but by her saying that the hound of which he was previously afraid has been killed by a still more frightening animal, the lion, she really suggests that he must not be seen even in the neighbourhood of the groves, lest he should be killed by the terrible lion. Here the suggested idea is ‘do not roam about’ and it is the exact opposite of the express sense. In ख येऽत्युज्ज्वलयन्ति etc., and in सर्वैकशरणं etc., there is the suggestion through Śleṣa of the Alaṅkāra, Vyatireka or difference and Virodha or apparent contradiction. Sometimes, Alaṅkāras are suggested without Śleṣa, *e.g.*,—

\* जाएज्ज वणुदेशे खुज्ज न्विअ पाअवो घटिअवत्तो ।

मा माणुसम्मि लोए ताएक्करसो दरिदो अ ॥<sup>2</sup>

(Dhv. p. 113 and Gāthā. III. 30.)

1. भ्रम धार्मिक विश्रब्धः स शुनकोऽद्य मारितस्तेन ।

गोदावरीनदीकूलतागहनवासिना दृप्तसिंहेन ॥

2. जायेय वनोदेशे कुब्ज एव पादपो घटितपतः ।

मा मानुषे लोके त्यागैकरसो दरिद्रश्च ॥

Let me be born in a forest place as but a short tree, full of leaves ; never in this mortal world as an extremely generous but impecunious person. Here the express idea is that he despises the life of a generous but poor man and praises the life of a tree. Through the similarity of such a person to the tree it is forcibly suggested that such a person is far more miserable than the tree. That is, Vyatireka-upamānāt upameyasya ādhikyam—an Alaṅkāra is suggested. Or again consider the verse :

स वक्तुमखिलान् शक्तो ह्यग्रीवाश्रितान् गुणान् ।

योऽम्बुकुम्भैः परिच्छेदं ज्ञातुं शक्तो महोदधेः ॥ (Dhv. p. III.)

That person is capable of describing all the excellent qualities of Hayagrīva, who can measure the extent of the great ocean by means of water-pots. Here through a hyperbole, the utter futility of the attempt to describe the extraordinary virtues of Hayagrīva is forcibly suggested ; or in other words ‘ Ākṣepa ’ Alaṅkāra which consists in the negation of one’s desire [ इष्टस्य प्रतिषेधः ] or the futility of our desire, viz., a complete description of his virtues is prominently suggested.

Ānandavardhana saved the adherents of the Rasa school from this reproach of narrowness to which they were exposed by their refusal to admit some verses like the foregoing into the proper sphere of poetry and he rectified this error by enunciating the principle of Dhvani which recognised to be poetry all rhythmic expression wherein might be suggested not only Rasas but some beautiful idea or Alaṅkāra as well. By his theory of Dhvani he did not propound any rival doctrine to that of Rasa,<sup>1</sup> but only placed it on a firmer basis by removing its defects and shortcomings and by expounding its true significance through the wider and more well-reasoned principle of suggestion. Thereby Rasa is not only included within the fold of poetry whose sphere and scope became legitimately enlarged in order to include all good verses that really appeal to the refined literary sense, but is recognised to take the leading place among the constituents of

1. Dhv. p. 163.

अत एव चेतिवृत्तमात्रवर्णनप्राधान्ये कवीनामेवंविधानि स्खलितानि भवन्तीति रसादिरूपव्यङ्ग्यतात्पर्यमेवैषां युक्तमिति यन्नोऽस्माभिरारब्धः, न ध्वनिप्रतिपादनमात्राभिनिवेशेन ।

poetry or the sources of poetic appeal. Though Ānanda expressed that Dhvani was the life of poetry still, when he sought support for his view from the first poet, Vālmiki, he stated that following the first poet, it must be said that that rhythmic expression which was the spontaneous outlet of the mind overpowered by the grief caused by the loss of the inseparable association of the pair of krauñca birds would alone constitute the life of poetry.<sup>1</sup> Rasa has been shown to be always suggested and the two other varieties of Dhvani—Vastu and Alaṅkāra—are also indicated through Rasa, for Rasa is the most important or it is the life *par excellence* of poetry, and the two others are really contributory to the development of Rasa ; yet they do not lose their individuality and they are distinct from the Vācya or Lakṣya idea; and as they—Vyaṅgya Artha and Vyaṅgya Alaṅkāra—necessarily require the suggestive function of words for their realisation as well as Rasa, they too are regarded as the soul of poetry.<sup>2</sup> But as Rasa is more appealing to us it stands on a pedestal of its own and its cousins—suggested idea and Alaṅkāra—stand on a lower plane. That is, Rasa represents the principal division of Dhvani or in other words it represents a smaller circle within a slightly wider one.

The relation of the older critical methods--Alaṅkāra, Guṇa and Riti—to Rasa and Dhvani is clearly indicated by Ānanda. In the ordinary world Alaṅkāra or ornament necessarily presupposes

1. Dhv. p. 26-7.

काव्यस्यात्मा स एवार्थः तथा चादिकवेः पुरा ।

क्रौञ्चद्वन्द्ववियोगोत्थः शोकः श्लोकत्वमागतः ॥

Lo. स एवेति—प्रतीयमानमात्रेऽपि प्रक्रान्ते तृतीय एव रसध्वनिरिति मन्तव्यम् । इतिहासबलात्, प्रक्रान्तवृत्तिग्रन्थबलाच्च । तेन रस एव वस्तुत आत्मा ; वस्त्वलंकारध्वनी तु सर्वथा रसं प्रति पर्यवस्यते इति वाच्यादुत्कृष्टौ तौ—इत्यभिप्रायेण ध्वनिः काव्यस्यात्मेति सामान्येनोक्तम् ।

2. Dhv. p. 28.

AL. शोको हि करुणरसस्थायिभावः प्रतीयमानरूप एवेति प्रतिपादितम् । प्रतीयमानस्य चान्यप्रभेददर्शनेऽपि रसभाषिमुखेनैवोपलक्षणम् । प्रधान्यात् ।

Lo. प्राधान्यादिति—रसपर्यवसानादित्यर्थः ।

तावन्मात्रविश्रान्तावपि चान्यशब्दवैलक्षण्यकारित्वेन वस्त्वलंकारध्वनेरपि जीवितत्वमौचित्यादुक्तमिति भावः

and is different from Alaṅkārya, the beautiful object. Guṇas or (excellent) qualities have their significance in relation to a Guṇin or a possessor of such excellences. What are the Alaṅkārya and the Guṇin? Guṇas are generally recognised to reside in the soul, though sometimes they are spoken of as pertaining to the body, e.g., 'Courageous arms'. Alaṅkāras are believed to beautify the body, but it is not true; for adorn a corpse with a pearl necklace; without life or soul it is not at all beautiful and hence Alaṅkāras also beautify the soul. Therefore Alaṅkārya and Guṇin are only the soul and in poetry what is the soul which is beautified by the Alaṅkāras and in which the Guṇas reside? It is Dhvani or that class of Dhvani called Rasadhvani; and Alaṅkāras, Guṇas and also Ritis—which may stand to Rasa in the relation of body to soul—find their real significance only when they are related to the Rasas and never independently of it.

This may be illustrated with reference to a few verses. Consider the verse—

कपोले पत्राली करतलनिरोधेन मृदिता  
 निपीतो निश्वासैरयममृतहृद्योऽधररसः ।  
 मुहुः कण्ठे लग्नस्तरलयति बाष्पस्तनतटं  
 प्रियो मन्युर्जातस्तव निरनुरोधे न तु वयम् ॥

"The paintings on your cheek have been rubbed off by the pressing of the palm; the honey of your (lower) lip, sweet as nectar, has been (drunk) dried by the (hot) breathings; tears choking your throat again and again make the bosoms tremble; anger, O unkind lady! has become your beloved, not we." The situation here is that a lady who was expecting to meet her lover is disappointed and she is extremely sad and angry with her lover. The lover appears later and tries his best to pacify her. She refuses to pay heed to the entreaties of her lover, whereupon he addresses her as above. In the last quarter of the verse there are three Alaṅkāras. (1) There is Śleṣa in 'Priyaḥ' which means both friend and lover. Anger has become your companion, not we, your lover. (2) There is Rūpaka made out thus :—'मन्युरेव प्रियो जातः'—Anger alone has become your mate. Anger is identified with mate. (3) There is Vyatireka or difference in this, "Anger has become your mate, not we," i.e., anger is differentiated from the lover, the former being the beloved of the lady, the latter not being so. This is indicated by the particle, 'tu'. These Alaṅkāras find their

expression in the verse most naturally, without any effort whatsoever on the part of the poet; and they heighten the effect of the 'Īrṣyāvīpralambha' of the lady which is realised by us. It is only such Alaṅkāras as lend themselves easily to the development of the Rasa that should find a place in poetry.<sup>1</sup> Others are mere conundrums and word-play.

There are also a few other principles<sup>2</sup> which govern the use of the Alaṅkāras in poetry and one of them is this. The Alaṅkāra should be used only with the definite aim of developing Rasadhvani. Take, for example, the verse :—

चलापाङ्गां दृष्टिं स्पृशसि बहुशो वेपथुमतीं  
रहस्याख्यायीव स्वनसि मृदु कर्णान्तिकचरः ।  
करं व्याधुन्वत्याः पिवसि रतिसर्वस्वमधरं  
वयं तत्त्वान्वेषान्मधुकर हतास्त्वं खलु कृती ॥

"Thou touchest repeatedly her quivering eye, whose outer corner moves (playfully) : going close to her ear, thou art softly humming, as if whispering a secret (of love) ; thou art drinking the lip, containing all the treasures of delight, of her, waving her hand ; whilst we O bee ! through the necessity for inquiring into the truth (of her origin) are disappointed of immediate fruition ; thou indeed art in the full enjoyment of your desire."<sup>3</sup> When Duṣyanta met Śakuntalā by chance in the penance groves of the forest he was enraptured by her exquisite beauty, and he earnestly longed to enjoy her. But, being a just king, he desired to know if Śakuntalā did not belong to the Brahmin caste in which case, he, a Kṣatriya, could not marry her. While Duṣyanta was thus inquiring about the parentage of Śakuntalā and hesitating to approach her, a bee mistook Śakuntalā's face for a lotus and hovered and hummed round her face. Duṣyanta became jealous of this mischievous bee that forcibly enjoyed the person of Śakuntalā and he exclaimed in the foregoing manner. In this verse there is the Alaṅkāra, Svabhāvokti or the realistic description of

1. रसाक्षिप्ततया यस्य बन्धः शक्यक्रियो भवेत् ।

अपृथग्यत्ननिर्वर्त्यः सोऽलंकरि' ध्वनौ मतः ॥ Dhv. II. 17.

2. विवक्षातत्परत्वेन नाङ्गित्वेन कदाचन । etc., Dhv. II. 19 ff.

3. The translation is taken from Monier Williams' edition of the Śakuntala.

the activity of something, here of the bee, and this *Alaṅkāra* finds its proper place here only because it reveals the intensity of Duṣyanta's love for Śakuntalā. *Svabhāvokti* really becomes an *Alaṅkāra* only when it leads to the suggestion of a *Rasa*; and it does not consist in the mere faithful description of some reality, but only in the presentation of the poet's mental aspect of that reality which alone appeals to our imagination and gives us aesthetic pleasure.

Similarly poetic excellences or *Guṇas* such as *Mādhurya*, *Ojas*, etc., do not reside in the manner of expression but have reference to the particular *Rasa* developed in a composition. Thus where there is the realisation of the *Rasa*, *Śṛṅgāra* or *Karūṇa*, there must be found the *Guṇa*, *Mādhurya*; and where there is the *Rasa Raudra* there we must look for *Ojas*. And it is not proper that we should seek for *Mādhurya* only where there are sweet words and few compounds; nor should we seek for *Ojas* only in a composition abounding in long compounds.<sup>1</sup> For the opposite of this old view can be easily proved, if we consider a few illustrations. Consider the verse :—

अनवरतनयनजललवनिपतनपरिमुषितपत्रलेखान्तम् ।

करतलनिषण्णमब्रले वदनमिदं कं न तापयति ॥

Here a lover attempts to appease his beloved who is angry with him for his want of proper devotion to her, in these terms :— 'Whom does not this face of yours, resting upon the palm, the paintings whereof have been washed away by the drops of tears incessantly trickling from your eyes, afflict (with pangs of love).' Here there is the clear development of an aspect of *Vipralambha* called '*Pranayakopa*' and there is the *Guṇa*, *Mādhurya* here, inhering in love, even though the whole verse is full of long compounds. Again consider the verse :—

यो यः शस्त्रं विभर्ति स्वभुजगुरुमदः पाण्डवीनां चमूनां

यो यः पाञ्चालगोत्रे शिशुरधिकवया गर्भशय्यां गतो वा ।

यो यस्तत्कर्मसाक्षी चरति मयि रणे यश्च यश्च प्रतीपः

क्रोधान्धस्तस्य तस्य स्वयमपि जगतामन्तकस्याप्यन्तकोऽहम् ॥

1. श्रव्यं नातिसमस्तशब्दार्थं मधुरमिष्यते ।

ओजः समासभूयस्त्वम् ; old view.

Aśvatthāman, enraged at the cruel and cowardly murder of his father when he had laid down his arms, cries out vengeance on all the Pāṇḍavas and proclaims thus :—‘Whoever in the armies of the Pāṇḍavas, highly proud of his arm, wields a sword; whoever (there is) in the family of the Pāṇcālas, be he a child, in old age or even in the mother’s womb, whoever was witness to that (cruel) deed and whosoever opposes me on the battlefield, to each and every one of them, I, infuriated will be death, and I will be death to him even if he be the God of Death himself.’ Here there is a full development of the Raudra Rasa, but there are no long compounds. Still there is the Guṇa, Ojas pertaining to this Rasa. Thus Guṇas have reference to the Rasas or they help in the realisation of the Rasas, and are subordinate to them.

Similarly Ānanda enunciates some principles for the use of the proper Saṅghaṭanā or manner of expression. This is of three kinds, *viz.*, (1) the style in which there are few compounds, (2) that in which there are middling compounds, and (3) that which abounds in long compounds. The use of these styles should be in harmony with the status and function of the poet and the characters and also the nature of the subject-matter treated.<sup>1</sup> If the poet should be highly imaginative, the characters noble and emotional, the subject-matter moving, and if the literary kind should be the drama, the Saṅghaṭanā to be employed in all these cases, is the first one, *viz.*, the one that is free from compounds. Otherwise the poet may employ any Saṅghaṭanā he pleases. Such a procedure is absolutely essential in Karuṇa and Vipralambha, for compounds are often ambiguous and they cause delay in our understanding the sense of a poem and this delay is detrimental to the realisation of Karuṇa and Vipralambha. But in the Rasa, Raudra, however, Madhyamasamāsā Saṅghaṭanā is preferable and sometimes even dīrgha-samāsās may be used, provided, however, the necessary perspicuity is maintained in the language; as, *e.g.*, the verse: चञ्चुजभ्रमितचण्डगदाभिघात etc. Venī. Act I. This perspicuity or prasāda should be present in all poems, developing some Rasa or other. Thus the Rīti or the Saṅghaṭanās also have reference primarily to the Rasas.

Ānanda indicates further the method of developing the various Rasas. He classifies them into allied and contrary Rasas

1. तन्नियमे हेतुरौचित्यं वक्तव्याच्ययोः । Dhv. III. 6,



and lays down the principles which should guide the poet in combining them in order to produce the highest effect.<sup>1</sup>

✓ He also accepts Śānta as one of the Rasas. Its essential nature consists in the negation of all (worldly) desires, which is highly pleasant; and in support of this he cites this verse :—

यच्च कामसुखं लोके यच्च दिव्यं महत्सुखम् ।

तृष्णाक्षयसुखस्यैते नार्हतः षोडशीं कलाम् ॥

‘The sensual pleasures in love and the great happiness in heaven do not deserve to equal a tithe [one-sixteenth part] of this bliss arising from the renunciation of all worldly desires.’ If Śānta does not appeal to all, its existence cannot on that account be denied, just like the spiritual experiences of a great seer. The Rasa Śṛṅgāra does not appeal to the yogin. Can the existence of Śṛṅgāra be denied, because of that? No. Similarly Śānta must be recognised as a Rasa. How are we to develop it? That is to be done by portraying characters like Janaka who always study the spiritual texts and practise their teachings. The Sthāyibhāva of this is Śama. Ānanda also vigorously vindicates the claim of Śānta to a place among the Rasas, by reference to the chief Rasa of the Mahābhārata.

This conception of Rasa and Dhvani and their relations with other methods still reign in the sphere of Sanskrit Literary criticism, and to Ānanda redounds the credit of having systematised the science of Literary criticism. He certainly holds in this sphere a position equal to that of Pāṇini in Sanskrit Grammar.

It is shown above that the theories of Dhvani and Rasa are not antagonistic to each other and that the former comprehends the latter. Therefore we shall hereafter consider the history of these two theories together.

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1. See Dhv, pp, 170-80, :

## CHAPTER VII.

### IMMEDIATE OPPOSITION TO THE THEORY OF DHVANI.

This new theory of Dhvani, however reasonable it might be, did not meet with general approval, as is ordinarily the case with any novel doctrine, especially from representatives of the older schools. Prominent among those that immediately opposed this theory were Pratihārendurāja, Bhaṭṭanāyaka, Dhanika and Dhanañjaya.

#### *Pratihārendurāja.*

Pratihāra is the commentator on Udbhaṭa's Kavyālaṅkāra-sāra, and hence he is an avowed follower of the Alaṅkāra school represented by Bhāmaha and Udbhaṭa. As he himself says in the last verse of his work and also in the third verse of the introduction, he is a native of Konkan and a pupil of Mukula.<sup>1</sup> Mukula describes himself in the Abhidhāmātrkāvṛtti, verse 15<sup>2</sup> (p. 22) as the son of Bhaṭṭa Kallaṭa who is probably identical with one Kallaṭa, mentioned in the Rāja-taraṅg V. 66. as having flourished in the reign of Avantivarman of Kāshmir (855-83). Pratihāra is thus younger than Kallaṭa by two generations and he may have flourished about 930 A.D.

In conformity to the principles of the Alaṅkāra school he reiterates and tries to maintain the view ably refuted by Ānanda in the first chapter of the Dhvanyāloka, viz., that Dhvani could

1. K. L. S. p. 1.

विद्वदग्रथान्मुकुलकादधिगम्य विविच्यते ।

. प्रतीहारेन्दुराजेन काव्यालंकारसंग्रहः ॥

Last verse.

श्रुत्वा सौजन्यसिन्धोर्द्विजवरमुकुलात्कीर्तिवल्लयालवालात्  
काव्यालङ्कारसारे लघुविवृतिमध्यात् कोङ्कणः श्रीन्दुराजः ॥

2. (Abhidhāmātrkāvṛtti, verse 15.

भट्टकल्लटपुत्रेण मुकुलेन निरूपिता ।

सूरिप्रबोधनायेयमभिधावृत्तिमातृका ॥

be brought under one or other of the *Alaṅkāras*.<sup>1</sup> (K. L. S. p. 79 ff.) He considers instances cited by Ānanda himself of the three kinds of Dhvani—*Vastu*, *Alaṅkāra* and *Rasa*—and points out in them some *Alaṅkāras* like *Paryāyokta*, *Śleṣa* and *Rasavad* respectively. He further holds against *Dhvanikāra* that in examples of *Rasadhvani* where the primary sense is completely subordinated and intended only to lead to the suggested sense, the chief import is the primary idea itself.<sup>2</sup> (K. L. S. p. 83.)

### *Bhaṭṭanāyaka.*

Though an opponent of the Dhvani school, Bhaṭṭanāyaka, unlike Pratiḥarendurāja is a staunch advocate of the *Rasa* school. His work called the *Hṛdayadarpaṇa* was, as the commentary on the *Vyaktiviveka*, p. 1, अदृष्टदर्पणा ममभीः explains, intended to demolish the theory of Dhvani.<sup>3</sup> Abhinava cites Bhaṭṭanāyaka's comments on Bharata's *Rasasūtra* in the *Abhinavabhāratī*, Chap. VI. and the *Locana* p. 67-8 and also his criticisms of many views and texts of the *Dhvanyāloka*, yet there is not sufficient evidence to ascertain whether the *Hṛdayadarpaṇa* was a direct commentary on either the *Nāṭyaśāstra* or the *Dhvanyāloka*. These two comments on the *Rasasūtra* cited by Abhinava do not agree except in sense, but the extract in the *Kāvyaaprakāśa* p. 90 is almost identical except for some omissions, with the text in the *Abhinavabhāratī*.<sup>4</sup> Probably the latter represents a genuine

1. K. L. S. p. 79.

कैश्चित्सहृदयैर्ध्वनिर्नाम व्यञ्जकत्वभेदात्मा काव्यधर्मोऽभिहितः । स कस्मादिह नोपदिष्टः ? उच्यते ; एष्वेवालंकारेष्वन्तर्भावात् ।

2. K. L. S. p. 83.

यत्तु वाच्यशक्त्याश्रयं “याते गोत्रविपर्यये श्रुतिपथम्” इत्यादाव-संलक्ष्यक्रमरसादिव्यङ्ग्यनिष्ठं व्यञ्जकत्वमुक्तं तत्रापि वाच्यस्य विवक्षितत्वमेव ।

3. Commentary (p. 1) on the *Vyaktiviveka*, p. 1.

दर्पणो हृदयदर्पणाख्यः ध्वनिध्वंसप्रन्थोऽपि ।

4. *Abhinavabhāratī*, Chap. VI.

भट्टनायकस्त्वाह—रसो न प्रतीयते नोत्पद्यते नाभिव्यज्यते स्वगतत्वे-न हि प्रतीतौ करुणे दुःखित्वं स्यात् । न च सा प्रतीतियुक्ता, सीतादे-रविभावत्वात्, स्वकान्तास्मृत्यसंवेदनात्, देवतादौ साधारणीकरणायोग्यत्वात् ,

quotation from Bhaṭṭanāyaka and this is in prose. The Hṛdayadarpaṇa from which verses also are cited in the Locana p. 27 was probably an independent treatise in prose and verse containing Nāyaka's exposition of the realisation of Rasa and his attacks on the theory of Dhvani.

He admits, as Abhinava remarks (Locana, p. 15), that Rasa is the soul of poetry.<sup>1</sup> Instead of the principle of suggestion, he recognises two additional functions besides Abhidhā to poetic and dramatic expression in the realisation of Rasa. They are Bhāvanā or Bhavakatva and Bhogikaraṇa or Bhoga. Abhidhā is common to all language. Bhāvanā, by presenting to the audience or the reader the Vibhāvas, etc., like Duṣyanta and Śakuntalā, only in their generic aspect stirs up in them the principal emotions like love : and this through the third activity, Bhoga results in their experience of pure and unalloyed pleasure, like that of a yogin in his realisation of the Infinite within.

Abhinava criticises his view of the realisation of Rasa and also his attacks on the theory of Dhvani. On Locana pp. 19-20 Nāyaka's criticisms on the verse भम धम्मिअ etc. (Dhv. p. 16) are exposed.<sup>2</sup> On p. 33 he is severely handled for attacking

समुद्रलङ्घनान्तरसाधारण्यात् ; न च तत्त्वतो रामस्य स्मृतिः अनुपलब्धत्वात् ; न च शब्दानुमानादिभ्यः तत्प्रतीतौ लोकस्य सरसता युक्ता, प्रत्यक्षादिव नायकयुगलकावभासे हि प्रत्युत लज्जाजुगुप्सास्पृहादिस्वोचितचित्रवृत्त्यन्तरोदयव्यग्रतया आभासत्वमथापि स्यात् ; तन्न प्रतीतिरनुभवस्मृत्यादिरूपा रसस्य युक्ता । उत्पत्तावपि तुल्यमेतद्दूषणम् । शक्तिरूपत्वेन पूर्वं स्थितस्य पश्चादभिव्यक्तौ विषयार्जनतारतम्यतापत्तिः । स्वगतपरगतत्वादि च पूर्ववद्विकल्प्यम् । तस्मात्काव्ये दोषाभावगुणालंकारमयत्वलक्षणेन नाट्ये चतुर्विधाभिनयरूपेण निबिडनिजमोहसङ्कटतानिवारणकारिणा विभावादिसाधारणीकरणात्मना अभिधातो द्वितीयेनांशेन भावकत्वव्यापारेण भाव्यमानो रसः अनुभवस्मृत्यादिविलक्षणेन रजस्तमोऽनुवेधवैचित्र्यबलात् द्रुतिविस्तारविकासलक्षणेन सत्त्वोद्रेकप्रकाशानन्दमयनिजसंविद्विश्रन्तिलक्षणेन परब्रह्मास्वादसविधेन भोगेन परं भुज्यते इति ॥

1. Locana, p. 15.

रसध्वनिस्तु तेनैवात्मतयाङ्गीकृतः

2. Dhv. Locana, 19-20.

यत्तु भट्टनायकेनोक्तम् “ इह दृढसिंहादिपदप्रयोगेऽपि ” etc. ”

Dhvanikāra's use of the dual 'Vyañktaḥ' in the definition of Dhvani.<sup>1</sup> On p. 63 Abhinava ridicules him for his method of constructing the verse निश्वासान्ध इव etc., and remarks with some animus that such a construction is admissible in the interpretation of the Sūtras of Jaimini, not in poetry.<sup>2</sup> (See also Abh. bh. Vol. III, p. 89 where he ridicules Nāyaka for blindly following Jaimini<sup>3</sup>.) Probably Nāyaka was a Mīmāṃsaka and Abhinava availed himself of this opportunity to laugh at his Mīmāṃsā predilections.

Bhaṭṭanāyaka certainly lived after Ānanda and before Abhinava, but these acrimonious remarks of the latter befit only a contemporary and probably Nāyaka lived only one or two decades before Abhinava (C. 1000 A.D.). If this is correct Bhaṭṭanāyaka of the Hṛdayadarpaṇa has to be distinguished from one Brahmin Nāyaka mentioned by Kalhaṇa in the Rāja-taraṅg (V. 159) as having flourished in the reign of Śaṅkaravarman of Kāśmir (883-902 A.D.).

#### *Dhanañjaya and Dhanika.*

Dhanañjaya is the author of a work on dramaturgy called the Daśarūpaka. This is in the form of Kārikās stating briefly the different principles. Dhanika wrote on it a running prose commentary called the Avaloka with illustrative verses culled from various poems. Dhanañjaya describes himself in the last verse as the son of Viṣṇu and a respected courtier of King Muñja. In the colophon Dhanika also is stated to have been the son of Viṣṇu and most probably he is the younger brother of Dhanañjaya. King Muñja of the Pāramāra Dynasty of Mālva was a patron of poets and also a poet of no mean reputation and he reigned from 974-995 A.D. when he was defeated and executed by the Chālukya King Taila II. They both should thus have

1. Dhv. Locana, p. 33.

तेन यद्भट्टनायकेन द्विवचनं दूषितं तद्भजनिमीलिकयैव ।

2. Dhv. Locana.

भट्टनायकेन तु यदुक्तं “ इवशब्दप्रयोगाद्गौणताध्यत्र न काचित् ” इति तच्छ्लोकार्थमपरामृश्य—.....जैमिनीयसूत्रे हेवं योज्यते न काव्ये-पीत्यलम् ।

3. Chap. XXVII.

यत्तु भट्टनायकेनोक्तम् “ सिद्धेरपि नरेऽङ्गत्वं ब्रजन्त्यास्तत्त्वक्षेमम् ” (ब्रजन्त्यास्तत्त्वक्षेमम्) इति तेन (न) नाट्याङ्गता समर्थिता ; फलं च पुरुषार्थत्वात् (M. S. III-1-5.) इति केवलं जौमिनिरनुसृत इत्यलमनेन ।

flourished in the last quarter of the tenth century. Besides quoting a verse of King Muñja twice while commenting on D. R. IV. 58 and IV. 60, Dhanika cites Padmagupta's Navasāhasānka VI. 42 on D. R. II. 40. Padmagupta flourished in the reign of Sindhurāja (995 A. D. ff.) the successor of Muñja. Most probably Dhanika wrote the Avaloka in the early decades of the 11th century.

Dhanañjaya and his commentator Dhanika appear to have followed the traditions of Bhaṭṭanāyaka in accepting the Rasas to be the soul of poetry and at the same time denying the existence of Dhvani. Dhanika states succinctly<sup>1</sup> (see D. R. IV. 37) the theory of Dhvani as expounded by Ānandavardhana, giving the same illustrations and citing his definitions and classifications. He brings the suggestive function under तात्पर्यशक्ति or the intention of the speaker. In ordinary language, judgment arises from a sentence as determined by the circumstances in which it is uttered; and it is always of the nature of some Kriyā or action.<sup>2</sup> Similarly in poetry, love, pathos, etc., being always present in the mind of the reader are developed fully through the Vibhāva, Anubhāva, etc., and they represent the judgment (वाक्यार्थ). Language is employed only to induce one to some activity; e.g., when the teacher utters "Fetch the book", the pupil should not only understand the sense but should move in order to bring the book. The reader or the spectator should not only understand the Vāk्यārtha, love or pathos, but have some immediate activity; and this activity is none else than the enjoyment of the supreme aesthetic pleasure. The relation between poetry and Rasas<sup>3</sup> is

1. D. R., p. 95. (Nirnayasagar Edn.)

Com. on Kārikā, IV. 37.

यद्वोचाम काव्यनिर्णये—तात्पर्यानतिरेकाच्च व्यञ्जकत्वस्य न ध्वनिः ।

2. D. R. p. 95.

कार्यपर्यवसायित्वात्तात्पर्यशक्तेः ।

3. D. R. p. 93.

कः पुनरेषां काव्येनाभिसम्बन्धः ? न तावद्वाच्यवाचकभावः, स्वशब्दे-  
रनावेदितत्वात् ।

P. 96. अतो न रसादीनां काव्येन सह व्यङ्ग्यव्यञ्जकभावः । किं तर्हि,  
भाव्यभावकसम्बन्धः etc., up to नाव्ययोक्तृभिः ।

not, as the Dhvani school represents, that of the suggestor and the suggested (व्यङ्ग्यव्यञ्जकभावः) but of cause and effect (भाव्यभावकसम्बन्धः) Rasas exist in some shape in the Sahṛdayas and they are made manifest or produced by the efficient cause, poetry. This view is probably inspired by the Sāṅkhya doctrine of सत्कार्यवाद. If it is said that language has no such capacity to produce, Dhanika would call to aid the Mīmāṃsā doctrine of Bhāvanā which states that the principal concept in any verbal judgment should be production. He cites also Bharata N. S. VI. 35 भावयन्ति रसानिमान् in support of his view. Further Dhanika and Dhanañjaya hold against Dhvanikāra that Śānta could not be regarded as a Rasa, fit even to be developed in readable poetry ; for Śānta is not realisable to any except the sage in his meditations and hence it is impossible of description in poetry.<sup>1</sup> (D. R. IV. 45-b.)

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1. D. R. IV. 4-b, Avaloka.

शान्तो हि यदि तावत्—

न यत्न दुःखं न सुखं न चिन्ता

न द्वेषरागौ न च काचिदिच्छा ।

रसस्तु शान्तः कथितो मुनीन्द्रैः

सर्वेषु भाव्येषु शमप्रधानः ॥'

इत्येवंलक्षणः, तदा तस्य मोक्षावस्थायामेवात्मस्वरूपापत्तिलक्षणायां प्रादुर्भावात्तस्य च स्वरूपेणानिर्वचनीयता । तथा हि श्रुतिरपि “स एष नेति नेति ” इत्यन्यापोहरूपेणाह । न च तथाभूतस्य शान्तरसस्य सहृदयाः स्वादयितारः सन्ति ।

## CHAPTER VIII.

### ABHINAVAGUPTA.

Almost contemporaneous with these two writers appears the staunch supporter of the theories of Dhvani and Rasa Abhinavagupta, a renowned philosopher who is revered for his profound exposition of the Kāshmirian philosophy of Śaivism, and a great poet and critic of extraordinary acumen who is held in such high esteem that no writer except his opponent and younger contemporary, Mahimabhaṭṭa ever refers to him without the honorific appellation, ācārya or pādāḥ. His remarkable exposition and brilliant advocacy of these two theories in his *Locana* and the *Abhinavabhāratī* established for him an authority in poetics equal to that of Patañjali and Kumārilabhaṭṭa in the sphere of Vyākaraṇa and Mīmāṃsā.

The period of his literary activity has been determined from his own datings of his philosophical works to be 990-1015 A. D. (see Introduction to Dhv. Kāvya-mālā, S. K. De. Sanskrit poetics, and P. V. Kane. Introduction to the *Sāhityadarpaṇa* (1923).

His two works, the *Locana* and the *Abhinavabhāratī* are respectively commentaries on the *Dhvanyāloka* and the *Bharata Nāṭya Śāstra*; but for their erudition, terseness and dignity of style and the views expounded therein they deserve to be ranked among the most original works on the subject : and later writers regard them to be so. Really it is the *Locana*, as Abhinava himself remarks, that furnishes the reader with the true insight into the many intricate problems involved in the theories of *Rasa* and *Dhvani* formulated by Ānanda<sup>1</sup>. The *Abhinavabhāratī*<sup>2</sup> is a very learned and extensive treatise, covering nearly 1000 quarto pages in manuscript and it deals with each topic in great detail utilising as much as possible the large material available on the subject of

1. Dhv. p. 60. and end of Chapter I.

किं लोचनं विना लोको भाति • चन्द्रिकयापि हि ।

तेनाभिनवगुप्तोऽत्र लोचनोन्मीलनं व्यधात् ॥

2. See Report on the working of the Peripatetic party of the Government Oriental Mss. Library, Madras, 1916 to 1919, page 44,



Rasa, histrionics and music. The manuscript in the Government Oriental Manuscripts Library, Madras, breaks away in the middle of N. S. Chapter XXXII. verse 359 (p. 400 Nirṇayasāgar Edn.) and except for a few pages Chapters VII & VIII are wholly missing. There are also large Lacunae in Chapters XXII and XXIII. Otherwise the work is completely available. But probably owing to neglect and want of familiarity with the subject of histrionics the chapters dealing with Abhinaya proper and music are very corrupt and they are not quite intelligible; but those treating of literary topics, though equally corrupt, could be understood with some care and patience and the correct text of these chapters is not impossible of restoration. I have determined under the guidance of my Professor S. Kuppusami Sastriar, the text of Chapter VI. (Abh. Bh.), probably the most important portion of the work dealing with the Rasas. The text of Chapters XVIII and XIX dealing with the dramatic technique can be prepared without much difficulty, and if this section of the work is published it will certainly throw the Daśarūpaka into the shade. Of these two works of Abhinava, the Locana appears to have been written before the Abhinavabhāratī, for the latter clearly refers to the former in three different places<sup>1</sup> (Abh. Bh. Vol. II, pp. 278, 385 & 519) and there is not a single reference in the Locana to the other work.

As observed before, Abhinava in his Bhāratī refers to and criticises the views of a number of writers of independent treatises on histrionics and commentaries on the Nāṭya Śāstra. He

1. Abh. bh. Vol. II. Chap. VII, p. 278.

स्वशब्दानभिधेयत्वं हि रसादीनां ध्वनिकारादिभिः दर्शितम् । तच्च मदीयादेव तद्विवरणात् सहृदयालोकलोचनादवधारणीयम् । इह यथावसरं वक्ष्यत एव ।

Abh. bh. Vol. II, p. 385.

तच्चास्माभिः सहृदया(व)लोकलोचने तद्विवरणे विस्तरतो व्याख्यातम् ।

Abh. bh. Vol. II, p. 519.

यस्तु वेणीसंहारे भानुमत्या सह दुर्योधनस्य दर्शितो विलासः स नायकस्य तादृशे अवसरे अत्यनुचित इति चिरन्तनैरेवोक्तम् ।

यथा सहृदयालोककारः—

‘सन्धिसन्ध्यङ्गघटनं रसबन्धव्यपेक्षया’

एतच्च विवरणे एवास्माभिर्वितत्य दर्शितम् ।

mentions one Mataṅgamuni and Kohala.<sup>1</sup> He quotes profusely the views of Dattilācārya and Viśākhilācārya on music.<sup>2</sup> He refers to the Vārtikas of Śrī Harṣa on the Nāṭya Śāstra in many places. (See *ante* p. 12.) The opinions of Bhaṭṭayantra and Kirtidhara are also occasionally cited.<sup>3</sup> (Abh. Bh. Vol. I, end of Chap. IV, p. 166.) There is no clear evidence to determine whether these are earlier commentaries on Bharata or not. The comments of Bhaṭṭa Sumanas on three verses in N. S. Chap. XXXI are referred to with approval<sup>4</sup> (Abh. Bh. Vol. IV, p. 80). A few texts cited in the name of Rāhula or Rāhulaka are in the form of prose and verse: and the bit भेस्तेनोदितम् in his verse indicates that his was an in-

1. Abh. bh. Vol. IV, p. 67. Chap. XXX.

श्रीमन्मतङ्गमुनिना.....उक्तः

“शृङ्गारे वाविनियोक्तव्यमत्यन्तललितं द्रुतम्”

*Ibid.* Vol. I, p. 92.

कौहलाद्यैः सत्वातिरिक्तोऽभिनयः इत्यादिवचनं अभिलिखद्भिः ।

*also* Vol. I, p. 142, etc.

2. Abh. bh. Vol. III, Chapter XXVIII, p. 100.

तथा च विशाखिलाचार्यः स्वरपदतालसमवाये तु गान्धर्वमिति ।

*Ibid.* Vol. III, p. 101.

तदुक्तं दत्तिलाचार्येण—पदस्थस्वरसङ्घातस्तालने सुमितमिति ।

3. Abh. bh. Vol. I, Chap. IV, p. 166.

..... नृत्तनाट्ययोर्भेदः तुल्यानुकारत्वे इति हर्षवार्तिकम् ।

शिक्षार्हस्वेच्छान्यनृत्तकतिपयनाट्याङ्गकृतं नृत्तमभ्यासफलमिति भट्टयन्तः । समयमात्र इत्यादिमङ्गलवद्विवाहादाविति भट्टलोहटः । दशरूपभेदवल्गास्य-ताण्डवप्रयोगो नाट्यभेद एव च तत्र पूर्णानुकाररूपत्वात् । तथा हि—प्रवेशेऽश्व-त्थान्नः सूचीवद्वे ध्वजात्यादि पुरुरवसोऽलपल्लवसूची, गरुडस्य गरुडप्लुतकं, रावणस्य पुष्करः वैशाखरोचितकः, वत्सराजस्याग्निसम्भ्रन्तिः, जटायुषो गृध्रावली-नकमेलकान्नीडितं चेति । चित्राभिनयेन नाट्येन करणप्रयोग एवाभिनयपदा-दीनां च नाट्येऽपि सत्तेति नाट्यमेवेदमिति कीर्तिधराचार्यः । एतच्च स्वमतानुसारेण । एवं शब्दार्थमाहुः.....मुक्तयुक्ततां तत्र परीक्षका एव विदुरित्यलं बहुना ॥

4. Abh. bh. Vol. IV, p. 80.

भट्टसुमनसा तु श्लोकत्रयस्यायं वाक्यैकवाक्यतया महत प्रबन्धेनार्थो व्याख्यातः ।

dependent work and not a commentary on the Śāstra.<sup>1</sup> Udbhaṭa is mentioned as using a text of the Śāstra with different readings. (Abh. Bh. Vol. II, Chap. IX, p. 307; Chap. XVIII, p. 472, *see ante* p. 11.) This Udbhaṭa is the same as the author of the Kavyālaṅkārasāra, for he is ridiculed by Kuntaka for holding that Rāsa could be realised through express reference—a view inconsistent with the principles of the school of Bharata of which he is a staunch advocate.<sup>2</sup> (Vakrokti J. Chap. III, pp. 113-5.) Udbhaṭa probably wrote a commentary on the Śāstra and it is unfortunately lost to us.<sup>3</sup> Lollaṭa is probably another commentator that came after Udbhaṭa, for he is represented to contradict an interpretation of a text given by Udbhaṭa and his followers. His views regarding the realisation of Rāsa are cited and criticised by Abhinava in his com-

1. Abh. bh. Vol. I, p. 88.

यथोक्तं राहुलकेन—प्रीवाकारयोः कत्र्यां पादयोश्च पृथग्भ्रमणं रोचितं विद्यात् ।

*Ibid.* Vol. I, p. 91.

तद्विषयोक्तिप्रत्युक्त्यादिप्रयोगो नट्येऽपि आकाशभाषितादौ भाणरूपके च विद्यते । ते च यथाह राहुलः---

परोक्षेऽपि च वक्तव्यो नार्या प्रत्यक्षवत्प्रियः ।

सखी च नाटयधर्मोऽयं भरतेनोदितं द्वयम् ॥

Abh. bh. Vol. I, p. 156.

यत्तु राहुलकेनोक्तम्—अपौनरुक्त्येनाभिनयनं तदेवंविधमेव मन्तव्यम् ।

*Ibid.* Vol. III, p. 38.

तेन मौढ्यमदभाविकत्वपरिपतनादीनामपि गत्याचार्यराहुलादिभिः अभिधानं विरुद्धमित्यलं ब्रुना ।

2. Vakrokti J., Chap. III, pp. 113-5.

रसवद्दर्शितस्पष्टशृङ्गारादिरसोदयम् ।

स्वशब्दस्थायिसञ्चारिविभावामिनयास्पदम् ॥ Udbhaṭa's K. L. S. Chap. IV.

तत्र स्वशब्दास्पदत्वं रसानामपरिगतपूर्वमस्माकं ततस्तत एव रससर्वस्वसमाहितचेतनाः तत्परमार्थविदो विद्वांस एव प्रष्टव्याः ।

*See also* p. 11. fn. 2. Vakrokti, p. 126.

3. *See* Abh. Bh., Vol. I, p. 198, *Vide* pp. 30 and 11.

ments on the *Rasasūtra*.<sup>1</sup> (N. S. Chap. VI, p. 62). An improved interpretation of the *Sūtra* suggested by one Śrī Śaṅkuka is also similarly dealt with in the same place. His readings and comments on some verses are also criticised. Śaṅkuka appears from the *Rajatarāṅgī*, Chap. IV, pp. 703-7 to have written a poem called *Bhuvanābhyudaya* about a terrible fight that took place between Mamma and Utpalaka about 850-1 A.D. in the reign of King Ajitāpiḍa.<sup>2</sup> This would place Śrī Śaṅkuka about the middle of the 9th century and Lollaṭa about the beginning of it. Abhinava further cites with great regard his paramaguru Utpala in the *Bhārati*<sup>3</sup> (*Abh. Bh.*, Vol. IV, p. 84) as also in the *Locana* (*Dhv.* p. 30). He quotes in the *Bhārati* as in the *Locana* (pp. 25, 160, 29, 178, etc.) his two teachers Bhaṭṭendurāja and Bhaṭṭatauta. The former being his teacher,<sup>4</sup> could have been only a follower of the *Dhvani* and the *Rasa* school and he is certainly different from Pratihārendurāja. He is introduced with an attribute<sup>5</sup> meaning the noblest of the twice-born that has crossed

1. See Hemacandra's *Kāvyaṇuśāsana* p. 55 foll. which is only a quotation from the *Abhinavabhārati*, Chap. VI, on the *Rasasūtra*.

2. *Rajatarāṅgī*, Chap. IV, pp. 703-7. See S. K. De, *Skt. Poetics*, Vol. I, pp. 38 and 39. Dr. De does not appear to have interpreted the *Rajatarāṅgī* correctly. The poem was written only at the close of the Reign of Ajitāpiḍa (850-1).

3. *Abh. bh.*, Vol. IV, p. 84.

उत्पलदेवपादास्त्वस्मत्परमगुरवो व्याचक्षते ।

4. *Locana*, p. 160.

यथा वा अस्मदुपाध्यायस्य विद्वत्कविसहृदयचक्रवर्तिनः भट्टेन्दुराजस्य  
“इन्दीवरयुति” ।

*Abh. bh.*, Chap. VI.

यथा विन्दोः भट्टेन्दुराजस्य—उपपरिसरं गोदावर्याः परित्यजताध्वगाः etc.

*Abh. bh.*, Vol. III, p. 35.

“गतेषु लीलाश्रितविश्रमेषु” *Ku.* I. 34.

About those who change *विश्रमेषु* to *सुन्दरेषु* Abhinava remarks  
तदसदिति भट्टेन्दुराजशिष्यः ।

5. *Abh. bh.*, Chap. VI.

अनुभावप्राधन्यं यथा—शुद्धसारस्वतप्रवाहपवित्रसकलवाङ्मयमहार्णवपूर्ण  
भावसम्पादनद्विजराजस्येन्दुराजस्य—

याद्विश्रम्य विलोकितेषु बहुशो निःस्थेमनी etc.

quoted in *Locana*, p. 25.

the ocean of all speech rendered pure by the singular flow of the singing of the poetic Muse, probably more extravagant than the one in the Locana; but considering the excellence of his verses Abhinava is probably justified in giving it to his teacher. The latter Bhaṭṭatauta is evidently his teacher of histrionics.<sup>1</sup> He wrote a work called the Kāvya Kautuka on which Abhinava wrote his Vivaraṇa.<sup>2</sup> A few verses from that work containing his idea of how the different poetic elements contribute to the realisation of Rasa are cited by Abhinava.<sup>3</sup> (Abh. Bh., Vol. II, Chap. XIX, p. 541.) Bhaṭṭatauta's criticisms of Śrī Saṅkuka's interpretation of the Rasasūtra are quoted in the sixth chapter. From these and also other occasional references to the Kāvya Kautuka it may be conjectured that it was a voluminous work in verse dealing with the many aspects of Rasa and histrionics in great detail. Bhaṭṭanāyaka and his Hṛdayadarpaṇa have already been dealt with.

Further, to illustrate the different principles Abhinava quotes from a number of rare gems of literature which have unfortunately perished or lie hidden in some neglected shelf. The most

1. Locana, p. 178.

मोक्षफलत्वेन चायं परमपुरुषार्थनिष्ठत्वात्सर्वरसेभ्यः प्रधानतमः । स चायं  
शान्तो रसः अस्मादुपाध्यायभट्टतोतेन काव्यकौतुके अस्माभिश्च तद्विवरणे  
बहुतरकृतनिर्णयः पूर्वपक्षसिद्धान्त इत्यलं बहुना ।

*See also* Locana p. 29.

2. Abh. bh., Chap. XXXI, Vol. IV, p. 175.

तदेतद्भट्टतोतेन काव्यकौतुके वितत्य दर्शितम् ।

3. Abh. bh., Chap. XIX, Vol. II, p. 541.

अलौकिकवैचित्र्यसरो हि रसः । तथा चोक्तं भट्टतोतेन—

लक्षणालंकृतिगुणा दोषाः शब्दप्रवृत्तयः ।

वृत्तिसन्ध्यङ्गसंरम्भः संहारो यः कवेः किल ॥

अन्योन्यस्यानुकूल्येन सम्भूयैव समुत्थितैः ।

झटित्येव रसा यत्र व्यज्यन्ते ह्लादिभिर्गुणैः ॥

वृत्तैः सरलबन्धैर्यन्मुग्धैश्चूर्णपदै रसि ।

अश्लिष्टद्वयघटनभाषया सुप्रसिद्धया ॥

यच्चेदृक्काव्यमात्रं सद्रसभावानुभावनम् ।

सामान्याभिनये प्रोक्तं वाच्याभिनयसंज्ञया ॥

important among them are Pratiññācāṇakya of Bhīmakavi, Māyāpuṣpaka, Kṛtyārāvaṇa, Puṣpadūṣitaka, Tāpasavatsarāja, Rāmābhyudaya, Pratimāniruddha, Rādhāvīpralambha, Vāsava-dattānātyadhārā of Mahākavi Subandhu, Darīdracārudatta, Abhisārikābandhitaka (Vācītaka ?) and Rāghavavijaya. In Abh. bh., Vol. I, p. 255, Chap. VI a verse beginning त्रेतायुगं तद्धि न मैथिली सा is cited and attributed to the great poet Bhāsa.<sup>1</sup> But this verse is not found in any of the works published at Trivandrum in the name of Bhāsa.

Abhinavagupta makes in the sixth chapter of his great commentary, a remarkable exposition of the theory of Rasa in all its different aspects and satisfactorily answers all the difficult questions that naturally suggest themselves in a consideration of the subject. One of the most important features in literature is its emotional element. When we speak of Rasa or aesthetic pleasure we vaguely understand what it is, and we also realise as we read a poem or witness a play, this Rasa. Now a question naturally arises—whose Rasa is it that we are realising? Is it the emotion of the master poet? or is it that of the great characters or even of the talented actors or only of our own? Some western critics confine themselves only to the emotions of the reader or the spectator when they have to speak of emotions. But Sanskrit writers recognise not only the emotion of the spectator and the reader but also the emotion of the master-mind of the poet, the characters and the actors and they proceed to equate the emotion of all these.

Further what are the different emotions that are the sources of literary appeal or effect? Can they be definitely ascertained and classified? Modern critics fight shy of definitely doing so, but Sanskrit writers have scientifically classified such emotions. Again there is the question of painful emotions and the question of the field of tragedy. The most pathetic scenes are represented to us in poetry and drama, and as we read or witness them we

1. Abh. bh., Vol. I, p. 255, Chap. VI.

महाकविना भासेनापि स्वप्रबन्धे उक्तः—

त्रेतायुगं (न खलु) तद्धि न मैथिली सा

रामस्य रागपदवी मृदु चास्य चेतः ।

लब्धा जनस्तु यदि रावणमस्य कायं

प्रोत्कृत्य तन्न तिलशो न वितृप्तिगामी ॥

even shed tears. But only an hour\* hence we love to return to those scenes and shed tears again. Why is this so? While man is willing to return to pleasure alone and never to pain, how could this be explained? They fail to attack this question, but Sanskrit Ālaṅkārikas have successfully met this difficulty in a philosophical manner by formulating the theory of Rasa from a philosophic basis.

The earliest exposition of this theory is, as has been stated already, to be found in the sixth chapter of Bharata's Nāṭya-śāstra. [See ante Chap. III.] The method of the realisation of Rasa is stated there in the form of the Sūtra, “ विभावानुभावव्यभिचारिसंयोगा-द्रसनिष्पत्तिः ” that has been already explained. Though this represents the first beginnings at the systematization of the theory, it contains the germ of all later developments, for all later theories have grown out of the different interpretations of this old sūtra. Moreover though Bharata's theory may not answer all the difficulties raised at the outset, it must be admitted that Bharata, it was, who boldly classified the different Rasas, their permanent emotional moods, their causes, effects and accessories, etc., which are substantially the same even after the lapse of many centuries. We shall trace briefly the growth of this theory at the hands of Bhaṭṭa Lollaṭa, Śrī Śaṅkuka, Bhaṭṭanāyaka and Abhinavagupta and try to indicate how every subsequent view improved upon the previous one and also how the view as expounded by Abhinavagupta is the most satisfactory, and also one which has stood the severe test of time.

After Bharata, the earliest to put forward a theory of Rasa based on his Sūtra was Bhaṭṭa Lollaṭa, who as stated already lived about the beginning of the ninth century. His view is briefly this. (It is better in this connection to keep in view dramas.) Rasa is Sthāyibhāva intensified by a poetic description or histrionic representation through Vibhāvas, Anubhāvas, etc. Or in other words, the trained actors by their histrionic skill aided by poetry, music, dress, etc., truly exhibit on the stage, say, the love which Duṣyanta and Śakuntalā had towards each other, identifying themselves for the moment with those characters; that is, when the love—Sthāyibhāva—of the actors and the characters is thus intensely developed through Vibhāvas, Anubhāvas, etc., it is Rasa. This Rasa then primarily resides in the characters and also in the actors on account of their imagining themselves for the

moment to be those very same characters.<sup>1</sup> This view is the same as that held by Daṇḍin also who should have meant something like the above, when he said “रतिः शृङ्गारतां याता रूपबाहुल्ययोगतः” Love, Sthāyibhāva, when intensified through manifold factors, such as Vibhāvas, Anubhāvas, etc., becomes the Rasa, Śṛṅgāra.

According to this view it is the actors that exhibit the Rasa and so it is they that realise it. It leaves out of all consideration the poet and the audience. It ignores the question ‘how does the audience realise the Rasa?’ If it does not realise the Rasa, when the intense love of Duṣyanta and Śakuntalā is represented by the actors on the stage, some members of it may envy the lovers, others may shun to witness the love-making of others and may leave the performance, and many other things may happen according to the taste and culture of the audience.

Śrī Śaṅkuka criticises Lollaṭa for holding that Sthāyibhāva when intensified becomes Rasa. For the existence of the Sthāyibhāva cannot be known at all without the ‘intensifying factors,’ viz., Vibhāvas, etc.; or in the language of Śrī Śaṅkuka without the middle term, viz., Vibhāvas, etc., there cannot be any inference or knowledge of the Sthāyibhāva. If we notice the trend of the definitions of Bharata, we find that he does not seem to distinguish at all between Sthāyibhāva and Rasa; and when the Sthāyibhāva is thus inferred it is called ‘Rasa’. That is why he does not mention the word ‘Sthāyibhāva’ in the Sūtra. Otherwise Bharata should have mentioned the Sthāyibhāvas first and then indicated how the Rasas are realised from them when they are intensified. Further ‘if Sthāyibhāva intensified is Rasa’, then, as intensity admits of many degrees, similarly there ought to be different grades in the Rasa realised. Or if it is urged that only when the utmost intensity is reached that Rasa is realised, then the division of Hāsyā into six varieties, Smita, Avahasita, Vihasita, etc., made by Bharata would be wrong. Again in Śṛṅgāra there are ten avasthās or states of emotional moods, and if each of them should have different grades according to their intensity, there would then be innumerable varieties under that Rasa and Bhava alone. Moreover it is not always that the Sthāyibhāva is intensified. We find on the other hand that an

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1. तेन स्थाय्येव विभावानुभावादिभिः उपचितो रसः । स्थायीभावस्त्वनुपचितः । सचोभयोरपि ; अनुकार्ये, अनुकर्तर्यपि चानुसन्धानबलत् ।



intense feeling, like grief, slowly languishes by lapse of time and other conditions.

Sri Śaṅkuka sought to remedy the defects in this theory by putting forward an improved view of his own, and it may be stated briefly thus :—Skilled actors imitate truly the heroes and their experiences. In the ordinary world the emotion of the heroes is revealed by (1) the causes or mainsprings which excite their emotion, *viz.*, the heroes themselves and their environment; (2) the visible effects of their feeling, and (3) some temporary and accessory feelings. When these are represented on the stage by the superior imitative faculty of the actors, they come to be called by the names, Vibhāva, Anubhāva and Vyabhicāribhāva, respectively. When the spectators witness a successful imitation of the characters and their experiences which—the imitation by the actors—though artificial and unreal, is not realised to be so and which reveals their feeling, they forget for the moment the difference between the actors and the characters, and inferentially experience the emotion of the characters—Rāma, Duṣyanta and the like—themselves; *e.g.*, if Rāma's Vipralambha is represented, the inference of the spectators may take the form अयं रामः तापमनुभवति—‘This Rāma is greatly afflicted at heart.’ This experience of emotion by the audience is very similar to, and is but a reflex (anukāra) of, the real emotional mood—Sthāyibhāva—of the characters ; and because it is a reflex, it is called by a different name, *viz.*, Rasa. This experience of the Rasa by the audience is a peculiar one, entirely different from either the ordinary perception or inference. It does not take any of the forms—‘This actor alone is happy,’ ‘This actor alone is Rāma,’ ‘This is not happy,’ ‘This may be Rāma or may not be so’ or ‘This is similar to Rāma’. It is neither valid perception, nor error, nor doubt, nor inference. It is something like the experience which one has when observing a horse in a picture, and it takes the form ‘This actor is that Rāma who was happy’. If it is urged that such an experience is philosophically unsound as it does not fall within the recognised categories of valid knowledge, Śaṅkuka would reply that when there is such an experience it ought to be accepted as sound, and no amount of theorising can disprove facts of experience.<sup>1</sup> Theories have to conform to facts

and not facts to theories. So this is a peculiar variety of inference and the inferential experience is the realisation of Rasa.

This theory is an improvement upon Lollaṭa's, in that it brings into relation the spectators in the realisation of the Rasa, or because it holds that the spectators realise the Rasa. But here too there are serious defects. It is said that Rasa is a reflex and an inference. From the standpoint of the audience this is not true. What is there in the actors which may be regarded as an imitation? Can the actor's demeanour, dress and actions be regarded as the imitation of the hero's emotion like love? These being unlike Rati cannot be treated as such. Moreover we can speak of a thing to be an imitation only when the original is known. Has anybody a direct knowledge of the love of the hero, say, Rāma? So the actor does not imitate the hero. Again, if the mental condition of the actor, for the time being roused by ordinary causes in the world, is regarded as Rasa when realised, then it is realised as a mental condition, like love ; and where is there the reflex of that love? It may be urged that the Vibhāvas, etc., are real in the characters and artificial or unreal in the actors, and that in the same way as the real Vibhāvas lead to the inference of the real emotion in them, similarly, their imitations in the actors lead the audience to the inference of an imitation or reflex of that real emotion as existing, for the time being, in the actors. This reasoning is quite unsound, for never do we have the inference of the imitation of 'Sādhya' or the major term from a semblance of Hetu; e.g., from a knowledge of mist which is similar to smoke there does not arise the inference of the bright red china roses which are similar to fire. Again it may be said that the actor, not being angry at all, feigns that he is angry. Is this not imitation? No ; it may be that he is similar to the angry man and we cannot say that he is imitating the angry Bhīmasena. Thus to the audience, Rasa is not an imitation of the Sthāyibhāva. Further, to say that the experience of Rasa takes the peculiar form which is neither valid nor erroneous—this actor is that Rāma who was happy—is not correct. For, if this knowledge is not stultified later, it is true ; if it is stultified, it is false. How can it be different from both these. Really this same experience is had in other actors also and hence the conception of Rāma is only in his universal aspect of a great hero.

The actor too does not feel that he is imitating Rāma or his feelings. For he has not experienced the original, Rāma or his

feelings. Then whom does he imitate? It may be some noble person. How is he? and what is he? Without a definite idea of him how is it possible to imitate his feelings? If it is said by the actor that he is imitating a person who should have wept, smiled or laughed in the manner he does, then his personality also intervenes and where is the relation of the original and imitator (*Anukāryānukartṛbhāvaḥ*). What happens really is that the actor by his training and imagination and by his imaginative identification with the character, represents the *Vibhāvas*, *Anubhāvas*, etc., in their generic aspect; and he is innocent of imitation.

Another weak point is that the realisation of *Rasa* is regarded as an inference. How can any inference be delightful? It is well known that the audience when witnessing a performance realises some bliss, similar to the one which *Duṣyanta* had in the company of *Śakuntalā*. It is possible only in perceptual experience and not through inference, when the audience is identified, for the time being, with the heroes represented on the stage. It is common experience that the realisation of thrill or joy is immediate, and this immediateness is associated with direct apprehension. Inference is not direct apprehension and inferential knowledge is not immediate. How then is it possible to have the realisation of poetic thrill through inference? Further inferential knowledge lacks that sense of fulness which is present in the experience of joy. So it is unsound to say that the realisation of *Rasa* is a process of inference.

The next writer to put forward a better view was *Bhaṭṭa-nāyaka* whose date, position, etc., have already been discussed. He says :—*Rasa* is neither known through the means of knowledge, nor produced nor manifested. For if it is known as it really is and as present in the *Sahṛadya*, then in *Karuṇa* one must experience pain and not pleasure. Further when we realise *Rasa* as existing in ourselves, *Sītā* and others cannot be the mainsprings of our emotion, for we are conscious of the wide difference between us and the characters. Nor do we then think of our relations with our beloved. Further, the activities of the Gods and feats like the crossing of the ocean appear to be strange and quite unlike our own experiences. We cannot say that we remember such a great *Rāma*, because we have had no such previous experience. Moreover, when *Rasa* is known through *Śabda*, verbal testimony or inference, there cannot be any sense of joy, just in the same manner as when we witness the love-making of two lovers ; and

there may be positive aversion. Similar are the defects if we say that it is produced or manifested. So what really constitutes the realisation of *Rasa* is this :—Poetic language has three aspects or functions, *viz.*, (1) *Abhidhā* or significative aspect; (2) *Bhāvanā* or *Bhāvakatva*, unificatory or universalising aspect; and (3) *Bhojakatva* or *Bhoga*, the ‘realisationistic aspect.’ All linguistic expression has the first one. It is in the second that the language is differentiated from ordinary expression and passes on to poetic expression. This aspect, called *Bhāvakatva*, is achieved in ordinary poetry by the *Guṇas*, *Alaṅkāras*, etc. Dramatic representation also possesses the second and third aspects and here *Bhāvakatva* is achieved through the four varieties of *Abhinaya*. One great difficulty in the way of the realisation of *Rasa* is the individualistic element of the spectators or *Sahṛdayas* on the one side and the actors and the characters on the other. Another is the realisation of painful emotions which necessarily must be painful. When the second function, *Bhāvakatva* operates, these two are surmounted. It consists in this. When there is a vivid representation, aided by poetry, music, etc., of the characters and the incidents, the trained spectator or *Sahṛdaya*, through his complete imaginative sympathy, identifies himself with them and loses his individuality and also any personal predilections that he may have; *e.g.*, if the love of *Duṣyanta* and *Śakuntalā* should be presented on the stage it is not realised as the love of those particular characters, but through the unification of the characters, actors and the *Sahṛdaya*, the individual love of the characters, the actors and the *Sahṛdaya* is completely submerged; and love in its universal aspect alone is presented. This unificatory function is called ‘*Sādhāraṇīkaraṇa*’. When this emotion is thus universalised, the third function, *viz.*, *Bhojakatva* or *Bhoga* operates. This enables the *Sahṛdaya* to call forth the corresponding instinct implanted in him and to develop it to that climax, when it is realised in the form of self-luminous bliss. For this conception of *Bhoga* *Bhaṭṭanāyaka* appears to have taken the cue from the *Sāṅkhya* philosophers. They say that *Guṇas* are of three kinds, *Sattva*, *Rajas* and *Tamas*; and the exuberance of one *Guṇa* over the other two produces respectively, happiness, grief and stupor. This *Bhoga* arises by the overpowering mastery of the *Sattva* *Guṇa* over the other two, *Rajas* and *Tamas*, and hence it must invariably be pleasant.

This view solves in a manner the two difficulties raised. It gets over the barrier of the individualistic element by the concep-

tion of Bhāvakatva. Then regarding Karuṇarasa, even the realisation of the instinct of Pathos in the Sahṛdaya can be brought about only through the exuberance of the Sattva guṇa and hence it is, that even its realisation is only a pleasant experience. The defect pointed out in this theory is that it attributes to poetic expression two additional functions, Bhāvakatva and Bhoga, which are quite novel and which are not accepted by any system of philosophy. If these two are the peculiar activity of poetic expression, then they may very well be called suggestion. Abhinavagupta quarrels with Bhaṭṭanāyaka only in this respect ; otherwise he does not differ very much from Bhaṭṭanāyaka.

The next and the last theory that satisfactorily and conclusively solved all the difficulties raised at the outset and which reigned supreme in the sphere of Sanskrit poetics was formulated by Abhinavagupta. This may be set forth thus :—Soul is immortal, and all souls, particularly of the Sahṛdaya or responsive critic, have in them implanted certain Vāsanās or instincts and these are called in the language of criticism 'Sthāyibhāvas.' When there is a vivid representation of the Vibhāvas, Anubhāvas and Vyabhicāribhāvas this instinct in us is called forth and developed to that climax when it is realised by us, invariably accompanied by a thrill or joy. To take a concrete example. In the first Act of the Śakuntalā a deer is represented as being pursued by Duṣyanta on a chariot and the deer is running swiftly for its life. When this scene is acted before us, it calls out in us the instinct corresponding to fear, viz., Bhaya and this is developed, when we forget, through our complete imaginative sympathy, whose fear it is that we are realising, 'Is it our own' or 'is it of the deer before me' or 'is it the fear of the enemy or a friend or a third person', and know only, fear in its generic or universal aspect, free from all barriers like the individualistic elements, etc., and realise this climax of emotion which is always pleasurable.

Incidentally Abhinava seeks support for his view from an exquisite verse of Kālidāsa :—

रम्याणि वीक्ष्य मधुरांश्च निराम्य शब्दान्  
पर्युत्सुकीभवति यत्सुखितोऽपि जन्तुः ।  
तच्चेतसा स्मरति नूनमबोधपूर्वं  
भावस्थिराणि जननान्तरसौहृदानि ॥

'When a person, though happy, becomes uneasy of mind on seeing beautiful objects and hearing sweet music, then indeed he

intuitively realises (स्मरति) though vaguely, associations of former births deeply implanted in him.' The situation here is that Duṣyanta is introduced as being under the spell of Durvāsa's curse and as having completely forgotten his love towards Śakuntalā; just then he hears sweet music and probably also sees some paintings in the hall and he feels uneasy of mind and vaguely realises his love for Śakuntalā, but is not at all conscious of it. Here the term 'Bhāvasthirāṇi' really stands for Sthāyibhāvas or certain permanent instincts, implanted in all human beings; and by seeing beautiful, charming objects and hearing sweet music, this instinct is called forth and intuitively realised. Here the term 'smarati' does not evidently stand for conscious remembrance, but only for intuitive realisation. Abhinavagupta has not displayed any interpretative ingenuity in seeking support for his own view from this theory of Rasa of Kālidāsa.

Thus according to Abhinava, by whatever name—Upacaya, Anukāra or Smṛti—we may call this realisation, Rasa is only the emotional mood revealed in a blissful knowledge, free from all barriers that are antagonistic to its realisation.<sup>1</sup> These barriers are surmounted by the Vibhāvas, etc. In ordinary world also, knowledge free from all limitations is called by different names, Camatkāra, Rasanā, Bhoga, Laya, Viśrānti and so on.<sup>2</sup> What are these barriers to the realisation of Rasa? They are sevenfold, viz.:

- (1) प्रतिपत्तावयोग्यता सम्भावनाविरहः (2) स्वगतपरगतत्वनियमेन देशकाल-विशेषावेशः (3) निजसुखादिविवशीभावः (4) प्रतीत्युपायवैकल्यं (5) स्फुटत्वाभावः (6) अप्रधानता and (7) संशययोगः ।

The first is the lack of adequate realisation of the probability or the reasonableness of things. If one is not convinced of the likelihood of the things presented, how can they engage one's all absorbing attention? When extraordinary incidents, like the calm resignation of a kingdom in order to fulfil the father's pledge, the crossing of the ocean, the war with a demon who was a terror even to the gods, have to be portrayed, it is done so only with reference to great heroes like Rāma who, by our training and association, is at once believed without the shadow of a doubt, to

1. सर्वथा वीतविघ्नप्रतीतिग्राह्यो भाव एव रसः ।

2. लोके सकलविघ्नविनिर्मुक्ता संवित्तिरेव चमत्कारनिर्वेशरसना.....

दिभिः शब्दैरभिधीयते ।

possess those superior powers necessary for their achievement. This is why, in dramatic kinds which have a high moral purpose, the plot and the characters are invariably drawn from the Epics and well-known tradition. Otherwise, as Cardinal Newman would put it, the poet, instead of drawing vigour and inspiration for his theme from the nobility of the characters, will be forced to infuse spirit into the shadowy creations of his imagination. He is thereby at a great disadvantage, for his work may not be quite appealing; and hence it is that 'the fable' of the Greek tragedies is drawn from the Epics and well-known tradition. This is not the case in the lower order of plays like the Prahāsana. Such a procedure is certain to remove from the mind of the audience any lack of adequate realisation of the probability of things.

The second obstacle is the presence of certain individualistic or distinctive features of time and place which enable the Sahrdaya to sever himself from the objects described. If he should realise happiness or sorrow as existing in himself, there may arise in him a desire and an effort either to preserve it or to abandon it, to obtain something similar to it or to avoid it, to publish it or to conceal it as the case may be; and this would retard the realisation of Rasa. Further, if he should realise them as being present in another, then also there would be certain reactions on his mind. He may be happy, sorrowful, indifferent and so on. To prevent this obstacle various histrionic devices called 'nāṭya-dharmi' such as the Pūrvaraṅga, the prologue, the different dress of the actors, the stage, the peculiar speech, etc., are employed. Then he does not realise whether the happiness or sorrow is experienced in this person, in this place or at this moment, because its true nature is concealed.

The third is the undue assertion of self-regarding emotions. How can any one who is overpowered by his own happiness or sorrow concentrate his attention on something else? To avoid this are employed excellent music, vocal and instrumental, with suitable accompaniments like mṛdāṅga, well-decorated halls, accomplished courtizans, etc., by which even the unaesthetic mind is forced to vibrate in response.

The fourth and fifth are the absence of adequate means of immediate realisation and the lack of clearness or perspicuity. How under such conditions could one be convinced of the correctness of one's knowledge? Even though there may be clear and unmistakable verbal testimony

and inference, we do not completely rest content with the knowledge derived therefrom ; for therein is lacking that perceptual cognition which alone makes for clear, direct and definite knowledge. That is why it is said that all valid knowledge depends upon perception. It is well known that a thing that is perceived cannot be proved to be otherwise by any number of inferences and verbal testimony or scriptural texts. In cases like the 'Alātacakra' or the imaginary circle of fire created when a burning stick is swiftly revolved, our knowledge is disproved only by a more powerful perception. Therefore to remove these two, we use in dramatic representation something that is different from inference and verbal testimony and that is almost equal to perception itself, *viz.*, Abhinaya, Nātyadharmi, Vṛitti and Pravṛtti, the last two being dealt with in the twentieth and twelfth chapters of the Nāṭyaśāstra.

The sixth is the absence of some element as the dominant factor. Our mind does not rest satisfied with the knowledge of things subordinate, for it runs towards the predominant thing. We never rest content with the knowledge of adjectives and we invariably seek for the substantives which the adjectives qualify. Similarly the Vibhāvas, Anubhāvas and the Vyabhicāribhāvas which go to develop something else, are invariably subordinate, and these are not realised with a sense of satisfaction but only the Sthāyibhāvas which are dominant and to develop which the Vibhāvas, etc., strive. Why should the Sthāyibhāvas alone be regarded as the dominant emotional moods and the Vyabhicāribhāvas be regarded as subordinate ones? It is because certain emotional moods or impulses alone are directly associated with the Puruṣārthas or aims or ends of life ; and these are dominant. Rati is associated with Kāma and also Dhārma and Artha, Krodha with Artha, Utsāha or fortitude and energy with Kāma and all varieties of Dhārma, etc., and quietism (Śama) born of the knowledge of sacred lore with liberation, the highest goal of existence. Thus these emotional moods are the more important. While Hāsa, Śoka, Adbhuta, etc., being obtainable among the ordinary folk in the world are less important and even these being accessory to the former help in the realisation of the ends of life. These alone form the Sthāyibhāvas. These mental conditions are present in all human beings, at least in a dormant state, in the form of latent impressions which are called forth as occasion arises. Only the degree of their intensity varies with different persons



in the world. The emotional moods called Vyabhicāribhāvas, such as, langour (glāni) doubt (Śaṅkā), etc., are not of an enduring character and are not present in all or at all times. They are brought about by certain causes and when these are removed they disappear. As a practical test we may consider these two statements.<sup>1</sup> "This person is languid", "Rāmā possesses great energy and power". As soon as we hear the first we naturally ask the question "why is he languid". This shows that langour is not permanent and it is brought about by some temporary causes. In the latter case nobody is tempted to put the question "Why does Rāmā possess energy and power?" This is because Utsāha is something permanent and is not temporarily brought into existence. This is the basis of the classification of the emotional moods into Sthāyibhāvas and Vyabhicāribhāvas. The latter are like jewels set in a chain, which are not necessary for the existence of the chain itself, but which greatly enhance the beauty of the chain and which can find a place only so long as the chain lasts. Thus the Sthāyibhāva is the dominant factor and the Vyabhicāribhāvas are only accessories, and when the former is properly developed the dominant factor is never lost sight of and there does not arise the obstacle of 'Apradhānatā' or the lack of something as the dominant factor.

The last is doubt in general. The Vibhāvas, Anubhāvas and the Vyabhicāribhāvas are not severally related to any specific Sthāyibhāva; for, e.g., tears (Anubhāva) may arise out of joy, sorrow or even some disease in the eye; tiger, etc. (Vibhāva) may rouse anger or fear; Bhrama (perplexity) and Cintā (contemplation), etc.,—Vyabhicāribhāva—may be the accessories of Utsāha and Bhaya. Thus where the death of a close relation is the Vibhāva, bewailing and shedding tears the Anubhāva, and contemplation, weakness, etc., the Vyabhicāribhāvas, there may arise a doubt regarding the particular Sthāyibhāva that is developed. To remove this doubt the word 'Samyoga' is used in the Rasasūtra. That is, when there is a specific combination of such Vibhāva, Anubhāva, etc., we know that the Sthāyibhāva developed is certainly Śoka and the Rasa, Karuṇa.

Thus these seven barriers are overcome in the manner indicated above. Then the Sahṛdaya, by his training, is already

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‘गलानोऽयम्’ इत्युक्ते ‘कुत’ इति हेतुप्रश्नेन अस्थायितास्य सूच्यते ।  
न तु ‘रामः उत्साहशक्तिमान्’ इत्यत्र हेतुप्रश्नमाहुः ।

able to understand the mental condition of others from the ordinary causes, the effects and the accessories in the world; and now through similar causes, etc., which, not being quite as real as they are in the ordinary world, come to be called by the names, Vibhāvas, Anubhāvas and Vyabhicāribhāvas, his mind becomes attuned to the situations represented, or in other words, through his complete imaginative sympathy he identifies himself with the situations, and he realises his own emotion that has been stirred and developed to a climax, free from all limitations and invariably accompanied by a thrill. This realisation is of the nature of pure joy, is not of the nature of a tangible object, is transcendental, is lasting only during the time of realisation and never beyond it and is different from the Sthāyibhāva; and this is called Rasa.

This realisation is said to be Alaukika, transcendental; *i.e.*, it is quite unlike the ordinary experiences of the world or it transcends the limits of worldly experience. Why is it called so? Firstly because it is not produced by the ordinary causes. Why? Cannot the Vibhāvas, Anubhāvas, etc., be regarded as the causes of the realisation of Rasa? What kind of causes are these? Are they Kārahetus, *i.e.*, causes which produce the Rasa or are they Jñāpakahetus, *i.e.*, causes which reveal things? They are neither of these. The former class of Hetus may be destroyed after the production of the effect, but the effect may continue to exist even after its destruction, as, *e.g.*, even after the destruction of the stick, the pot which it produced may continue to exist. But as soon as the Vibhāvas, etc., are withdrawn, the Rasapratīti also stops or the realisation of Rasa does not at all continue after the destruction of the Vibhāvas. Rasa is not kārya. The Vibhāvas are neither Jñāpakahetus, for in all such cases the causes reveal objects that previously exist, *e.g.*, a lamp when it reveals a pot that is in the dark, is said to be its Jñāpakahetu. Here the pot exists even before the lamp is brought to that place. But it cannot be admitted that Rasa exists even before the Vibhāvas. It is not Jñāpya either. So the Vibhāvas only suggest the Rasa that is enjoyed by us. Where do you find the like of such things that are neither Kārya nor Jñāpya? Nowhere are they seen, and that is why we say that Rasapratīti is Alaukika or that it transcends the limits of worldly experience. Secondly, by an examination of its psychological character also it can be established that it is transcendental. It is said that Rasapratīti is some blissful knowledge. If it should be knowledge,

it must be either Nirvikalpaka or Savikalpaka. The former is that cognition where there is no definiteness and which is devoid of any relations. But Rasapratīti is not absolutely unrelated, because it is only by the knowledge of the Vibhāvas, etc., that we have Rasapratīti, *i.e.*, the knowledge of Rasa involves the knowledge of the Vibhāvas, etc., in a generalised form; and so it is not Nirvikalpaka. Savikalpakajñāna is definite and is related to name, Jāti, etc. But Rasapratīti is utterly ineffable, and at the moment of realisation it is all but a composite and blissful experience transcending direct expression. So this is different from those two varieties of knowledge and hence is transcendental. Further there are various kinds of cognition and can this Rasapratīti be brought under some yogic realisation? There are two types of this, *viz.*, that of the Yūñjāna and the Yukta. The former is one who resorts to various yogic practices to attain union with the Supreme Spirit. The process of our experience of Rasa is something pleasant, quite unlike that of the Haṭhayoga, etc., of the Yūñjāna. Neither is this like the experience of a Yukta, *viz.*, a saint that has become one with the Supreme Spirit. For he realises the absolute Brahman, while the Saṅkhyā realises some peculiar bliss involving differences. Further in the highest yogic realisation it is neither life nor death. It is incompatible with both; but the realisation of Rasa is compatible with life and could be had only then. It cannot be brought under the realisation of the Absolute. So it is that we say that the Rasapratīti is unique and transcendental.

This theory of Abhinavagupta differs from that of Bhaṭṭa-nāyaka in this respect that the Sādharaṇikaraṇa or Bhāvakatva of the latter is brought under Vyañjanā and Bhoga under Rasapratīti.

Do these theories of Rasa solve the difficulties raised at the outset, *viz.*, (1) individualistic or self-regarding impulses; (2) the problem of the realisation of Karuṇa? The first two are useless and it is in the third and the last that the difficulties are overcome. The first difficulty is overcome by the Sādharaṇikaraṇa of Bhaṭṭa-nāyaka and the removal of the seven barriers, which Abhinava has clearly expounded. Abhinava solves the other difficulty by taking his stand on the fundamental tenets of the Sāṅkhya philosophy. He says in his commentary on the Rasasūtra

तत्र सर्वे अमी सुखप्रधानाः, स्वसंविचर्चणारूपस्य प्रकाशस्य आनन्दसार-  
त्वात् । तथा हि—एकघनशोकसंविचर्चणेऽपि लोके अस्ति लोकस्य हृदयविश्रान्तिः  
अन्तरायशून्यविश्रान्तिशरीरत्वात् (सुखस्य) अविश्रान्तिरूपतैव च दुःखं ; तत  
एव कापिलैः दुःखस्य चाञ्चल्यमेव प्राणत्वेनोक्तं रजोवृत्तितां वदद्भिः इत्यानन्दरूपता  
सर्वरसानाम् । किं तु उपरञ्जकविषयवशात् तेषामपि कटुकतास्पर्शोऽस्ति वीरस्येव (?)  
सा हि कैशसहिष्णुतादिप्राण एव । (p. 283, Abh. Bh., Vol. I, G. O. S.)

All Rasas are pleasurable ; but Abhinava fully realises the difference between the various emotional moods that become Rasas and he is aware that the emotional mood pertaining to Karuṇa is Śoka or grief ; and how could this lead to the realisation of joy ? He says that even at the time of Karuṇapratīti, the mind is completely absorbed in the scenes represented and this absorption is invariably associated with a certain equipoise of the mind ; and it is this equilibrium of the mind, free from any disturbance, that is called Sukha or Bliss ; and Duḥkha or pain is only the oscillation of the mind due to the disturbance of this equipoise. This is in consonance with the view of the Sāṅkhya philosophers who hold that the essence of pain consists in the restless condition of the mind ; and when this restlessness is removed, the mind is in a state of complete rest ; and that is joy. Pleasure has two aspects, a positive and a negative. In the realisation of love it has the positive as well as the negative aspect ; i.e., by nature it is pleasurable, and when there is complete absorption of the mind there is also the negative aspect. In Karuṇa, there is no positive aspect of pleasure, for by nature, it is painful. But the mental absorption here is very deep and complete ; and pleasure in its negative aspect is realised in an intense form. Here there is the possibility of a slight touch of bitterness, but that does not at all show itself out and it is submerged in the intense realisation of pleasure. Thus on a philosophic basis we have shown how tragic scenes are quite pleasurable, while human nature is averse to experiencing pain.

## II. Śāntarasa.

Bharata and following him all writers on poetics have classified the emotional moods into dominant and transitory, and they have also shown how the dominant emotional moods are permanent and enduring and that they alone are developed into Rasas. The basis of this classification into transitory

and dominant is, as has been indicated before, their relation to the ends of life. Such of them as are directly associated with some *Puruṣārtha*, are dominant and these have their permanent impressions or *vāsanās* in all human beings; they are called forth under certain conditions and realised in an ecstasy. These *Sthāyibhāvas* that are developed as *Rasas* have been accepted generally to be eight, *viz.*, *Rati*, *Hāsa*, *Śoka*, *Krodha*, *Utsāha*, *Bhaya*, *Jugupsā* and *Vismaya*; and the corresponding *Rasas* are called, *Sṛṅgāra*, *Hāsyā*, *Karuṇa*, *Raudra Vira*, *Bhayānaka*, *Bibhatsa* and *Adbhuta*. *Abhinava* deals elaborately with the development of each one of these in the sixth chapter of the *Abhinavabhāratī*. All these are related in some manner or other to the first three ends of life, *viz.*, *Dharma*, *Artha* and *Kāma*. Similarly can *Śama*, quietude or the calm mental condition of the sage which is directly related to the highest end of life, *viz.*, salvation, be developed into a pleasurable *Rasa*, called *Śānta*?

Those who accept *Śānta* to be an additional, ninth *Rasa* say that *Śama* is the *Sthāyibhāva* of *Śānta*. Its *Vibhāvas* are austerities, study, etc. *Anubhāvas* are the absence of *Kāma*, *Krodha* and other passions. *Vyabhicāribhāvas* are *Dhṛti*, *Matī*, etc. This view is disputed by many others and they say that it is contrary to the teachings of *Bharata*. *Bharata* has enumerated the *Bhāvas* to be forty-nine and *Śama* is not one of them. If this should be accepted to be a *Bhāva* the number would exceed the limit fixed by him. Further, in the same way as the spring season, flowers, etc., excite love, austerities and study do not bring about *Śāntarasa* but only *Tattvajñāna* or the knowledge of eternal truths, and so austerities, etc., cannot be the *Vibhāvas* of *Śānta*. Absence of *Kāma*, etc., also cannot be *Anubhāvas*, for how could the absence of *Kāma* or *Krodha*, in other words, the negation of an action be represented on the stage? *Dhṛti* (fortitude), etc., which are related to sensual enjoyment cannot be the *Vyabhicāribhāvas* of *Śānta*. So there does not exist a *Rasa*, called *Śānta*.

To this *Abhinava*, following apparently *Ānandavardhana* replies: It is well known that in the same manner as the three *Puruṣārthas*, *Dharma*, etc., are expounded in the *Śāstras*, *Itihāsas*, etc., the fourth, *viz.*, *Mokṣa* or liberation and the means to attain it are fully dealt with in those works. And if the emotional moods pertaining to *Kāma*, etc., called *Rati*, etc., could be developed by the activity of the poet and the actors to be *Rasas* like *Sṛṅgāra*, etc., that appeal to those who have a responsive heart to those

emotional moods, why should not the emotional mood pertaining to the highest *Puruṣārtha*, *Mokṣa*, be developed to be *Rasa* that appeals to those whose minds respond to this feeling? And the emotional mood, whatever it may be, pertaining to this is the *Sthāyibhāva* of *Śānta*.

What is this emotional mood? Some hold that it is *Nirveda*, renunciation or aversion for all worldly enjoyments born of the knowledge of eternal truths.<sup>1</sup> This should have been the intention of *Bharata* also, who places 'Nirveda' just after the *Sthāyibhāvas* and at the head of the *Vyabhicāribhāvas*.<sup>2</sup> Otherwise why should this 'Nirveda'—not a happy feeling and not auspicious either—be placed in the forefront of the *Vyabhicāribhāvas* by that sage, who cares for auspiciousness. This view is not sound; for *Nirveda* is the aversion for all worldly enjoyments and it aids in the attainment of the knowledge of eternal truths; and it is not correct to say that the knowledge of eternal truths—*Tattvajñāna*—leads to *Nirveda*. *Śrī Śaṅkara* also enjoins as a pre-requisite of the study of *Vedānta*, which is the source of all knowledge of eternal truths, an aversion for or indifference to all enjoyments celestial as well as mundane [*इहामुत्र भोगविरागः*. B.S. I-i-i.], and it is nothing but *Nirveda*; and after the acquisition of *Tattvajñāna* one does not get *Nirveda*, but attains salvation. So it cannot be *Nirveda*.

Others hold that it is anyone of the eight well-known *Sthāyibhāvas*. And primarily as the means to salvation is the love of *Ātman* that is one continued state of bliss, love or *Rati* of the Self is the *Sthāyibhāva* here. Moreover the sage that seeks liberation finds the whole world a complex of incongruities (*Hāsa*), something that must be pitied (*Śoka*), and that is mischievous (*Krodha*); even the loveliest objects like young ladies are loathsome to him (*jugupsā*) and he wonders at the elevation of his own self (*Vismaya*). Thus any one of these may be the *Sthāyibhāva*. This is not correct; for there is no definite *Sthāyibhāva*.

1. किनामासौ (स्थायिभावः) तत्त्वज्ञानजः निर्वेदः । स्थायिसञ्चारिमध्ये चैतदर्थमेवायं पठितः । अन्यथा माङ्गलिकः मुनिः तथा न पठेत् ।

Abh. bh., Chap. VI.

2. *Mammaṭa* appears to have followed this view when he says :—  
निर्वेदस्यामङ्गलप्रायस्य प्रथमं अनुपादेयत्वेऽप्युपादानं व्यभिचारित्वेऽपि स्थायि-  
ताभिधानार्थम् ।

for Śānta ; and if the Sthāyibhāvas should be numerous and different, Rasa also should be many. If it is said that all these culminate in salvation and hence, though apparently different they are one entity, then as Vira and Raudra result in destruction they too should be regarded as identical. Some others again are of opinion that a harmonious blend or a commingling of all the emotional moods is the Sthāyibhāva. This too is not sound ; for the different moods cannot be present at the same time and they are antagonistic to one another.

What then is the Sthāyibhāva here? Tattvajñāna alone leads to Mokṣa and hence it is but proper to say that this Tattvajñāna is the Sthāyibhāva. This is nothing but Ātmajñāna or knowledge of the self. Thus the Sthāyibhāva is that self free from all desires and endowed with pure knowledge and bliss. It is not regarded Sthāyī, merely because it is permanent like Rati, etc. These are developed by the respective causes and suppressed when the latter are withdrawn ; and as they, capable of being suggested occasionally, reside in the permanent Ātman, they are called permanent emotional moods. But Tattvajñāna is the source of all the emotional moods; in other words it is the wall on which the pictures, viz., the Bhavas, are drawn. It is the highest of the Sthāyibhāvas, and it has even the permanent emotional moods, Rati, etc., as accessories to itself, and it is intrinsically and by nature always a Sthāyibhāva. This is why there was no necessity for Bharata to refer to this separately. Thus this view does not come into conflict with the statement of Bharata that the Bhāvas are only forty-nine in all. Moreover after mentioning the Rasas, Bharata's intention is only to refer to the Vyabhicāribhāvas and not the Sthāyibhāvas as such; and as the Sthāyibhāvas also function as Vyabhicāribhāvas he refers to them all only in their latter capacity ; for, otherwise, how is it proper for him to say that the Bhāvas are forty-nine as if they form a single category? This Tattvajñāna is not accessory to anything else and it is the character of Ātman itself and it is called by Bharata, says Abhinava, by the name, Śama.<sup>1</sup> When the Ātman is conditioned by extraneous objects and ideas it develops the sense of love, anger,

1. Cf. Locana, p. 177.

प्रतीयत एवेति—मुनिनाप्यङ्गीक्रियत एव “कचिच्छमः” इत्यादिवदता ।

Evidently Abhinava adopts a reading of Bharata's Kārikā that enumerates the Sthāyibhāvas with कचिच्छमः

etc.; when its character is realised, pure and unconditioned by anything, by the sage in his deep meditation, it is quietude itself. Here the Vibhāvas are the favours of the highest gods, etc., and the emotions like Rati, etc., should be represented to perish rapidly. And in the same manner as certain Vyabhicāribhāvas are prominently developed with reference to particular Rasas as, e.g., Autsukya in Vipralambha, Augrya in Raudra so also disgust, etc., should be primarily developed here; for it is essentially the opposite of all desires. And any person who has realised the true nature of the self is always eager to do good to others; and this is why some people call Śānta, Dharmavīra or Dayāvīra.

Now this question is raised. Is not Śānta opposed to Dharmavīra or Dayāvīra? The latter are essentially egoistic while the former is the very negation of the ego. True; but even opposites may function as Vyabhicāribhāvas as, e.g., Nirveda is a Vyabhicāribhāva of Rati. There is no state in which there is absolute negation of Utsāha, born of the sense of the ego; for in that state there would be no desire or effort to do anything and it would be only like inert stone. To the sage who has realised the eternal truths there is nothing to be done for himself, and he endeavours to give his all including his body for the benefit of others; and such an act is not at all opposed to Śānta. Injunctions like “आत्मानं वा गोपयेत्” ‘Protect yourself or body’ are addressed only to those who have not realised the truths. This body is only an instrument to attain the highest ends of life; and the Sannyāsin who has realised the highest ends may dispose of his body in any manner he pleases; and when it has to be disposed of, should it be disposed of to benefit another, is that not commendable? Jimūtavāhana, the hero of the Nāgānanda, though not a Sannyāsin, certainly has knowledge of the truths; otherwise such a sacrifice of the body is not possible in those who confuse the body with the soul and nourish it as their all. In battle the soldier does not give up his body for another, but his effort is only to overcome another. Even in ‘Bhṛgupatana’, i.e., in the falling from a precipice of a person who is afflicted with a fell, incurable disease, the purpose is mainly to get a better body in the next birth. Here the motive is selfish. But whatever is done with an unselfish motive and only to benefit others, beginning from teaching, gifts, etc., up to the sacrifice of the body, is not possible in any but those who have realised the eternal truths; and such persons like Jimūtavāhana, are certainly Tattvajñānins.



And it is quite possible to obtain salvation in any state of life, not merely in the state of the Sannyāsin. Hence the great doings of such unselfish and noble men are quite appealing to all the world, and they ought to be portrayed in dramatic literature.

Thus the existence of the Śānta Rasa must be admitted without a shadow of doubt. And in some old manuscripts of the Nāṭyaśāstra, says Abhinava, there is found a reference to the Rasa, Śānta and its Sthāyibhāva, Śama, just before the elaboration of the Rasas in the sixth chapter.<sup>1</sup> Moreover the realisation of all Rasas, being free from sensual enjoyments, is essentially of the nature of Śānta; and when this Śānta is conditioned by certain other impressions or emotional moods, it appears in the form of other Rasas and it is to bring out this aspect, —viz., that it is the source of the other Rasas—that it is mentioned by Bharata at the head of all the Rasas. In other words all the Rasas are synthesised in Śānta. Says the poet :—

स्वं स्वं निमित्तमासाद्य शान्ताद्भावः प्रवर्तते ।

पुनर्निमित्तापाये तु शान्त एव प्रलीयते ॥

“All emotions, when their respective conditions or excitants obtain, proceed from Śānta; and when the conditions are withdrawn they again merge or repose in Śānta.” Thus Śānta is not merely one of the Rasas, but it is the vitalising energy of all the other Rasas.

It is accepted by all that the Rasas are only nine, dealt with above, as these alone are the most pleasurable and are associated with the ends of life. It is not a mere fad of a clique or coterie to say, as some do, that even though there are other Rasas they are restricted to nine by the opinions of a particular school of thought.<sup>2</sup> It can be easily shown how the other Rasas could be

1. तस्मादस्ति शान्तो रसः । तथा च चिरन्तनपुस्तकेषु “स्थायि-  
भावान् रसत्वमुपनेष्यामः” इत्यनन्तरं, शान्तो नाम शमस्थायिभावात्मक  
इत्यादिशान्तलक्षणं पठ्यते । Abh. bh., Chap. VI.

2. एवं नवैव रसाः, पुमर्थोपयोगित्वेन रञ्जनाधिक्येन वा इयतामेवोपदेश्य-  
त्वात् । तेन रसान्तरसंभवेऽपि पार्षदप्रसिद्धया सङ्ख्यानियम इति यदन्यैरुक्तम्  
तत्प्रवृत्तम् ॥ Abh. bh., Chap. VI end.

brought under one or the other already recognised, *e.g.*, *Sneha* or friendship, *Vātsalya* or affection can be classed under *Rati*.

Thus by his remarkable powers of logical argumentation, Abhinava established for all time that *Dhvani* and *Rasa* form the soul of poetry and that all other elements contribute only to their nourishment. He criticised *Bhaṭṭanāyaka*'s conceptions of *Bhāvakatva* and *Bhoga* in the realisation of *Rasa* and showed that the principle of *Vyañjanā* should be accepted instead. He explained the psychology of the realisation of *Rasa*; and he clearly indicated how the seven natural impediments in the way of the realisation of *Rasa* are got over through poetry and action, how even the realisation of painful emotions like pathos is pleasurable, how contrary and allied *Rasas* should be combined to produce the highest dramatic effect, why *Śānta* should be given a distinct place among the *Rasas* and furthermore how all the *Rasas* converge in *Śānta* and give it the first place among them. (*Abh. bh.*, Chap. VI ; *Locana*, p. 178.)

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## CHAPTER IX.

### KUNTAKA.

Almost contemporaneous with Abhinavagupta appeared two rival schools—the Vakrokti and the Anumāna—represented by Kuntaka and Mahimabhaṭṭa. The former holds that Vakrokti or a certain striking deviation from the ordinary mode of expression of ideas constitutes the essence of poetry; while the latter contends that the process of poetic realisation is not, as the Dhvani school holds, Vyañjanā or suggestion, but a peculiar variety of immediate inference called Kāvya-numiti.

There is no unmistakable evidence available to determine the exact date of Kuntaka, the author of the Vakroktijīva. He is no doubt later than Rājaśekhara, (880-920) from whose works, the Bālarāmāyaṇa and the Viddhasāla, he quotes. He must be earlier than Mahimabhaṭṭa who cites his definition of poetry and the illustration thereof and severely criticises them indulging in personal attacks.<sup>1</sup> (Vyakti, pp. 28, 37 and 58). Mahimabhaṭṭa probably lived about the first quarter of the 11th century and Kuntaka, who appears to have been his elder contemporary, might have lived about 1000 A.D. This date makes Kuntaka a contemporary of Abhinavagupta also, and the question naturally arises; were they

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1. Vyakti. p. 28.

यत् पुनः—

शब्दार्थौ सहितौ वक्रकविव्यापारशालिनि ।

ब्रन्धे व्यवस्थितौ काव्यं तद्विदाह्लादकारिणि ॥

इत्यादिना etc.

Vakrokti-j. 1-8, p. 7.

Vyakti. p. 37.

विधेयाविमर्शो यथा—

संरम्भः करिकीटमेषशकलोद्देशेन सिंहस्य यः etc.

Vakrokti-j. p. 17, etc., I. 27.

Vyakti. p. 58.

काव्यकाञ्चनकषाशममानिना कुन्तकेन निजकाव्यवर्त्मनि ।

यस्य सर्वनिरवयवतोदिता श्लोक एष विनिर्दिशितो मया ॥

known to each other ? There is no reference in the whole of the Vakroktijivita to the Locana or the Abhinavabhārati, but this idea, viz., that poets use words in the feminine in preference to masculine and neuter to give a certain sweetness to language, is expressed in the Locana and the Vakroktijivita in very similar terms.<sup>1</sup> (Locana, p. 160 and Vakrokti-j., pp. 33 and 93.) There is also a passage in the Abhinavabhārati which calls for some notice.<sup>2</sup> (Abh. bh., Vol. II, Chap. XIV, p. 366.)

1. Locana, p. 160.

तथा हि—‘तटी तारं ताम्यति’ इत्यत्र तटशब्दस्य पुंस्त्वनपुंसकत्वे अनादृत्य स्त्रीत्वमेवाश्रितं सद्दयैः “स्त्रीति नामापि मधुरं” इति कृत्वा ।।

Vakrokti-j., p. 93.

सति लिङ्गान्तरे यत्र स्त्रीलङ्गं च प्रयुज्यते ।

शोभानिष्पत्तये यस्मान्नामैव स्त्रीति पेशलम् ॥

Vakrokti-j., p. 33.

अन्यदपि लिङ्गवैचित्र्यवक्रत्वं—यत्रानेकलिङ्गसम्भवेऽपि सौकुमार्यात्कविभिः स्त्रीलिङ्गमेव प्रयुज्यते “नामैव स्त्रीति पेशलं” इति कृत्वा यथा—एतां पश्य पुरस्तटीम् ॥

2. Abh. bh., Chap. XIV, Vol. II, p. 366.

नामाख्यातनिपातैरुपसर्गसमासतद्धितैर्युक्तः ।

सन्धिविभक्तिषु युक्तो विज्ञेयो वाचिकाभिनयः ॥

N. S. XIV. 4. (Manuscript Copy of the Madras Library.)

Abhinava on this.

विभक्तयः सुप्तिङ्वचनानि; तैः कारकशक्तयोऽपि सरन्ति, उपग्रहाश्चोपलक्ष्यन्ते । यथा—“पाण्डिन्मि मग्नं वपुः” इति । वपुष्ये (?) संछन्नकर्तृत्वं तदायत्तां पाण्डिन्मन्वाधारतां गदस्थानीयतां द्योतयन्नतीव रञ्जयति । न तु पाण्डुस्वभावं वपुरिति । एवं कारकान्तरेषु वाच्यम् । वचनं यथा “पाण्डवा यस्य दासाः” सर्वे च, पृथक् केत्यर्थः । तथा वैचित्र्येण ‘त्वं हि रामस्य दैराः’ । किं यथा कीर्तिस्तव स्वेतः, न तु श्वेतं यशस्तावत् । उपग्रहः—“कुर्वीणा भुजशालिनः” न तु कुर्वतो भुजशालित्वम् । लिङ्गं “परिमृदितमृणालीकोमलान्यङ्गकानि” । आ समन्तादिति वैचित्र्यं यथा “आपातेऽपि विकारकारणमहो वक्त्राम्बुजन्मासवम्” नियुज्यते क्रियायामिति युक्तः । धातुवैचित्र्यं “प्रस्तं कुलान्ते जगतः” न तु भुक्तम् ; प्रसतिः खदनमात्रे परिभाषितः । अपि वा सातिशयसंरम्भः कुले तत्र वर्तते । पूर्ववदत्रापि द्वन्द्वान्मत्वर्थीयः । एतदेवोपजीव्य आनन्दवर्धनाचार्येणोक्तम्—“सप्तिङ्वचनेत्यादि” । अन्यैरपि सुबादिवक्रता इति ॥

Abhinava commenting upon the word 'Vibhakti' in the verse defining Vācikābhinaya, explains clearly the poet's skilful use of suggestive case-endings, and tenses and voices of verbs to impart a certain beauty and charm to poetry, and remarks at the end thus :—This is made use of by Ānandavardhana and put into his Kārikā beginning 'सुतिङ्गचन' etc. (Dhv. p. 153) ; and by others it is stated as 'सुवादिवक्ता'. To whom does Abhinava refer by the term 'others' अन्यैः ? The Vakratā of the components of words expounded by Kuntaka agrees in spirit with Dhvanikāra's conception of their suggestiveness and the poetic use of them explained in the Abhinavabhārati. But nowhere in the Vakrokti-jīvita do we find any Kārikā with the words 'सुवादिवक्ता' though there is cited there (Vakrokti-j., p. 32) the same illustration पाण्डिनि ममं वपुः the one given in the Bhārati. These do not clearly point to the acquaintance of Abhinava with Kuntaka's work, but they leave a strong presumption in favour of the view that Abhinava was distinctly aware of the conception of 'Vakratā' according to the school represented by Kuntaka and possibly of the Vakrokti-jīvita also. If Abhinava had known this work, why did he not refute the rival doctrine propounded therein ? Probably the Vakrokti-jīvita appeared late in the life of Abhinava ; and though it put forward a different theory, it did not demand serious consideration from a great thinker like Abhinava, because it recognised adequately the importance of Dhvani and Rasa in poetry and its author showed great respect to Dhvanikāra as we shall presently see. It is very likely that Abhinava contented himself with a passing reference to the novel theory of Kuntaka. Until it is proved that Abhinava lived much earlier than Kuntaka, the passage from the Abhinavabhārati would lead to the presumption that Abhinava was acquainted with the theory of Vakratva as propounded by him. If the above view is correct Kuntaka's literary activity should have been primarily in the first decade of the eleventh century.

The Vakrokti-jīvita consists of four chapters. It treats of the subject by enunciating the fundamental principles in short Kārikās and then elucidating them by prose comments and illustrative verses. Both the Kārikā and the prose Vṛtti appear to be of common authorship. The manuscript of the work as we have it in the Madras Oriental Library, is corrupt especially in the third and the fourth chapter. Even the Kārikās are missing in many

places, and they have often to be reconstructed from the words commented upon in the prose *Vṛtti*. I have attempted to get at such original *Kārikās* wherever possible in all the four chapters, but the first two chapters of the work have now been made available by their publication in the Calcutta Oriental series. The third and fourth chapters are so corrupt that, without the aid of other manuscripts, their publication will be almost impossible. Yet it is quite possible to make out the general sense of the principles expounded therein.

Kuntaka's conception of poetry is stated by him in Chapter I, *Kārikā* 8. Poetry is well-matched form and content—where sounds beautifully vie with sounds and ideas with ideas (*परस्परस्पर्धित्वलक्षणं साहित्यम्* p. 11)<sup>1</sup>—set in the composition of the poet whose activity deviates from the general mode (of activity involved in the writing of philosophical or scientific treatises) and which—the composition—gives that aesthetic pleasure to those who know its true nature. This deviation in the activity of the poet is, as Maṅkhaka puts it in his *Śrīkaṇṭhacarita* (Canto II, 11 and 14), like the beautiful curve of the crescent moon and quite unlike that of the dog's tail.<sup>2</sup> Significant words and their senses form the body of poetry and *Vakrokti* consisting in the clever and dexterous choice of words and ideas, forms its embellishment.<sup>3</sup> (P. 20, Chap. I, 11.) Kuntaka brings under *Vācakaśabda* and *Vācārtha* not only words of primary significative capacity and their primary senses but also suggestive words and suggested ideas.<sup>4</sup> (P. 14.) These by

1. See page 118 fn. 1.

2. Maṅkhaka. *Śrīkaṇṭhacarita*.

II. 11. वाणी किमेणाङ्गकलेव धत्ते टङ्कं विना वक्रिमविभ्रमेण ।

II. 14. ...किं तुच्छश्चपुच्छच्छटयेव वाचा ।

3. *Vakrokti*-J., p. 20.

• एवं पदार्थयोः प्रसिद्धस्वरूपातिरिक्तमन्यदेव रूपान्तरमभिधाय न तावन्मात्रमेव काव्योपयोगि, किं तु वैचित्र्यान्तरविशिष्टमित्याह—

उभावेतावलंकार्यौ तयोः पुनरलङ्कृतिः ।

वक्रोक्तिरेव वैदग्ध्यभङ्गीभणितिरुच्यते ॥

4. *Vakrokti*-J., p. 14.

वाच्योऽर्थो वाचकः शब्दः प्रसिद्धमिति यद्यपि ।

तथापि काव्यमार्गेऽस्मिन्परमार्थोऽयमेतयोः ॥



themselves do not constitute poetry, but they do, only when adorned by that jewel, Vakrokti.

Six varieties of Vakrokti are distinguished.<sup>1</sup> (Chap. I, Kārikās 19-22.) (i) Varnavinyāsavakratā corresponding to the Śabdālaṅkāras, (ii) Padapūrvārdhavakratā or the choice of word-stems, (iii) Pratyayavakratā or the use of affixes, (iv) Vākyavakratā under which all the Arthālaṅkāras are brought, (v) Prakaraṇavakratā or the choice of, or the change made in, the incidents of the plot and (vi) Prabandhavakratā or the construction of the plot itself. In the first chapter the general conception of all these varieties is fully explained with reference to suitable illustrations. The second chapter takes up for detailed consideration the first three varieties. In the third is dealt with Vākyavakratā and in the fourth Prakaraṇavakratā and Prabandhavakratā. The manuscript breaks off towards the end of the fourth chapter, and most probably, as all the varieties of Vakratā mentioned in the first chapter have been

ननु च द्योतकव्यङ्ग्यकावपि शब्दौ सम्भवतः, तदसंग्रहान्न काव्याव्याप्तिः, यस्मादर्थप्रतीतिकारित्वसामान्यादुपचारात्तावपि वाचकावेव । एवं द्योत्यव्यङ्ग्ययो-  
रर्थयोः प्रत्येयत्वसामान्यात् उपचाराद्वाच्यत्वमेव । तस्माद्वाचकत्वं वाच्यत्वं च  
शब्दार्थयोर्लोके सुप्रसिद्धं यद्यपि लक्षणम्, तथाप्यस्मिन्नलौकिके मार्गे कविकर्म-  
वर्त्मनि अयमेतयोर्वक्ष्यमाणलक्षणः परमार्थः किमप्यपूर्वं तत्त्वमित्यर्थः । कीदृश-  
मिलाह—

शब्दा विवक्षितार्थैकवाचकोऽन्येषु सत्त्वपि ।

अर्थः सहृदयाह्लादकारित्वस्पन्दसुन्दरः । Vakrokti-J., p. 15.

1. Vakrokti-J., Chap. I, Kārikās 19-22.

कविव्यापारवक्रत्वप्रकाराः सम्भवन्ति षट् ।

प्रत्येकं बहवो भेदास्तेषां विच्छित्तिशोभिः ॥

वर्णविन्यासवक्रत्वं पदपूर्वार्धवक्रता ।

वक्रतायाः परोऽप्यस्ति प्रकारः प्रबन्धयात्रयः ॥

वाक्यस्य वक्रभावोऽन्यो मिषते यः सहस्रधा ।

यत्रालंकारवर्गोऽसौ सर्वोऽप्यन्तर्भविष्यति ॥

वक्रभावः प्रकरणे प्रबन्धेऽप्यस्ति यादृशः ।

उच्यते सहजाहार्यसौकुमार्यमनोहरः ॥

dealt with there was no further chapter, and many leaves are not wanting to complete the work.

Though Kuntaka belongs to a different school of critical thought, he fully recognises the individuality of Dhvani (suggestion and suggested element) in poetry and also its contribution to aesthetic pleasure.<sup>1</sup> (Vakrokti-J., Chap. I, pp. 42-4.) While refusing the dignity of Alaṅkāra to Parivṛtti in the third Chapter<sup>2</sup> (p. 167) he would treat it as Alaṅkārya (that which is to be adorned) involving suggestion which is always delectable. He agrees at the same time with Dhvanikāra's threefold classification of the suggested ideas into Vastu, Alaṅkāra and Rasa. (Dhv. p. 15.) Par-yāyavakratā, where words with a double meaning are used to lead to the suggestion of some metaphor or simile, is expressly stated by him to come within the province of a particular variety of Dhvani called Śabdaśaktimūladhvani, and he even cites the same

1. Vakrokti-J., pp. 42-44.

P. 42. पुनर्विचित्रमेव प्रकारान्तरेण लक्षयति—

यत्रान्यथाभवत्सर्वमन्यथैव यथारुचि ।

भाव्यते प्रतिभोल्लेखमहत्त्वेन महाकवेः ॥

P. 43. कविना नूतनत्वेन यदुल्लिखितं तदतीव प्रतीयमानमहत्त्वव्यक्तिपरत्वेन चमत्कारकारितां प्रतिपद्यते ।

विचित्रमेव प्रकारान्तरेणोन्मीलयति—

प्रतीयमानता यत्र काव्यार्थस्य निबध्यते ।

वाच्यवाचकवृत्तिभ्यां व्यतिरिक्तस्य वस्तुनः ॥

P. 44. एष च प्रतीयमानव्यवहारः वाक्यवक्रताव्याख्यानावसरे सुतरां समुन्मील्यते ।

2. Vakrokti-J., Chap. III, p. 167.

न तु परिवृत्तेः अत्यन्ताभावोऽस्माभिरभिधीयते वर्णनीयत्वादलंकृतिर्न भवतीत्यस्माकं अभिप्रायः । न च प्रतीयमानतामात्रं अलंकरणत्वसाधनं, अलंकार्यवस्तुमात्रेऽपि तस्याः सम्भवात् । तथा चैतदेवोदाहरणम् । न च प्रतीयमानं तदलंकरणं तद्विदाह्यादकारित्वादिति युज्यते वक्तुम् । अलंकार्येऽपि तद्विदाह्यादकारित्वदर्शनात् ; वस्तुमात्रं अलंकारा रसादयश्चेति त्रितयोपपत्तेश्च ।

illustrations given by Ānandavardhana.<sup>1</sup> (Dhv. pp. 99, 127; Vakrokti-J., Chap. II, pp. 75-6) Upacāravakratā enlivens many Alaṅkāras like Rūpaka, etc. (p. 83). These are dealt with in the third chapter. Many of them are two fold, express and suggested. 'Suggested Rūpaka' is illustrated by a verse of Ānanda cited by him also as an instance of Rūpakadhvani.<sup>2</sup> (Vakrokti-J., Chap. III, p. 142; Dhv. p. 110.) Suggested Upamā covers many Alaṅkāras like Dīpaka, Nidarśana, etc. Suggested superiority (Vyatireka) is also admitted and justified by a reference to Ānanda's definition of Dhvani.<sup>3</sup> (Vakrokti-J., Chap. III, 174-5.) In the first Kārikā of the third chapter, the term 'Vakraśabda' and not 'Vācakaśabda' is used in order to show that description

1. Vakrokti-J., Chap. II, pp. 75-6.

पर्यायवक्रतां पुष्णाति । यस्मादेवंविधे विषये प्रस्तुतस्य अप्रस्तुतेन सम्बन्धोपनिबन्धः रूपकच्छायासंस्पर्शात् । गौर्वाहीक इत्यनेन न्यायेन सादृश्यनिबन्धनस्योपचारस्य सम्भवद्वारेण कदाचिदुपमामुखेन वा यथा—स एवायं स इवायमिति वा—एष शब्दशक्तिमूलानुरणनरूपव्यङ्ग्यस्य पदध्वनेर्विषयः । बहुषु चैवंविधेषु सत्सु वाक्यध्वनेर्वा । यथा “कुसुमसमयमुपसंहरन्तुल्लमल्लिकादृहासो व्यजृम्भत ग्रीष्माभिधानो महाकालः”

Dhv. p. 99.

यथा—वृत्तेऽस्मिन्महाप्रलये धरणीधारणायाधुना त्वं शेष इति

Dhv. p. 127.

अत्र युगादयः शब्दाः प्रस्तुताभिधानपरत्वेन प्रयुज्यमानाः सन्तोऽप्यप्रस्तुतवस्तुप्रतीतिकारितया कामपि काव्यच्छायां समुन्मीलयन्तः प्रतीयमानालंकारव्यपदेशभाजनं भवन्ति ।

2. Vakrokti-J., Chap. III, p. 142.

प्रतीयमानं (रूपकं) यथा—

लावण्यकान्तिपरिपूरितदिङ्मुखेऽस्मिन् etc.

Dhv p. 110.

अत्र त्वन्मुखमिन्दुः इति रूपकम् प्रतीयमानतया कविनोपनिबद्धम् ।

3. Vakrokti-J., pp. 174-5.

व्यातिरेकमभिधत्ते—

शब्दः प्रतीयमानो वा स द्विविधः सम्भवति ।

तदिदमुक्तम्— यत्रार्थः शब्दो वा तमर्थमुपसर्जनीकृतस्वार्थौ ॥ etc.

Dhv. p. 33.





is possible not only through express words but also suggestive words and suggested ideas.<sup>1</sup> (Vakrokti-J., Chap. III, p. 98.) Thus Kuntaka never denies the general concept of Dhvani nor does he include it under Bhakti (meaning Lakṣaṇā) or Upacāra. As the function of Dhvani agrees with that of Vācakaśabda and Vācyārtha in the expression of the speaker's intention, Vyañjakaśabda and Vyaṅgyārtha are called, by courtesy, Vācakaśabda and Vācyārtha.<sup>2</sup> (Chap. I, p. 14.)

His attitude towards Rasa also is not antagonistic. The picture of the doings of Gods, demi-gods and men animated by the sweet Rasas, like love, forms a principal function of the poet.<sup>3</sup> (Vakrokti-J., Chap. III, pp. 107-8.) The dignity of Alaṅkāra is denied to Rasavad, Preyas, Ūrjasvi and Samāhita as conceived by earlier writers, for these, like Svabhāvokti, form the subject of treatment or Alaṅkārya.<sup>4</sup> (Vakrokti-J., Chap. I, pp. 21-3; Chap. III, pp. 113, 123, 124-126 and 129.) Here the definitions and illustra-

1. Vakrokti-J., Chap. III, p. 98.

वाक्यवक्रता —

उदारस्वपरिस्पन्दसुन्दरत्वेन वस्तुनः ।

वक्रता वक्रशब्दैकगोचरत्वेन वर्णनम् ॥

वक्रशब्दैकगोचरत्वेन—वक्रो योऽसौ नानाविधवक्रताविशिष्टः शब्दः कश्चिदेव वाचकविशेषः, विवक्षितार्थसमर्पणसमर्थस्यैव एकस्य केवलस्य, गोचरत्वेन प्रतिपाद्यतया विशेषणत्वेन । वाच्यत्वेनेति नोक्तः, व्यङ्ग्यत्वेनापि प्रतिपादनसम्भवात् ।

2. Vakrokti-J., p. 14.

उपचारात्तावपि वाचकावेव See page 122.

See contra Dr. S. K. De, Vakrokti-J., Introduction, pp. 27-29.

3. Vakrokti-J., Chap. III, pp. 107-8.

मुख्यं सुरासुरादि सत्कवीनां वर्णनास्पदम् ।

भवत्यक्रिष्टरत्यादिपरिपोषमनोहरम् ॥

4. Vakrokti-J., Chap. I, pp. 21-23.

अलंकारकृतां येषां स्वभावोक्तिरलंकृतिः ।

अलंकार्यतया तेषां किमन्यदवतिष्ठते ॥

...ते सुकुमारमानसत्वाद्विवेकशेखरेषिणः ।

tions of these Alaṅkāras given by Bhāmaha, Daṇḍin and Udbhaṭa are severely criticised, and Udbhaṭa is ridiculed for his inconsistency in being an ardent follower of Bharata and at the same time recognising Ūrjasvi to be an Alaṅkāra.<sup>1</sup> Dhvanikāra's definition of Rasavad is respectfully shelved aside and interpreted differently,<sup>2</sup> (Chap. III, p. 118.) Then he enunciates his peculiar conception of Rasavad which, being the life of all Alaṅkāras, forms the very

*Ibid.*, Chap. III, p. 113.

न रसवदलंकारो वर्ण्यमानस्य वस्तुनः ।

स्वरूपादतिरिक्तस्यापरस्याप्रतिभासनात् ॥

*Ibid.*, p. 123.

एतदेव प्रकारान्तरेण प्रत्याख्यातुमुपक्रमते—

अन्यत्रादर्शनादप्युपमादेरिव च क्वचित् ।

नालंकरणता युक्ताविभूषणत्वात्प्रेयसः ॥

( युक्ता अविभूषणत्वात् )

Vakrokti-J., Chap. III, p. 124.

एवमलंकरणतां प्रेयसः प्रत्यादिश्य वर्णनीयशरीरत्वात् तदेकरूपाणामन्येषां प्रत्यादिशति—

ऊर्जस्व्युदात्ताभिधयोः पौर्वापर्यप्रणीतयोः ।

अलंकरणयोस्तद्विभूषणत्वं न विद्यते ॥

*Ibid.*, Chap. III, p. 126.

उदाहरणमेवोर्जितम् [The instance of ऊर्जस्वि given by Bhāmaha.]

तदेवमयं प्रधानचेतनलक्षणोपाकृतातिशयविशिष्टचित्तवृत्तिविशेषवस्तुस्वभाव एव मुख्यतया वर्ण्यमानत्वादलंकार्यः, न पुनरलंकारः । तद्वीदमुक्तं “उदार” इति । (See Chap. III, p. 98.) तस्मादेवंविधस्य चित्तवृत्तिविशेषत्वादसभावतदाभासानां यथायोगमेकस्मिन्विशेषावशादन्तर्भावः सम्भवतीत्यलंकार्यत्वमेव युक्तम्, न पुनरलंकरणभाव इति ।

*Ibid.*, p. 129.

एवं समाहितस्यापि अलंकार्यत्वमेव न्याय्यं न पुनरलंकरणभावः ।

1. See ante P. 11 fn. 2.

2. *Ibid.*, p. 118, Chap. III.

शृङ्गारादिरसनिष्पन्दसुन्दरस्य सत्कविप्रवाहस्य नीरसत्वं प्रसंग्यत इति प्रतिपादितमेव पूर्वसूरिभिः । यदि वा वैचित्र्यान्तरमनोहारितया रसवदलंकारः प्रतिपाद्यते यथाभियुक्तैस्तैरेवाभ्यधासि—

essence of poetry. That is Rasavad which, by its inspiring the poetic theme, equals Rasa and contributes to the delectation of those who know its true nature. The verse 'चलापाङ्गां दृष्टिम्' etc. (S. Act I.) illustrates this principle. Here the love of Duṣyanta for Śakuntalā is developed through the mischievous, though innocent, play of the bee about her body. The poet instead of expressing directly Duṣyanta's feelings at the sight of Śakuntalā, leaves it to be understood from his jealousy towards the lucky bee that enjoyed the person of his love.<sup>1</sup> (Vakrokti-J., Chap. III, pp. 131, 133.) This Alaṅkāra shines brighter<sup>2</sup> than all others like the diadem on the head. Prakaraṇavakratā or the change introduced by the poet in the incidents of the traditional plot is justified only

प्रधानेऽन्यत्र वाक्यार्थे यत्राङ्गं तु रसादयः ।

काव्ये तस्मिन्नलंकारो रसादिरिति मे मतिः ॥ (Dhv. p. 71.)

तत्रान्यो वाक्यार्थः ।

1. Vakrokti-J., Chap. III, p. 131.

नूतनातिशयविधायिनः काव्यार्थस्वरूपस्यालंकाराः ततस्तानुपक्रमते—

यथा स रसवन्नाम सर्वालंकारजीवितम् ।

काव्यैकसारतां याति तथेदानीं विविच्यते ॥

तमेव रसवदलंकारं लक्षयति रसेनेत्यादि—

रसेन वर्तते तुल्यं रसवत्त्वविधानतः ।

स रसवदलंकारस्तद्विदाह्लादनिर्मितेः ॥

यथा चलापाङ्गां दृष्टिं etc. S. Act I.

*Ibid.*, p. 133.

अत्र परमार्थः—प्रधानवृत्तेः शृङ्गारस्य भ्रमरसमारोपितकान्तावृत्तान्तः रसवदलंकारः शोभातिशयमाहितवान् । यथा वा “कपोले पत्राली” इत्यादौ ।

(Quoted Dhv. p. 86.)

तदेवमनेन न्यायेन “क्षितो हस्तावलम्ब” इत्यत्र रसवदलंकारप्रत्याख्यानमयुक्तम् ; सत्यमेतत्, किं तु विप्रलम्भशृङ्गारता तत्र निवार्यते । शेषस्य पुनः तत्तुल्यवृत्तान्ततया रसवदलंकार्य(र?)त्वमनिवार्यमेव ।

2. *Ibid.*, p. 134.

अयं सरसवतां सर्वालंकाराणां चूडामणिरिवाभाति ।



as contributing to the easy and natural development of Rāsa. Kālidāsa's introduction of the curse of Durvāsas in the fourth Act of the Śākuntala exonerates Duṣyanta from his otherwise, wilful, cowardish, criminal and monsterlike repudiation of his lawfully wedded spouse. Quite unlike the Amazon, with her child, arguing out her case like an able lawyer before the King's Court as represented in the Mahābhārata story, Śākuntalā's appearance and later repudiation in her full pregnancy heightens the pathos of the situation. Other incidents from the Udātta-rāghava of Māyurāja and the Vikramorvaśīya are also cited.<sup>1</sup> (Chap. IV, pp. 195-6, 212, etc.) It is a stroke of genius that Karuṇa or pathos is developed and sustained throughout the play Tāpasa-vatsarāja without any feeling of satiety whatsoever.<sup>2</sup> (P. 202.) In short it is by the development of the sweet and delectable Rasas that the creations of poets live and not by the clever narration of

1. Vakrokti-J., Chap. IV, p. 195.

एवमेषां महाकविप्रबन्धेषु प्रकरणवक्रताविच्छिन्तिः रसनिध्यन्दिनी  
सहृदयैः स्वयमुत्प्रेक्षणीया ।

इमामेव प्रकारान्तरेण प्रकाशयति—

इतिवृत्तप्रयुक्तेऽपि कथवैचित्र्यवर्त्मनि ।

उत्पाद्यलवलावण्यादन्यावगतिवक्रता ॥

.....यथा प्रबन्धस्य सकलस्यापि जीवितम् ।

भाति प्रकरणं काष्ठाधिरूढरसनिर्भरम् ॥

*Ibid.*, p. 212.

पुनरप्यस्याः प्रभेदमुद्गावयति—

यत्राङ्गिरसनिध्यन्दनिकषः कोऽपि लक्ष्यते ।

पूर्वोत्तरसंपाद्यः साङ्कादेः कापि वक्रता ॥

2. *Ibid.*, Chap. IV, p. 202.

अस्या एव प्रकारान्तरं प्रकाशयति—

प्रतिप्रकरणं प्रौढप्रतिभाभोगयोजितः ।

एक एवाभिधेयात्मा बध्यमानः पुनः पुनः ॥

न त्वसौ पुनरुक्तपात्रतामासादयतीत्याह—

अन्यूननूतनोद्देशरसालंकरणोज्ज्वलः ।

बध्नाति वक्रतोद्भेदभङ्गीमुद्राश्रितानुगतम् ॥

the story.<sup>1</sup> (P. 199.) Prabandhavakratā or the complete change in the main story is illustrated by the *Veṇiśaṁhāra*, where the main *Rasa*, viz., *Śānta* of the *Mahābhārata* is abandoned and *Vira* is developed.<sup>2</sup> (*Ibid.*, p. 219.) Lastly Kuntaka agrees with *Ānandavardhana* in thinking that the dominating *Rasa* of the *Rāmāyaṇa* is *Karuṇa* and of the *Mahābhārata* is *Śānta*.<sup>3</sup> (*Ibid.*, p. 221.)

Kuntaka's conception of *Vakrokti* could not have been developed from *Manoratha*'s mentioned in the verse *यस्मिन्नस्ति* etc., where it—*Vakrokti*—means only 'excellent arrangement of words.'<sup>4</sup> (*Dhv.*, pp. 8, 9.) As he considered *Vākya*vakratā, under which all the *Alaṅkāras* were dealt with, to be the very essence of poetry<sup>5</sup> (*Vakrokti*-J., p. 193), it was probably inspired by *Bhāmaha* who regarded it an essential element in the make up of all *Alaṅkāras*<sup>6</sup>

1. *Ibid.*, p. 199, Chap. IV.

निरन्तररसोद्धारगर्भसन्दर्भनिर्भराः ।

गिरः कवीनां जीवन्ति न कथामात्रमाश्रिताः ॥

इत्यन्तरश्लोकः ।

2. *Ibid.*, Chap. IV, p. 219.

एवमनेकप्रकारां प्रकरणवक्रतां प्रतिपाद्य समुदायात्मकस्य प्रबन्धस्यापि तामभिधाति—

इतिवृत्तान्यथावृत्तरससंपदुपेक्षया ।

रसान्तरेण रम्येण यत्त निर्वहणं भवेत् ॥

तस्या एव कथामूर्तेः आमूलोन्मीलितश्रियः ।

विषयानन्दनिष्पत्यै सा प्रबन्धस्य वक्रता ॥

3. *Vakrokti*-J., Chap. IV, p. 221.

रामायणमहाभारतयोश्च (करुण) शान्ताङ्गित्वं पूर्वसूरीभिरेव निरूपितम् ।

4. *Dhv.*, p. 9, Locana.

• “वक्रोक्तिः उत्कृष्टा सङ्घटना”

5. *Vakrokti*-J., p. 193.

एवं सकलसाहित्यसर्वस्वकल्पवाक्यवक्रताप्रकाशनानन्तरं अवसरप्राप्तां प्रकरणवक्रतां अवतारयति ।

6. *Bhāmaha* II, 85.

सैषा सर्वैव वक्रोक्तिरनयार्यो विभाव्यते ।

यत्नोऽस्यां कविना कार्यः कोऽलङ्कारोऽनयां विना ॥

(Bhāmaha II, 85) and by Daṇḍin who classified all poetic language into Svabhāvokti and Vakrokti.<sup>1</sup> (K. D. II, 362.) (Following Bhāmaha, Kuntaka denies the dignity of Alaṅkāra to Svabhāvokti and ridicules Daṇḍin for admitting it to be one; yet unlike Bhāmaha he is aware of its aesthetic delectableness.)<sup>2</sup> (Vakrokti-J., Chap. I, pp. 21-2.) His treatment of the Alaṅkāras differs in many respects from Bhāmaha, Daṇḍin, Udbhaṭa and other writers, and he is so unsparing in his criticisms of these that in one place he exhibits his extraordinary conceit by dismissing the observations of an old writer as the twaddle of an idiot.<sup>3</sup> (*Ibid.*, p. 162.) He professes some respect for Ānandavardhana, and the teachings of the latter have such a strong hold over him that he perforce includes the different principles in the Rasa and Dhvani theories under one or other of the various species of Vakratā. There is much truth in Mahimabhaṭṭa's remarks that Kuntaka makes the same classifications and cites identical illustrations as those of Dhvanikāra, and also great reason in his identification of Vakrokti with Dhvani for purposes of his attacks on the former.<sup>4</sup> (Vyakti, p. 28.) Kuntaka's Vakrokti is cer-

1. K. D. II, 362. (See page 23.)

भिन्नं द्विधा स्वभावोक्तिर्वक्रोक्तिश्चेति वाङ्मयम् ॥

2. Vakrokti-J., Chap. I, pp. 21-2. (See page 125 fn. 4.)

*Ibid.*, p. 24. सर्वथा यस्य कस्यचित्पदार्थजातस्य कविव्यापारविषयत्वेन वर्णनापदवीमवतरतः स्वभाव एव सद्व्याख्यादकारी काव्यशरीरत्वेन वर्णनीयतां प्रतिपद्यते ।

3. Vakrokti-J., p. 162.

यदभियुक्ततरैस्तैरेवाभ्यधायि न तदपि युक्तियुक्तम् । यथा—

यैर्दृष्टान्त(!)सा न न व्युष्टा (यैर्दृष्टा सा न न दृष्टा) मुषिताः सममेव ते ।

इतं हृदयमेतेषामन्येषां चक्षुषः फलम् ॥

एतदलंकारवार्तामात्रानभिज्ञस्य कस्यचित् प्रलपितमपि सचेतसां प्रतिभासते ।

4. Vyakti, p. 28.

यत् पुनः “शब्दार्थौ सहितौ वक्रकवि .....” इत्यादिना शास्त्रादिप्रसिद्धशब्दार्थोपनिबन्धव्यतिरेकि यद्वैचित्र्यं तन्मन्त्रलक्षणं वक्रत्वं नाम काव्यस्य जीवितमिति सद्व्यमानिनः केचिदचक्षते तदप्यसमीचीनम् ।.....

tainly new wine in old bottles. His is an attempt to widen the scope of the old term, Vakrokti, so as to include the results of further thinking and make it almost synonymous with everything that constitutes poetry. This endeavour to re-establish the principles of the older schools by adapting them to the current tendencies of critical thought and giving them a new garb was foredoomed ; and Vakrokti died for want of adherents soon after its first exponent in the same manner as the theory of Anumāna put forward by Mahimabhaṭṭa, of whom we shall speak presently.

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.....द्वितीयपक्षपरिग्रहे पुनः ध्वनेरेवेदं लक्षणमनया भङ्ग्याभि-  
हितं भवति, अभिन्नत्वाद्वास्तुनः । अत एव चास्य त एव प्रमेदाः, तान्येवोदाहरणानि  
तैरुपदर्शितानि । तच्चायुक्तमित्युक्तं, वक्ष्यते च ।

## CHAPTER X.

### MAHIMABHAṬṬA.

Mahimabhaṭṭa is the aggressive representative of the Anu-  
māna school and the reputed author of the Vyaktiviveka. He  
describes himself at the end of his work as the son of Śridhairya  
and the pupil of the great poet Śyāmala. This poet is quoted by  
Kṣemendra in his Aucityavicāracarcā (p. 125) and Suvṛttatilaka  
(pp. 44-5). As two of these verses are found in the Pādatāḍitaka  
of Śyāmilaka<sup>1</sup> (verses 33 and 125) the teacher of Mahimā and this  
poet are identical. Further the verse वेलानिलैः etc., (No. 55) of the  
Pādatāḍitaka is cited anonymously in Kuntaka's Vakroktijivita  
(p. 50). Kṣemendra is a pupil of Abhinavagupta<sup>2</sup> (Bṛhat. M.  
Chap. XVIII, Verses 36-8 ; Bhārata M., last chapter, verses 7 and  
8) and this Śyāmala who is cited by Kṣemendra and Kuntaka  
should at the latest have been a contemporary of Abhinava. Fur-  
ther Mahimabhaṭṭa, in his eagerness to win rapid fame, rushed  
forth with his attacks on the Dhvani theory without even studying

1. Pādatāḍitaka Verse, 33.

चुम्बनरक्तं सोऽस्या दशनम् etc.

Do. Verse, 125.

धृतो गण्डाभोगे कमल इव बद्धो मधुकरैः etc.

2. Bṛhatkathāmañjarī, p. 620, Chap. XVIII, verses 36 and 37.

क्षेमेन्द्रनामा तनयस्तस्य विद्वत्सु विश्रुतः ।

प्रयातः कविगोष्ठीषु नामग्रहणयोग्यताम् ॥

श्रुत्वाभिनवगुप्ताख्यात्साहित्यं बोधवारिधेः ।

आचार्यशेखरमणेर्विद्याविवृतिकारिणः ॥

Bhāratamañjarī, Last Chapter, verses 7 and 8.

क्षेमेन्द्रनामा तनयस्तस्य विद्वत्सपर्यया ।

प्रयातः कविगोष्ठीषु नामग्रहणयोग्यताम् ॥ (७)

आचार्यशेखरमणेर्विद्याविवृतिकारिणः ।

श्रुत्वाभिनवगुप्ताख्यात्साहित्यं बोधवारिधेः ॥ (८)

the *Hṛdayadarpaṇa* <sup>1</sup>; and this work of Bhaṭṭanāyaka had not probably gained wide publicity when Mahimabhaṭṭa wrote his *Vyaktiviveka*. Again he quotes Abhinava's criticisms of Bhaṭṭanāyaka's attacks on the definition of *Dhvani*, and dismisses them as rabid nonsense. <sup>2</sup> (*Vyakti*, p. 19.) He calls Abhinava "Vidvanmani" or one who pretends to be a learned pandit. His attacks on Kuntaka are even more personal and virulent, and he prides himself in having held up to ridicule a verse regarded as a gem by Kuntaka. <sup>3</sup> (*Ibid.*, pp. 28, 37 and 58.) These are characteristic only of a contemporary rival theorist, and Mahimabhaṭṭa, the pupil of the poet Śyāmala, should have been a younger contemporary of Abhinava and Kuntaka : and most probably he flourished in the first quarter of the eleventh century.

Mahimabhaṭṭa is a powerful logician ; and he attempted to show that the principle of suggestion enunciated by Ānandavardhana is only a variety of inference. <sup>4</sup> Philosophers have recognised only two significative capacities in words—*Abhidhā* and *Lakṣaṇā*—and what is this novel capacity called *Vyañjanā*? This

1. *Vyaktiviveka*, p. 1.

सहसा यशोऽभिसर्तुं समुद्यतादृष्टदर्पणा मम धीः ॥

2. *Ibid.*, p. 19.

अत्र केचिद्विद्वन्मानिनः—...—इति मन्यमानाः—“ व्यङ्क्त इति द्विवचनेनेदमाह—यद्यप्यविवक्षितवाच्ये शब्द एव व्यञ्जकस्तथाप्यर्थस्य सहकारिता न त्रुटयति । अन्यथाज्ञातार्थोऽपि शब्दस्तद्व्यञ्जकः स्यात् । विवक्षितान्यपरवाच्ये च शब्दस्यापि सहकारित्वं भवत्येव । विशिष्टशब्दाभिधेयतया विना तत्स्यार्थस्याव्यञ्जकत्वात् इति सर्वत्र शब्दार्थयोर्ध्वननव्यापारः । एवं च भट्टनायकेन द्विवचनं यदूषितं तत् गजनिमीलिकैव । अर्थः शब्दो वेति •तु विकल्पाभिधानं प्राधान्याभिप्रायेण ” इति यदाहुस्तद्भ्रान्तिमात्रमूलं न तत्त्वमित्यलं अवस्तुनिर्बन्धेन ।

See Locana, p. 33.

3. *Vyakti*, pp. 28, 37 and 58. See ante pp. 118 and 130 fn. 4.

4. *Ibid.*, p. 1.

अनुमानेऽन्तर्भावं सर्वस्यापि ध्वनेः प्रकाशयितुम् ।

व्यक्तिविवेकं कुरुते प्रणम्य महिमा परां वाचम् ॥

is put forward to explain the peculiar process, other than Abhidhā and Lakṣaṇā of words, through which the true import of the poetical sentence is arrived at. Mahimā contends that this process is nothing but ratiocination. Do we find then in poetical sentences the five or at least the three members of a syllogism that alone forms the basis of any inference? No ; but all these are not necessary for intelligent men, because they always get at the conclusion by the mere knowledge of the middle term.<sup>1</sup> (Vyakti, p. 12.) If this process is only inferential reasoning, how is it that in understanding the ideas expressed in poetry, there arises a peculiar pleasure that is totally absent in arriving at some knowledge by means of a logical syllogism? This is a peculiar variety of immediate inference called Kāvyañumiti<sup>2</sup> (*Ibid.*, p. 22), where the ideas strike the trained critic at once, without his going through the cold process of reasoning ; and it is in the very nature of things—and hence unquestionable—that these ideas, when only inferred and not understood by express reference through poetry, contribute to that aesthetic pleasure.<sup>3</sup> (*Ibid.*, p. 12.) These ideas that are inferred should culminate in the development of some

1. Vyakti, p. 12.

अथ यदि सर्व एव वाक्यार्थः साध्यसाधनभावगर्भ इत्युच्यते, तत्—यथा साध्यसाधनयोस्तत्र नियमेनोपादानं तथा दृष्टान्तस्यापि स्यात् । तस्यापि व्याप्ति-साधनप्रमाणविषयतयावश्यपेक्षणीयत्वात्—न ; प्रसिद्धसामर्थ्यस्य साधनस्योपादानादेव तदपेक्षायाः प्रतिक्षेपात् ।

तदुक्तम्—

तद्भावहेतुभावौ च दृष्टान्ते तदवेदिनः ।

स्याप्येते विदुषां वाच्यो हेतुरेव च केवलः ॥

2. Vyakti, p. 22.

वाच्यस्तदनुमितो वा यत्रार्थोऽर्थान्तरं प्रकाशयति ।

सम्बन्धतः कुतश्चित्सा काव्यानुमितिरित्युक्ता ॥

3. Vyakti, p. 12.

....—यत्र विभावादिमुखेन भावानामवगमः तत्रैव सहृदयैकसंवेद्यो रसास्वादोदय इति वस्तुस्वभाव एवायं न पर्यनुयोगपदबीमवतरति प्रामाणिकानाम् ।

See also *Ibid.*, p. 14.

Rasa, otherwise they are not delectable and are mere conundrums.<sup>1</sup> (*Ibid.*, p. 18.) The function of the poet is only to represent the Vibhāvas, Anubhāvas, etc., that invariably lead to the manifestation of Rasa.<sup>2</sup> (*Ibid.*, p. 20.) It is accepted also that Rasa is the soul of poetry.<sup>3</sup> (*Vyakti*, pp. 20 and 22.)

Mahimabhaṭṭa's conception of the realisation of Rasa is probably inspired by that of Śrī Śaṅkuka quoted in the Abhinavabhāratī (Chap. VI)<sup>4</sup> and it is almost identical with it. Vibhāvas, Anubhāvas, etc., are the artificial causes, effects and accessories for the kindling up of some imaginary or unreal emotions like love, etc., and when these are inferred and experienced by the reader through his complete imaginative sympathy with what is described in poetry they become delectable and are called Rasas.<sup>5</sup>

1. *Vyakti*, p. 18.

तदियमुपायपरंपरोपरोहनिस्सहा न रसास्वादान्तिकमुपगन्तुमलमिति प्रहे-  
लिकाप्रायमेतत्काव्यमिति अतिव्याप्तिः (लक्षणदोषः) ।

2. *Ibid.*, p. 20.

कविव्यापारो हि विभावादिसंयोजनात्मा रसाभिव्यक्त्यव्यभिचारी काव्य-  
मुच्यते ।

3. *Ibid.*, p. 20.

काव्यारम्भस्य साफल्यमिच्छता तत्प्रवृत्तिनिबन्धनभावेनास्य रसात्मकत्व-  
मवश्यमभ्युपगन्तव्यम् । तन्मात्रप्रयुक्तश्च ध्वनिव्यपदेशः ।

*Ibid.*, p. 22.

काव्यस्यात्मनि संज्ञिनि रसादिरूपे न कस्यचित् विमतिः ।

4. *See ante* pp. 99 to 102.

5. *Ibid.*, p. 16.

यतस्तैरेव कारणादिभिः कृत्रिमैः विभावाद्यभिधामैः असन्त एव रत्यादयः  
प्रतिबिम्बकल्पाः स्थायिभावव्यपदेशभाजः कविभिः प्रतिपत्तृप्रतीतिपथमुपनीयमाना  
हृदयसंवादादास्वाद्यत्वमुपयन्तः सन्तः रसा इत्युच्यन्ते ।

If asked how could the experience of unreal emotions lead to the knowledge of anything real such as रसानुभव aesthetic delectation, Mahimā replies—तदुक्तं—भ्रान्तिरपि सम्बन्धतः प्रमा—इति

मणिप्रदीपप्रभयोः मणिबुद्ध्याभिधावतोः ।

मिथ्याज्ञानविशेषेऽपि विशेषोऽर्थक्रियां प्रति ॥ इति च *Vyakti*, p. 14.



Mahimā regarded that Rasas are inferred ; and by thus reducing the process of the realisation of aesthetic pleasure to one of cold reasoning, he took away much of that inexpressible charm from poetry ; and although he distinguished between ordinary inference and poetical inference, his theory in the hands of lesser critics is apt to make poetry as dry as philosophy. And later critics attacked him for this drawback in his theory which, like Kuntaka's, died away for want of adherents, soon after its staunch advocate.

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## CHAPTER XI.

### KING BHOJA.

The next important name is that of the illustrious monarch, Bhoja, of the Pāramāra dynasty of Mālwa, who reigned gloriously from about 1018 to 1054 A. D. He is famous for his enlightened patronage of letters and also his authorship of numerous works on the different arts and sciences. Besides the well-known *Sarasvatikanṭhābharāṇa* printed in Benares and other places he wrote another voluminous work on poetics called the *Śṛṅgāra-prakāśa*, which, as its name indicates, was intended to expound his peculiar doctrine that *Śṛṅgāra* or love is the only *Rasa*.<sup>1</sup> This work treats of the subject in 36 chapters extending in the manuscript copy deposited in the Government Oriental Library, Madras, for over 1900 pages of paper and about 30,000 granthas (or units of 32 syllables); and it is the largest work in Sanskrit yet known on the science of literary criticism. It opens with a definite enunciation of his peculiar theory of *Rasa* leading to a consideration of the nature of poetry through which it is realised. He cites *Bhāmaha*'s definition of poetry and proceeds at once to a very elaborate, learned and interesting exposition of the nature of the word (*Śabda*), its different components and peculiarities of grammatical usage. This covers the first six chapters; and seventh and eighth deal with the significative capacities of words. The ninth treats of the absence of faults and the presence of *Guṇas* or poetic qualities, the tenth of *Alaṅkāras* or poetic embellishments in which *Ritis* also are included. The eleventh expounds fully his theory of *Rasa* stated at the beginning of the work and incidentally defines a *Mahākāvya* at the end. The different (sixty-four) units in the construction of the plot are explained and illustrated with reference to the Drama from numerous plays in the twelfth chapter. Chapters thirteen to seventeen deal respectively with love, *bhāvas* like joy or mirth, etc., the *Ālambana* and

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1. See Report on the working of the Peripatetic Party of the Government Oriental Manuscripts Library, Madras, during 1916-1919, p. 42.

See below pp. 141 ff.

the Uddīpana Vibhāva of love and the Anubhāvas; eighteen to twenty-one with the four varieties of Śṛṅgāra—Dharma, Artha, Kāma, and Mokṣa; and the remaining fifteen chapters with the different species of Vipralambha and Sambhoga: and the work concludes with the same two verses as in the Sarasvatikanṭhābharana with the hope that it will give eternal pleasure to all critics and that it will live to the end of time.

Dealing with the literary kinds, Bhoja speaks of a work on poetics as a superior kind of composition, for it embodies many branches of learning. He exhibits his partiality for his own work, the Śṛṅgāraprakāśa, which he places above all his other works as it discusses the truths of all sciences, arts, systems of philosophy and all topics of human interest.<sup>1</sup> (Śṛṅg-P., Chap. XI, Vol. II, p. 430.) Bhoja's estimate of this work is no exaggerated self-adulation, but only a bare truth. His work teems with quotations drawn from innumerable works on all the varied branches of Indian culture ranging from the erotics of Vātsyāyana to the abstruse and intricate principles of Mīmāṃsā and metaphysics. He cites profusely the early writers on literary criticism like Bhāmaha, Daṇḍin, Vāmana, Rudraṭa and Ānandavardhana. Gautama, the founder of the Nyāya philosophy, Dharmakīrti, Kumārila and many others are quoted<sup>2</sup> in the midst of his learn-

1. Śṛṅg-P., Vol. II, Chap. XI, p. 430.

यस्मिन्नशेषविद्यास्थानार्थविभूतयः प्रकाशन्ते संहस्य स साहित्यप्रकाशः  
एतादृशो भवति । एतस्मिन् शृङ्गारप्रकाशे सुप्रकाशमेवाशेषशास्त्रार्थसम्पदुपनि-  
षदामखिलकलाकाव्यौचित्यकल्पनारहस्यानां च सन्निवेशो दृश्यते ।

2. Śṛṅg-P., Vol. IV, p. 569.

आत्मशरीरेन्द्रियार्थबुद्धिमनःप्रवृत्तिदोषप्रेत्यभावफलदुःखापवर्गास्तु प्रमे-  
यम् । Nyāyasūtra. I. i. 9.

- Śṛṅg-P., Vol. IV, p. 446.

एवं धर्मक्रीर्तिकामपि स्वभावानुपलब्धिरिति वक्तव्ये स्वभावानुपलब्धिः  
कार्यानुपलब्धिः कारणानुपलब्धिः व्यापकानुपलब्धिः... विरुद्धकार्योपलब्धि-  
रित्येकादशानुपलब्धयः विकल्पिताः । Nyūrabindu Bib. Ind. pp. 105-'6.

- Śṛṅg-P., Vol. IV, pp. 452-53.

अभिधात्री भूतिः काचिद्विनिर्द्योक्त्यपरा तथा ।

विधात्री च तृतीया सा प्रयोगो यन्निबन्धनः ॥

T. V. p. 715.

ed digressions, like the place of Upamāna among the means of valid knowledge according to the different schools of philosophy, the three varieties of Vedic injunctions recognised in Mīmāṃsā, the two sets of six pramāṇas or principles which respectively determine the substantive and adjectival character-अङ्गाङ्गिभाव of Vedic rites and also the order in which they have to be performed, and the intelligent application of these principles to literature. (Śrīṅg-P., Vol. IV, pp. 460-1.) He often displays his erudition<sup>1</sup>, as when he justifies the use of the word 'Māna', ending in the suffix *lyuṭ*, in the masculine gender by pointing to the Mahābhāṣya on Pāṇini I. iii. 1., where the word 'Anumāna' is put in the same gender. The most striking feature of the work is the large variety of illustrative verses cited from innumerable masterpieces<sup>2</sup>, many of which have probably succumbed to the ravages of time; and it opens our eyes to the vastness of the literary treasures possessed by the ancients. One perforce feels that, in spite of the great care taken to preserve them, only a poor residue thereof has been distilled down to us through the ages<sup>2</sup>. The

विधिरत्यन्तमप्राप्ते नियमः पाक्षिके सति ।

तत्र चान्यत्र च प्राप्तौ परिसंख्येति गीयते ॥ T. V. p. 59.

1. Śrīṅg-P., Vol. IV, p. 424.

कथं पुनर्मान इति ल्युङन्तस्य पुंसि प्रयोगः, शिष्टप्रयोगदर्शनात् । यथाह महाभाष्यकारः “अस्ति क्रिया किं तु साधनेभ्यः पृथमाख्यातुमशक्यं अनिर्दिष्ट-गर्भवत् ; सोऽसावनुमानगम्या ; कोऽसावनुमानगम्या ; कोऽसौ अनुमानः ? यसर्वेषु साधनेषु सन्निहितेषु कदाचित्पचतीत्येतद्वति कदाचिन्न भवतीति” ।

See Mahābhāṣya on I-iii-1.

2. A few illustrative texts from and references to some rare works are given below.

Śrīṅg-P., Vol. II, Chap. XII, p. 483.

तथा पुंसोऽपि ह्रीः यथा—पार्थविजये सम्भवैः पराजित्य बद्धस्यार्जुनेन विक्रमोचितस्य दुर्योधनस्य ; तत्र हि दुर्योधनं अर्जुन आह—न चाहमस्मिन्काले पाण्डवेन नाहूत इति सम्भावनीयं, यस्मादभिनमेवार्यः युधिष्ठिरसहस्रारामवगच्छामः विशेषतोऽस्मिन् काले । पर्यतु महाराजः—

स्वैर्वैरे कुरुपाण्डवान्तरकृतो यस्मिन्नशेषोऽस्ति नः

तस्मिन्स्तत्किमसाधु साधु कथमिदानीं विजानन्ति तत् ।

expository method of Bhoja is often modelled on the appa-

यत्रैकाभिजनान्वये त्वभिभवः क्षत्रस्य तस्मिन्पुनः

भ्रातृणां पुरतोऽभियोगसमये पञ्चोत्तरं नः शतम् ॥

*ibid.* Vol. II, Chap. XII, p. 530.

यथा राघवानन्दे—

अङ्गे न्यस्योत्तमाङ्गं प्लवगबलपतेः पादमक्षस्य हन्तुः

कृत्वोत्सङ्गे सलीलं त्वचि कनकमृगस्याङ्गशेषं निधाय ।

बाणं रक्षःकुलघ्नं प्रगुणितमनुजेनादरात्तीक्ष्णमक्षः

कोणेनावेक्षमाणस्त्वदनुजवचने दत्तकर्णोऽयमास्ते ॥

*ibid.* Vol. II, Chap. XII, P. 550.

यथा मुकुटताडिते भीमः—

ध्वस्ताः क्षुधा धार्तराष्ट्राः समस्ताः

पीतं रक्तं साधु दुःशासनस्य ।

पूर्णा कृष्णाकेशबन्धप्रतिज्ञा

तिष्ठत्येकः कौरवस्योरुभङ्गः ॥

ऊरू निपीड्य गदया यदि नास्य तस्य

पादेन रत्नमुकुटं शकलीकरोमि ।

देहं निपीतनिजधूमविजृम्भमाण-

ज्वालाजटालवपुषि ज्वलने जुहोमि ॥

*Śrīng-P.*, Vol. IV, Chap. XXXI, p. 664.

छित्त्वा नलः सपदि तद्वसनं निशीथे

हस्तं गतेन कलिनैव किल क्षुरेण ।

श्रान्तामरण्यसदसि स्वपतीं विहाय

भीमात्मजामभिमतं पथा जगाम ॥

*ibid.* Vol. IV, p. 675.

गतवति निषधेश्वरे विबुद्धा

वनभुवि भीमसुता भ्रमन्मृगीव ।

मृगयितुमनुसानुं सानुगन्तुं

दिशि दिशि दत्तबिलोलदीर्घदृष्टिः ॥

rently inconclusive dialectics adopted by Patañjali in his Mahā-

*ibid.* Vol. IV, Chap. XXXII, p. 735.

विचिन्वती मामियमद्रिकुक्षौ

विकुक्षिनाम्ना भुजगेन दष्टा ।

गतासुरास्ते मृगशाबनेत्रा

मृगावती हा विधिना हतोऽस्मि ॥

*ibid.* Vol. II, p. 410.

तत्र दोषहानमनौचित्यपरिहारेण यथा—मायाकैकेयीदशरथाभ्यां रामः प्रवासितः, न मातापितृभ्यामिति निर्दोषदशरथे, ..... अनङ्गावतारस्य प्रद्युम्नस्यैव जन्मान्तरपत्नी रतिः मायावती न गुर्वङ्गनेति हरिवंशे, ..... दग्धायामपि वासव-दत्तायां वैरप्रतिचिकीर्षया पद्मावती मयोढा, सिद्धे च समीहिते तया विना क्षणमपि न जीवामीत्यविज्ञातवासवदत्तासन्निधेः वत्सराजस्याग्निप्रवेशाध्यवसायः प्रियाहृदयतो व्यलीकशल्यमुच्चखानेति तापसवत्सराजे, निरपख्यः स्वामिकार्यं साधयामीति प्रभुभक्त्या निरपराधमपि प्रेयसीं दग्ध्वा स्वामिकार्यपेक्षया अहमेता-वन्ति दिनानि जीवितो, अद्य कृतस्वामिकार्यः तामेवानुगच्छामीति शिवगणः शूद्रकनिर्मितां मायामयीं चितां प्रियासमक्षं प्रविवेश । सापि तत्प्रेमापदानदर्शनापहृत-प्रियव्यलीका तद्वियोगकातरा तत्रैवात्मानं प्रचिक्षेपेति विश्रान्तशूद्रके, एवमन्य-दपि दोषहानमुदाहार्यम् ।

*ibid.* Vol. II, p. 439.

अभिमतार्थसिद्धये यथा—विष्णोः पारिजातहरणाय हरिविजये ।

*ibid.* Vol. II, p. 442.

द्विसन्धानं (a literary kind) इत्यनेन वाक्यप्रकरणप्रबन्धानामनेकार्थी-नुसन्धाने कवेः शक्तिविशेषं व्यञ्जयति ।

तृतीयस्य ? यथा दण्डिनो धनञ्जयस्य वा द्विसन्धानं...

*ibid.* Vol. III, p. 444.

पञ्चमस्य यथा कीचकवधे—द्रौपदी विराटमभिभाषमाणा तेनैव वाक्येन युधिष्ठिरादीनभिभाषते ॥

*ibid.* Vol. II, p. 483.

माया यथा—देवीचन्द्रगुप्ते-चन्द्रगुप्तस्य कृतकोन्माद इति मानुषी माया ।

*ibid.* Vol. II, p. 484.

क्रोधो यथा—श्रीविशाखदेववृत्ते अभिसारिकावञ्चिते वत्सराजः सम्भावितपुत्र-वधायै पद्मावत्यै क्रुद्धस्तथाभ्यधात् “प्रदुष्टोमग्राहाम्” etc.

bhāṣya, and his style is likewise simple, direct and forcible.<sup>1</sup> (Śṛṅg-p., Chap. XI, Vol. II, p. 369-70).

Earlier writers have recognised the Rasas to be ten and the foolish, unthinking world, after the manner of the blind leading the blind, has accepted them as scriptural truth, and Bhoja's effort is to dispel this time-honoured darkness from their minds and to establish that Śṛṅgāra or love is the only Rasa.<sup>2</sup> That sup-

1. Bhoja's method of exposition modelled on that of the Mahā-bhāṣya. See e.g.,

Śṛṅg-P. Vol. II, Chap. XI p. 368-70.

अथ रसवदिति किं मत्वर्थीयः उत वतिः । किं चातः ? यदि मत्वर्थीयः  
[नोभयं सम्भवति] यथेच्छसि तथास्तु । अस्तु तावन्मत्वर्थीयः ।  
ननु चोक्तं मत्वर्थाभावात्तदनुपपत्तिः ; रसवतो रामादेर्यद्वचनं तद्रसमूलत्वाद्वसवत् ;  
अभेदसमध्यारोपाच्च कविनानुक्रियमाणस्यानुकरणमपि रसवत् । अथ वा पुनरस्तु  
वतिः ; ननु चोक्तं वत्यर्थानुपपत्तेर्न वतिः ; अत्रापि नानुपपत्तिः ; तदर्हमिति  
वचनाद्वतिः भविष्यति ; रसान्प्रतिपादयितुं यदर्हति तद्रसवत् ; अर्हति च रसवत्  
रामादिवचनमनुक्रियमाणमभेदसमध्यारोपात् रसान्प्रतिपादयितुमिति रसवद्भवति ॥

2. Śṛṅg-P., Vol. I, p. 2-3. Bhoja's theory of Rasa.

शृङ्गारवीरकरुणाद्भुतरोद्रहास्य-

बीभत्सवत्सलभयानकशान्तनाम्नः ।

आम्नासिर्दश रसान्सुधियो वयं तु

शृङ्गारमेव रसनाद्रसमामनामः ॥

वीराद्भुतादिषु च येह रसप्रसिद्धिः

सिद्धा कुतोऽपि वटवृक्षवदाविभाति ।

लोके गतानुगतिकत्ववशादुपेता-

मेतां निवर्तयितुमेष परिश्रमो नः ॥

अप्रातिकूलिकतया मनसो मुदादेः

र्यः संविदोऽनुभवहेतुरिहाभिमानः ।

ज्ञेयो रसः स रसनीयतयाऽप्यज्ञाते

रत्यादिभूमनि पुनर्वितथा रसोक्तिः ॥

reme egoism or self-consciousness which, when developed and realised in the absence of all impediments through the Vibhāvas,

रत्यादयोऽर्धशतमेकविवर्जितानि

भावाः पृथग्विधविभावभुवो भवन्ति ।

शृङ्गारतत्त्वमभितः परिवारयन्तः

सप्तार्चिषं द्युतिचया इव वर्धयन्ति ॥

आभांवनोदयमनन्यधिया जनेन

संभाव्यते मनसि भावनया स भावः ।

यो भावनापथ्यमतीत्य विवर्तमानः

साहंक्रतौ हृदि परं स्वदते रसोऽसौ ॥

रत्यादयो यदि रसाः स्युरतिप्रकर्षे

हर्षादिभिः किमपराद्धमतद्विभिन्नैः ।

अस्थायिनस्त इति चेद्भयहासशोक-

क्रोधादयो वद कियच्चिरमुल्लसन्ति ॥

स्थायित्वमत्र विषयातिशयान्मतं चेत्

चिन्तादयः कुत उत प्रकृतेर्वशेन ।

तुल्यैव सात्मनि भवेदथ कासनायाः

सन्दीपनात्तदुभयत्र समानमेव ॥

अतः सिद्धमेतत् । रत्यादयः शृङ्गारप्रभवा इति । एकोनपञ्चाशद्भावा वीरा-  
दयो मिथ्यारसप्रवादाः । शृङ्गार एवैकः चतुर्वर्गैककारणं, स रस इति । स चा(नुः)-  
भवैकगम्यत्वादसर्वविषयत्वाच्च दुरवसेयः । सम्यगभिनेयेषु वा विदग्धशैल्यैः प्रदर्श्य-  
मानः सामाजिकैरवधार्यते । प्रबन्धेषु वा महाकविभिर्यावदाख्यायमानो विदुषां  
मनीषाविषयं अवतरति । तत्र न तथा पदार्थाः प्रत्यक्षेण प्रतीयमानाः स्वदन्ते  
यथा वाग्मिनां वचोभिरावेद्यमानाः । तदाह—..... अतोऽभि-  
व्यवि(?)नेतृभ्यः कवीनेव बहुमन्यामहे, अभिनयेभ्यः काव्यमेवेति । तत्पुनः  
शब्दार्थयोः साहित्यमामनन्ति । तद्यथा 'शब्दार्थौ सहितौ काव्यम्' (Bhāmaha)  
कः पुनः शब्दः ? येनोच्चारितेनार्थः प्रतीयते । स द्वादशधा etc.

Śrīg-P., Vol. II, Chap. XI, pp. 352-55.

रसः न प्रमा । तदुक्तम् —

अप्रातिकूलिकतया मनसो मुदादेः etc. (see previous page.)



Anubhāvas and Vyabhicāribhāvas represented in action or poetry, becomes a blissful state of mind, constitutes Rasa. Emotions like love, mirth, etc., are born of the person possessed of this self-

न रत्यादिभूमा रसः; किं तर्हि? शृङ्गारः । शृङ्गारो हि नाम विशिष्टेष्टदृष्ट-  
चेष्टाभिव्यञ्जकानां आत्मगुणसंपदां उत्कर्षवीजं बुद्धिसुखदुःखेच्छाद्वेषप्रयत्नसंस्का-  
राद्यतिशयहेतुरात्मनोऽहंकारविशेषः । स चेतसा रस्यमानः रस इत्युच्यते ।  
यदस्तित्वे रसिकः, अन्यथा नीरसः इति । तदाविर्भावहेतवश्च तत्प्रभवा एव  
भावाः । ते चैकान्नपञ्चाशत् । रतिर्हर्षः रोमाञ्च इत्यादि ।

(p. 354.)

तत्र केचिदाचक्षते 'रतिप्रभवः शृङ्गारः' इति (N.S. P. 63) । वयं तु मन्यामहे  
रत्यादीनामयमेव प्रभव इति । शृङ्गारिणो हि रत्यादयो जायन्ते नाशृङ्गारिणः ।  
शृङ्गारी रमते स्मयते उत्सहते स्निह्यति इति । ते तु भाव्यमानत्वाद्भावाः, न  
रसाः । यावत्संभवं हि भावनया भाव्यमानः भाव एवोच्यते । भावनापथमतीतस्तु  
रसः इति मनोऽनुकूलेषु दुःखादिषु आत्मनः सुखानुभवाभिमानः रसः । स तु पारं-  
पर्येण सुखहेतुत्वाद्रत्यादिभूमन्युपचारेण व्यवहियते । अतः न रत्यादीनां रसत्वं,  
अपि तु भावनाविषयत्वाद्भावत्वमेव ।

(p. 354-5.)

नन्वष्टौ स्थायिनः अष्टौ सात्त्विकाः त्रयस्त्रिंशद्व्यभिचारिण इति ब्रुवते ;  
न तत् साधु । यतोऽमीषामन्यतमस्यैतैरेव परस्परं निर्वर्त्यमानत्वात् कश्चित्कदाचि-  
त्स्थायी कदाचित्तु व्यभिचारी; अतः अवस्थावशात् सर्वेऽप्यमी व्यभिचारिणः,  
सर्वेऽपि च स्थायिनः, सात्त्विका अपि सर्वे एव, मनःप्रभवत्वात् ; अनुपहितं हि  
मनः सत्त्वमित्युच्यते ॥

(p. 355.)

यच्चोक्तं विभावानुभावव्यभिचारिसंयोगात् स्थायिनो रसत्वमिति, तदपि  
मन्दम् ; हर्षादिष्वपि विभावानुभावव्यभिचारिसंयोगस्य विद्यमानत्वात् । तस्माद्रत्या-  
दयः सर्व एवैते भावाः, शृङ्गार एव एको रस इति । तैश्च स प्रकाशमानः  
शृङ्गारः विशेषतः स्वदते ।

(p. 355.)

तत्र केचनाहुः—नायं रसः शृङ्गाराख्यः रत्यादिभिरभिव्यज्यते, अपि  
त्वालम्बनविभावाद्युत्पन्नः रत्यादिरेवोद्दीपनविभावादिभिः परं प्रकर्ष्यमाणो रसीभवन्  
शृङ्गारादिसंज्ञां लभते इति—त एवं प्रष्टव्याः । किमेते रत्यादयः स्वैभ्यः स्वैभ्यः  
आलम्बनेभ्य उत्पद्यमानाः सर्वस्याप्युत्पद्यन्ते, उत कस्यचिदेवेति ? यदि तावत्सर्वस्य,

consciousness, and never is it that these emotions are transformed into the delectable Rasas. They only add lustre and beauty to Śṛṅgāra like the rays of light to fire. If emotions like love, etc., when fully developed become Rasas, why should not joy (Harṣa) and other Bhāvas become so when similarly developed? If these are not Sīhāyibhāvas or emotional moods that endure, tell me dear brother, how long do such recognised emotional moods—humour, grief and wrath—contribute to aesthetic delectation? These emotional moods are identical in their nature as they all arise out of the instincts implanted in human nature. There is some truth in this extreme position of Bhoja as all the world is pervaded by love and there is not one who is unaffected by it. But Abhinavagupta has clearly shown before that, though aesthetic pleasure or Rasa is unitary and identical in all cases of its realisation, it is classified into nine in accordance with the dominant emotional moods that transform themselves into that aesthetic pleasure; and, it is a matter of experience that dominant emotional moods other than love, like pathos, heroism, wonder, etc., do contribute to it in accordance with the tastes of different men.

This theory of Rasa met with the same fate that overtook the two rivals to the theory of Dhvani—the Anumāna and the Vakrokti—and only a passing reference is made to it by some later writers like Vidyādhara and Kumārasvāmin. Bhoja accepted the predominant place of Dhvani in poetry; and his conception of poetry is a happy blending of the different elements—Alaṅkāra, Guṇa, Rīti, Rasa, etc., recognised by the various schools of critical thought.<sup>1</sup>

तदा सर्वं जगद्रसिकं स्यात् । न चैतदस्ति ; यतः कश्चिद्रसिकः, कश्चित्तु नीरसो दृश्यते । न च दृष्टविपरीतं शक्यमनुज्ञातुम् । अतो न सर्वस्य रत्यादयो जायन्ते । अथ कस्यचित्, तत्र निमित्तमभिधानीयम् । तत् दृष्टमदृष्टं वा स्यात् ; न तावद्दृष्टं अनुपलभ्यमानत्वात् । अदृष्टं तु साधारणमसाधारणं वा, साधारणे पूर्व एव दोषः सर्वं जगद्रसिकं स्यादिति । असाधारणं तु प्रत्यगात्मगतानादिवासनानुबन्धिधर्मकार्यं भवितुमर्हति । तं चात्मनोऽहंकारगुणविशेषं ब्रूमः ; स शृङ्गारः, सोऽभिमानः, स रसः ; तत एव रत्यादयो जायन्ते । तैश्चायं प्रकर्षप्राप्तैः ससाचिरचिन्धैरिव प्रकाशमीनैः शृङ्गारिणमेव स्वदत्ते इति ॥

1. Śṛṅg-P., Vol. II, Chap. XI, p. 352.

निर्दोषस्य गुणवतोऽलङ्कृतस्य च काव्येशरीरस्य कामिनीशरीरस्यैव शोभा-  
तिशयनिष्पत्तौ रसावियोगोऽयं प्रकृष्टोऽप्ययोगी ॥

## CHAPTER XII. KṢEMENDRA.

Among the numerous writings of Kṣemendra, three small works—the *Aucityavicāracarcā*, *Suvṛttatilaka* and *Kavikaṇṭhābha-  
raṇa*—deal with literary criticism. At the end of these works he himself says that he wrote them in the reign of King Ananta. He dates his *Samayamāṭṛkā* in 1050 A.D. in the reign of Ananta and his *Daśāvatāracarita* in 1066 A.D. in the reign of King Kalaśa. Kṣemendra was a Kashmirian, and King Ananta of Kashmir reigned from 1028 to 1063, but continued to live up to 1080 A.D. after relinquishing the crown in favour of his son Kalaśa in 1063 A.D. Kṣemendra was a pupil of Abhinava<sup>1</sup>, (990-1015 A.D.) and most probably his literary activities lay mainly during the period when King Ananta was on the throne, i.e., about 1030 to 1070 A.D.

In this *Aucityavicāracarcā* Kṣemendra puts forward a novel theory that 'Aucitya' or appropriateness is the soul of poetry. By Aucitya he means the adaptation of parts of the verse to each other and to the whole, or in other words, a certain poetic harmony or fitness of things.<sup>2</sup> (*Kārikā* 7 etc.) The necessity for Aucitya in the development of Rasas has already been pointed out by Ānandavardhana, who held that the absence of Aucitya is the one impediment to the realisation of aesthetic pleasure<sup>3</sup>. Kṣemendra reversed that opinion and said that the presence of Rasas contributes to Aucitya or poetic harmony.<sup>4</sup> He extended

1. See Page 132.

2. *Aucityavicāracarcā*, p. 116; *Kārikā* 7.

उचितं प्रादुराचर्याः सदृशं किल यस्य यत् ।

उचितस्य च यो भावस्तदौचित्यं प्रचक्षते ॥ ७ ॥

काव्यस्याङ्गेषु च प्रादुरौचित्यं व्याप्तिं जीवितम् । *Kārikā* 10.

See *Kārikās* 8, 9 and 10.

3. अनौचित्यादृते नान्यद्रसमङ्गस्य कारणम् ।

प्रसिद्धौचित्यबन्धस्तु रसस्योपनिषत्परा ॥ Dhv. P. 145.

4. अलंकारस्वरूपद्वारा गुणा एव गुणाः सन्ति ।

औचित्यं रससिद्धस्य स्थिरं काव्यस्य जीवितम् ॥ *Aucitya* : *Kārikā* V.

this principle not only to the Alaṅkāras and Guṇas but also to many other elements of poetic expression like word, sentence, poem, verb, case, gender, number, etc. His conception of Aucitya is very comprehensive, and he clearly shows how all the different principles expounded by earlier writers could be brought under his poetic harmony ; and his exposition and illustration of the various kinds of Aucitya are very intelligent and telling. He accepts the classification of Rasas recognised by Dhvanikāra and his commentator, Abhinava, into nine including Śānta.<sup>1</sup> The theory of Dhvani is also tacitly admitted while the two rival methods—Vakrokti and Anumāna—are left out of consideration. In his Suvṛttatilaka this principle is explained with reference to the choice of the different metres, how metres lend easily to the development of Rasas, in what metres particular poets have excelled, and in what they have failed. His Kavikaṇṭhābharaṇa explains fully the necessary training which an aspirant must undergo if he should desire to become a poet.

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*See ibid.*, p. 130.

शान्तो यथा सम चतुर्वर्गसंग्रहे—  
भोगे रोगभयम् etc.

## CHAPTER XIII.

### MĀMMATA.

We consider next Mammaṭa, who was regarded by tradition as the very incarnation of the Goddess of learning, and whose work, the Kāvya-prakāśa, was exceedingly popular throughout India and at all times. It was regarded such a great honour, a sure passport of learning and an acid test for the award of titles to comment on this work, that many writers seeking fame wrote lengthy commentaries on it; and we are left in possession of no less than thirty of them. This writer lived about the close of the eleventh century.

The great popularity of the work is not due to any of the original theories expounded therein—for there is very little of originality in the work—but to the able manner in which all the different theories are brought together, their inter-relations defined and each of them assigned to its proper place among the sources of literary appeal. It gives the predominant position to Rasa and Dhvani and establishes their right by a vigorous refutation of the opposing Anumāna theory. It also recognises the subordinate place of Guṇa and Alaṅkāra, laying at the same time great stress on the absence of faults in composition. The distinctly creative age in the history of Sanskrit Literary criticism comes to a close with the Kāvya-prakāśa, and it becomes the model for all later works on poetics like the Ekāvali, Sāhitya-darpaṇa and the Pratāparudrīya, which treat of the subject in the same comprehensive manner occasionally adding a chapter on the dramatic technique.

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1. Kāvya-prakāśa, P. 118. B. O. R. 1. Edition, 1921.

सुधासागरकरैरपि प्रागुक्तः प्रदीपोऽनूयैवं खण्डितः । तथा हि— न  
चैतावता “निर्वेदः स्यादिभावाख्यः” इति वदतां श्रीवाग्देवतावतारवृत्ति-  
काराणां प्रमादः शङ्क्यः । तत्त्वज्ञानजन्यनिर्वेदस्यैव शमरूपत्वात् । Vṛttikāra is,  
of course, Mammaṭa.

## CHAPTER XIV. TWO LATER WRITERS.

### *Viśvanātha,*

Before concluding this work we cannot omit to refer to the two great writers, Viśvanātha and Jagannātha Paṇḍita, whose works are very popular and are largely studied throughout India. Viśvanātha's work, the 'Sāhityadarpaṇa' deals in clear, easy language with all the different topics in poetics and has also a special feature of devoting a chapter to dramaturgy, based mainly on the Nāṭyaśāstra and the Daśarūpaka. His date has been ascertained with some exactness, and he may be safely placed in the first half of the 14th century. (For details see P. V. Kane, Introduction to Sāhityadarpaṇa and S. K. De, Sanskrit Poetics, Vol. I, Section on Viśvanātha.)

We are here concerned with a peculiar synthetic theory of Rasa that he cites with approval. This was first put forward by one Nārāyaṇa, an ancestor of Viśvanātha. The character of the Rasa realised is this :—Through the excess of Sattvaguna, we realise ourselves in a state of complete bliss, free from all knowledge of the external world, and similar to the Yogin's realisation of the Infinite; and this realisation is essentially some extraordinary Camatkāra or enjoyment of bliss, and it is called Rasa. This Camatkāra is nothing but the unfolding (Vistāra) of the mind, and this unfolding is synonymous with Vismaya or wonder. This Vismaya is the primary characteristic of all Rasas ; and it means, in the Rasa Adbhuta are synthesised all the other Rasas; and the latter are nothing but the different manifestations of the one Adbhuta.<sup>1</sup>

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1. Sāhityadarpaṇa, Paricchēda III. beginning.

सत्त्वोद्रेकादखण्डस्वप्रकाशानन्दचिन्मयः ।

वेद्यान्तरस्पर्शशून्यो ब्रह्मास्वादसहोदरः ॥ २ ।

लोकोत्तरचमत्कारप्राणः कैश्चित्प्रमादभिः ।

स्वाकारवदभिन्नत्वेनायमास्वाद्यते रसः ॥ ३ ।

This view is similar to that of Bhavabhūti who regards Karuṇa as the one Rasa whose manifestations only are the rest. He takes his stand on the view that the essence of the realisation of Rasa is Cittavidruti or a certain melting of the heart and this Druti is primarily associated with Karuṇa, and hence Karuṇa is the one Rasa (*see ante*. P. 43). Viśvanātha takes the view that it is not Vidruti but Vistāra or unfolding, and hence the one Rasa is Adbhuta and all the other Rasas may be synthesised in Adbhuta.

### *Jagannātha :—*

Paṇḍitarāja Jagannātha is one of the greatest writers on Alaṅkāra, well known for his originality, critical acumen and masterly and logical exposition of the different views held in Sanskrit poetics. He was the son of Perubhaṭṭa, a learned scholar under whom he studied mostly. He flourished during the reign of Shahjahan, the Moghul Emperor at Delhi and was also a younger contemporary and rival of that versatile scholar, Appayya Dīkṣita. His literary activity may therefore be placed mainly in the second quarter of the seventeenth century. (For details, *see* P. V. Kane and S. K. De, *op. cit.*)

Among the numerous works of Jagannātha, we are concerned here only with the Rasagaṅgādhara and the Citramīmāṃsākhaṇḍana. The latter is only a small treatise intended to attack—as its name implies—the Citramīmāṃsā of Appayya Dīkṣita. The former contains a very minute and critical examination of the various principles in poetics and is unrivalled for the logical precision of its language. Jagannātha is a proud man, conscious of his superior powers and gifts. He is not merely a critic but a poet as well. In his work he has not borrowed verses from other poets to illustrate the different principles, but he has composed verses of his own. Says he, in the introduction to the

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चिन्मय इति स्वरूपार्थे मयद् । चमत्कारः चित्तविस्ताररूपो विस्मया-  
परपर्यायः । तत्प्राणं च अस्मद्बुद्धप्रपितामहसहृदयगोष्ठीगरिष्ठकविपण्डितमुख्य-  
श्रीमन्नारायणपादैरुक्तम् । तदाह धर्मदत्तः स्वप्नान्ये -

रसे सारश्चमत्कारः सर्वत्राप्यनुभूयते ।

तच्चमत्कारसारत्वे सर्वत्राप्यद्भुतो रसः ।

तस्मादद्भुतमेवाह कृती नारायणो रसम् ॥

Rasagaṅgādhara,<sup>1</sup> " Having composed new verses appropriate for illustration, I have not utilised the verses of any other ; for indeed, does the musk-bearing deer ever think even of using the fragrance of the flowers ? " Again at the beginning of the Prāṇā-bharaṇa,<sup>2</sup> he says, " the lips of learned men are closed when they have to praise the work of others. The Kings are blinded with the infatuation of their power and wealth. Indeed, in whose lucky man's lips, will the charm of my poetry that surpasses in sweetness the nectar flowing from the lips of passionate angels dance ? " Further in the introduction to the Citramīmāṃsākhaṇḍana, he proclaims, " If any person, unprejudiced, should answer my criticisms of the work of Appayya Dīkṣita, I shall indeed carry on my head the feet of that brilliant scholar ! " <sup>1</sup> Thus he was fully aware of his strength, and the verse निर्माय etc., would itself illustrate his superior poetic gifts. In any lesser man, the above statements would constitute only vain, empty brag. It is not so in Jagannātha.

Besides his masterly and logical exposition of the various principles, he has made some distinct contributions to poetics. Firstly we may consider his theory of poetry. It is "रमणीयार्थप्रतिपादकः शब्दः काव्यम्" or poetry is that composition conveying an idea, capable of giving a certain aesthetic pleasure (to cultured minds). This is quite comprehensive and fairly accurate.

### 1. निर्माय नूतनमुदाहरणानुरूपं

काव्यं मयात्र निहितं न परस्य किञ्चित् ।

किं सेव्यते सुमनसां मनसापि गन्धः

कस्तूरिकाजननशक्तिभृता मृगेण ॥

### 2. Published in the Kāvya-mālā, Gucca I.

विद्वांसो वसुधातले परवचःश्लाघासु वाचंयमाः •

भूपालाः कमलाविलासमदिरोन्मीलन्मदा घूर्णिताः ।

आस्ये धास्यति कस्य लास्यमधुना धन्यस्य कामालस-

स्वर्वाभाधरमाधुरीमधरयन्काचां विलासो मम ॥

सूक्ष्मं विभाव्य मयका समुदीरिताना-

मण्यदीक्षितकृताविह दूषणानाम् ।

निर्मिसरो यदि समुद्भरणं विदध्या-

दस्याहमुज्ज्वलमतेश्वरगौ वहामि ॥ Citramīmāṃsā, p. 105.



It is free from the narrowness of Visvanātha's definition and the rigidity of Mammāṭa's. According to the former (वाक्यं रसात्मकं काव्यम्) that composition in which is developed a Rasa would alone constitute poetry. In other words, it concerned itself only with the emotional element in literature and left out of consideration the imaginative and the intellectual elements. According to this, great masterpieces like the description of the 'Acchodasaras, in the Kādambārī, Milton's description of the hall of the Pandemonium, descriptions of rivers, mountains, the ways of children, etc., in standard poetry would be expelled from the legitimate sphere of poetry. To avoid this, Jagannātha has adopted a general and wide definition which would include in poetry all compositions that appeal to us. This subjective test of poetry need not be regarded as a fault, for according to one school of philosophy all knowledge is only subjective. He further points out the inaccuracies in the other theories of poetry and shows how his own is quite accurate and comprehensive.

Further he was not satisfied with the classification of poetry made by Ānanda into three, viz., Uttama, Madhyama, and Adhama; the basis of this being the predominance of the suggested sense over the express idea, the subordination of the suggested sense to the latter and the absence of the suggested idea. Because some good verses where the Vyaṅgyārtha was Guṇībhūta were really good poetry, even Ānanda felt the injustice of calling the Guṇībhūta Vyaṅgya as second rate compositions; and though according to strict theory they might be so, from a different standpoint they should be regarded as equal to the highest. He speaks thus :—

प्रकारोऽयं गुणीभूतव्यङ्ग्योऽपि ध्वनिरूपताम् ।

धत्ते रसादितात्पर्यपर्यालोचनया पुनः ॥ Dhv. III. 41.

He further describes this class of composition in this excellent illustration. It is like a servant bridegroom that is taken in a grand marriage procession followed by his King on foot.<sup>1</sup> But it is Mahimabhaṭṭa, the author of the Vyaktiviveka, who tore to pieces the view of Dhvanikāra and showed how some of the best Kāvya's cited by Ānanda were nothing but conundrums and also how the inferior class of poetry according to Ānanda really

१. तत्र च तेषामधिकारिकवाच्यापेक्षया गुणीभावः विवाहप्रवृत्तमृत्यानुयायिराजवत् । Dhv. p. 206.

belonged to the first category. Jagannātha took the cue from Mahimabhaṭṭa, and not being a confirmed opponent like Mahimabhaṭṭa, he makes with due deference to the authority of the master, Ānanda, a fourfold classification of poetry. He gives for our consideration this verse :—

राघवविरहज्वालासन्तापितसह्यशैलशिखरेषु ।

शिशिरे सुखं शयानाः कपयः कुप्यन्ति पवनतनयाय ॥

R. G., p. 17.

‘The monkeys that were living comfortably in the winter on the tops of mountains, rendered warm by the scorching flames of the pangs of separation of Rāma, became angry with Hanumān.’ Here the idea is that Hanumān brought the glad news of Śīta, and this completely assuaged the Virahatāpa of Rāma. That is, Rāma was burning with the fire of Viraha, and this fire made the mountain warm. When Hanumān came, the fire of Viraha was put out, Rāma became cool to touch, and hence the monkeys felt the severity of the winter and became angry with Hanumān. Here the suggested idea, that Rāma was gladdened by the news of Śīta brought by Hanumān, is made subordinate and is made to explain the adventitious anger of the monkeys towards Hanumān. And it looks like a queen who, kept by ill-luck in a prison, possesses then an inexpressible charm.<sup>1</sup> This verse should not be branded as inferior poetry ; and so he enunciated four classes, viz., Uttamottama, corresponding to the Uttama of Ānanda, (2) Uttama, corresponding to the Guṇibhūta Vyaṅgya of Ānanda. He divided the last variety of Ānanda into two, and called compositions having Arithalaṅkāras, Madhyamakāvya, (3) and compositions having primarily Śabdālaṅkāras alone Adhamakāvya, (4) or the lowest. Thus he raised the Guṇibhūtavyaṅgyakāvya to the dignity of Uttamakāvya. In doing this he was quite justified.

#### Conclusion.

We have thus traced through their different stages of growth, these two sister theories of Rasā and Dhvani, which analyse the nature of the poetic expression of ideas, which define the nature

1. अत्र जानकीकुशलावेदनेन राघवः शिशिरीकृत इति व्यङ्ग्यं आकस्मिक-  
कपिकर्तृकहन्मद्विषयककोपोपपादकतया गुणीभूतमपि दुर्दैववशतः दास्यमनु-  
भवद्राजकलत्रमिव कामपि कमनीयतामावहति ॥ R. G., p. 17.

of that aesthetic pleasure and the peculiar process of its realisation in poetry and drama, which give a bold but just classification of the different emotions that move the human heart, which definitely solve the difficult problem of how even painful emotions contribute to delectation when realised through poetry and which determine for all time the character of the sources of poetic appeal. By these and by the formulation of an accurate theory of poetry based on them and by laying down the standards of literary taste, they provide us with a golden key to unlock the abode of the richest treasures of the Poetic Muse.

ओं तत्सत्

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